

# Influence of toxic metal exposure on the gut microbiota (Review)

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Abstract. The gut microbiota (GM) is composed of >100 trillion different organisms, including bacteria, viruses, fungi, archaea and protists, coexisting in a complex system. The GM can be very sensitive to drugs, diet or even environmental pollutants. In the present review, recent data related to the interaction between the GM and heavy/toxic metals are discussed, focusing on the compounds most widely distributed in the environment or considered biopersistent. There are data to suggest that exposure to metals can alter the composition, diversity, homogeneity and structure of the GM. The specific modifications reported are not homogeneous, and a number of factors may explain this variability, including differences in metal compound, exposure modalities (e.g., food, water, in vitro), exposure time, qualitative and quantitative diversity of bacterial species in basal microbiota and analytical issues. As regards metal nanoparticles, some authors foresee the premises for a safe preventive and therapeutic use, while others have revealed harmful effects on both the gut microbiome and health. These findings, which would benefit from the application of modern approaches such as metagenomic sequencing and metabolomics, seem to indicate structural and functional analysis of gut microbiota as an early biomarker of detrimental effects from exposure to metals.

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# 1. Introduction

The human intestinal microbiota is a topic of great interest for its fundamental role in several aspects of host homeostasis, such as nutrition, immune development, metabolism and defense against pathogens (1). The gut microbiota (GM) is estimated to be composed of >10<sup>14</sup> microorganisms: It is represented by a complex system of bacteria, archaea, viruses and fungi who live in symbiosis with the host (2). The individual pattern changes over the years and it is subjected to strong variations due to environmental changes, diet, exposure to antigens, infections, drugs, hygiene factors and lifestyle (3). Several studies have assessed the association between environmental stressors and GM integrity. The interaction between the host and microbiota determines the difference between eubiosis and dysbiosis. This balance can be altered by air pollution, exposure to pesticides and heavy metals, and can promote the development of diseases, such as obesity, type 2 diabetes mellitus, metabolic diseases and cancer (4-8).

Toxicants in the environment can directly harm the components of the microbiota, but can also be modified by the microbiota to become more or less toxic to the host and/or the microbiota itself (3). The effects of heavy metals on the intestinal microbiota are not still largely defined (Fig. 1).

The aim of the present review was to provide an update of the available evidence in the literature related to the interaction between the GM and heavy metals, focusing on the compounds most widely distributed in the environment or considered biopersistent.

#### 2. Data collection and analysis

A literature search was performed on the PubMed database to detect full-text articles published over the past 5 years using the following keywords: 'Gut microbiota AND heavy metals' [All fields], 'gut microbiota AND arsenic' [All fields], 'gut microbiota AND cadmium' [All fields], 'gut microbiota AND copper' [All fields], 'gut microbiota AND manganese' [All fields], 'gut microbiota AND chromium' [All fields], 'gut microbiota AND nickel' [All fields], 'gut microbiota AND mercury' [All fields], 'gut microbiota AND zinc' [All fields], 'gut microbiota AND lead exposure' [All fields]. Articles published in languages other than English, reviews or conference abstracts or letters to editors were not included.

The search produced a total of 610 results. As much as 455 articles were not pertinent with the aims of the present review and after excluding 58 repeated results, a final number of 97 articles was selected for the review. Additionally, supplementary articles were identified among the reference list of the screened articles.

# 3. Overview

Human GM. The human microbiota is the set of symbiotic microorganisms that coexist in the human body; it is composed of >100 trillion different organisms in a complex system of bacteria and viruses, as well as fungi, archaea and protists (2). In the majority of studies, the bacterial constituents of a microbial population were identified by sequencing the 16 sRNA-encoding gene. GM varies among individuals during development, and is dependent on the host and environmental factors (9). This gut microbial community has evolved with its host over a timespan of millions of years and offers benefits to its host through a number of mechanisms, including digestion, detoxification, the production of nutrients, protection against pathogens and the regulation of the immune system (10). The intestinal tract is exposed to a plethora of food-borne and bacterial antigens. The epithelial cell layer prevents a 'too close contact' of these antigens with the immune cells, and thereby also protects the gut from unwanted immune reactions. This is achieved by the sophisticated organization of the intestinal epithelium, which establishes a tightly regulated barrier (11). In adults, the GM is composed of 500 species of bacteria divided into 45 genera and 14 families; however, the individual pattern changes over the years and it is subjected to strong variations due to environmental changes, diet, exposure to antigens, infections, drugs, hygiene factors and lifestyle. The most frequent microorganisms are commensal bacteria that are beneficial for the host.

The analysis of normal bacterial communities has indicated that the enteric microbiota in healthy subjects belongs mainly to 4 phyla: Firmicutes and Bacteroidetes that are the most representative (together they represent 90% of total enteric microbiota), Proteobacteria and Actinobacteria (including *Bifidobacterium*), which are mainly driven by dietary intake, but are independent of age or body mass index (12). Fusobacteria, Cyanobacteria and Verrucomicrobia are less represented.

*Heavy metals*. Heavy metals are naturally occurring elements that have a high atomic weight and density. Both prokaryotes and eukaryotes have developed strategies to benefit their action and to defend themselves from undue exposure, particularly against those metals showing high reactivity. These metals are commonly spread and many of them are used in human activities, such as manufacturing, mining, agricultural fields, configuring exposure as environmental and occupational risks. Conversely, other metals can be found in water and the food supply chain, posing a safety concern for human health, particularly in developing countries. Arsenic (As), cadmium (Cd), chromium (Cr) and nickel (Ni) are classified as group 1 carcinogens by the International Agency for Research on Cancer (13).

Heavy metals can affect target organs (liver, kidneys, lungs) through different mechanisms and pathways (14). Moreover, these metals are difficult to degrade and their bioaccumulation is dependent not only on the exposure (concentration and frequency), but also on the organism capability to eliminate the metals. The residuals in the gastrointestinal tract can affect the human GM that interacts with heavy metals, and is in part, responsible for the intestinal barrier functioning involved in the control of absorption of toxic metals (15).

All studies on humans or different animal models or *in vitro*, concerning investigations of  $\geq 2$  heavy metals, are concordant in concluding that heavy metal exposure, even if for a short period of time, can cause perturbations in the composition, structure and diversity of the GM. The majority of the studies used the amplification and sequencing of the 16S rRNA gene for the microbial community analysis; only 2 studies (16,17), proceeded with metabolomics approach demonstrating that As, Cd and selenate also influenced several metabolic pathways. When investigated together, different toxicants may interact and their effects, on GM or on health status, could be potentiated.

*Human studies*. Laue *et al* conducted a study on 179 6-week-old infants (18), the GM was assessed using 16S rRNA sequencing. They found that postnatal exposure to toxic elements was differentially associated with the infant microbiota. Exposure was negatively associated with microbial diversity, particularly in infants exposed to peripartum antibiotics. As, Cd, manganese (Mn), copper (Cu), iron (Fe), lead (Pb), Ni, selenium, tin, and zinc (Zn) were each differentially associated with at least one taxon, in particular with *Bacteroides* and *Lactobacillales*, while mercury (Hg) was associated with specific taxa.

In addition, on children aged from 3-7 years, other authors investigated potential interactions between toxic metals, the gut microbiome and the development of autism spectrum disorders (ASDs). The levels of Pb, Cd, As, Cu, Zn, Fe, Hg, calcium and magnesium in hair samples were analyzed. Microbial DNA was extracted from fecal samples and 16S rRNA was sequenced. Levels of Pb, As, Cu, Zn, Hg, calcium and magnesium were significantly higher in the ASD group. Different metals were associated with dissimilar perturbations in GM composition and diversity, suggesting the possible pathogenesis of ASD depending on metal absorption and gut microbial community (19).

Animal studies. Liu *et al* conducted a study on rats (20), poisoned first with methylmercury (MeHg) and then treated with sodium selenite (NaSe). Microbial DNA was extracted from fecal samples and 16S rRNA was sequenced. The results suggested that MeHg damaged the composition of microbiota. Following Se treatment, the richness of gut microbial community was partially reestablished and a similar mechanism can be hypothesized also for human beings. A most recent study



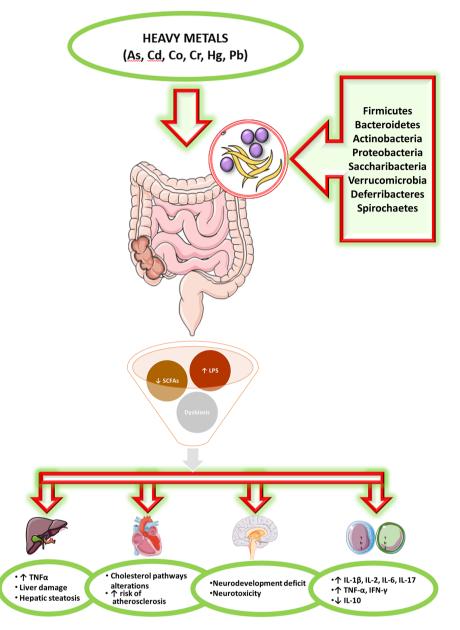


Figure 1. Effects of heavy metals on the microbiota and their impact on health.

performed on rats focused on neuro-developmental effects (21). Offspring rats were exposed to inorganic As (iAs) and fluoride (F-). DNA was extracted from fecal samples and 16S rRNA gene was sequenced. Noticeable neuro-developmental effects were observed in rats concurrently exposed to iAs and F-so microbiome-based biomarkers of iAs and/or F-may be suggested as early indicators of neuro-developmental deficits. Richardson et al exposed rats to 3 different doses of sodium arsenite, Cd chloride, sodium dichromate, cobalt chloride or Ni chloride by oral gavage for 5 consecutive days (14). DNA was extracted from fecal samples and 16S rRNA gene was sequenced. Significant variations in GM composition were observed in high doses of Cr and cobalt, but only in As, Cd and Ni exposure in a significant dose-dependent manner. Following As and Ni exposure, bacteria with a huge number of iron-importing gene were excessively represented. The results underline the convenience of the microbiome as an early tool for recognizing specific heavy metal exposures (14). Recently, in a study on mice (22), animals were exposed to Cu, Hg or both. DNA was extracted from cecal contents and 16S rRNA was sequenced. All treatment groups showed intestinal histopathological damages and alterations in the diversity of gut microbiota in the cecum of female mice, which can provide new insight into the risk assessment in intestinal disorders caused by Cu and Hg. Gaulke *et al* fed mice with diet containing either different Zn concentrations and exposed to As for 6 weeks (23). Zn deficiency and As exposure autonomously changed microbiota composition but, when in combination, the outcomes in microbial community were intensified, in particular marginal Zn deficiency resulted in a higher sensitiveness to As exposure of the GM (23).

Li *et al* treated mice with Cd chloride and As in their drinking water for 2 weeks. Colon and caecum content were collected and 16S rRNA gene was sequenced (16). Both As and Cd significantly perturbed the metabolome and lowered the GM diversity; however, only Cd significantly decreased the microbial diversity. The number of metabolite interactions diminished in a number of genera. Moreover, As and Cd exposure affected pathways involved in metabolic health (16). Previously, other authors performed a research on cows. Animals were fed supplemental Cu, Zn, and Mn from sulfate minerals, glycinate minerals or Cu and Mn sulfate with glycinate Zn. Treponema Operational Taxonomic Units (OTU) in GM, frequently related to bovine digital dermatitis, were less abundance in cows fed with Cu and Mn sulfate with glycinate Zn. These results suggest a further connection between organic Zn supplementation and better animal health (24). Rothman et al carried out a study on honey bees administering solutions of 50% sucrose spiked with Cd chloride, pollen patties spiked with selenium or Cd. Cd and selenate exposure perturbed the composition of the GM altering the metabolite pathways, particularly those concerning detoxification, proteolysis, and lipolysis, resulting in bioaccumulation of these toxicants (17).

In a study conducted on black soldier fly larvae, the authors administered Cu or Cd. Gut DNA samples were analyzed. Cu and Cd exposure noticeably perturbed the GM. The highest levels of exposure significantly reduced the richness of the majority of dominant families; however, in the meantime, other families were enriched, resulting in a total decrease of the GM diversity (25). Zhang *et al* examined the GM of *Bufo raddei* from a polluted and relatively unpolluted area (15). The Firmicutes/Bacteroidetes ratio and the number of probiotics in the gut microbiota from the polluted area were decreased when compared to those from the unpolluted are. The results suggest that long-term heavy metal exposure perturbed the structure and reduced the diversity of thee GM in *Bufo raddei* (15).

In vitro studies. By using the Simulator of the Human Intestinal Microbial Ecosystem (SHIME) some authors have simulated an exposure to As and Fe in different concentrations suggesting that GM had a significant role in As species modification, and Fe can influence As transformation through alterations in GM. In the evaluation of the health hazards of As in drinking water, the residual Fe should be taken into account (26). Recently, always through the use of the SHIME, fresh fecal microorganisms obtained from volunteers were mixed with Fe minerals (goethite and jarosite). Total and bioaccessible As and Fe concentrations were determined. As bioavailability in human intestine was mainly due to Fe<sup>III</sup> dissolution in jarosite, and to bacterial community reduction of Fe<sup>III</sup> and As<sup>V</sup> in goethite, underlining the importance of Fe minerals in human health risk evaluation associated with environmental As exposure (27).

# 4. Arsenic

As, an ubiquitous nonmetal in nature, is a human carcinogen. While it can be found as a metal in its pure form, it is typically found as a component in both inorganic and organic compartments (28). As is found in water and food worldwide, as both organic and inorganic species in dietary essentials, such as rice, and exclusively as inorganic As in water (29). As soil and water contamination has been associated with human activities, such as smelting, mining, coal and ash disposal, pesticide use (30). As exposure is a public health concern worldwide; in fact, >100 million individuals are exposed to As from drinking water and it has been associated to a number of human diseases, particularly diabetes, cancer and cardiovascular illnesses (31). The consumption of contaminated drinking water and/or food is the principal pathway of As exposure in humans; thus, the GM can be the most vulnerable to As exposure (32).

*Human studies*. Hoen *et al* analyzed, on 204 infants in the USA, As levels in urine and microbiota composition from fecal samples (29). With higher As exposure, 8 genera were enriched. Urinary As levels were negatively associated with 15 genera, including *Bacteroides* and *Bifidobacterium*. Following stratification by sex and feeding method, associations were found in formula-fed males, but not in the other groups. In infant development, sex-specific effects on the GM can be observed even with moderate As exposure (29).

A longitudinal study on the health effects of As conducted in Bangladesh among 250 participants, aimed to find associations between As exposure, microbiota composition and carotid intima-media thickness. Significant associations between GM composition and As exposure were not found. Intima-media thickness (IMT) was significantly associated with Aeromonadaceae and the genus *Citrobacter*. A significant reciprocity between water As and *Citrobacter* was observed in IMT. The authors concluded that in the development of atherosclerosis, GM may have an important impact, in particular among subjects exposed to higher As levels (33).

Other authors evaluated 16S rRNA gene sequencing from fecal samples and urine As concentration in 2 southern Nepal communities. Pathogenic bacteria were positively associated with higher As concentrations in the subjects. Lower levels of As were associated with intestinal commensal bacteria. The authors stated that As plays a role in the GM shaping through the enrichment of pathogens and the weakening of commensal bacteria (34).

Previously, a study conducted among children (32) made a comparison between high and low As-exposed groups. Children exposed to higher As concentrations exhibited an abundance of Proteobacteria in their feces. In the high exposed group, a positive association between genes involved in virulence and multidrug resistance and As concentration was observed. The qPCR quantification of 2 As resistant genes (ArsB, ArsC) revealed a higher expression of these 2 operons in the children with high As exposure.

These studies conducted on humans evaluated the gut microbiome composition through the 16S rRNA gene sequencing from fecal samples. All the studies, except one (33), found an association between As exposure and significant variations in the gut microbiome, with an increase in the number of pathogens, underlining an important role, played by As exposure.

Animal studies. Coryell *et al* exposed mice to As. As levels in feces and in organs were measured and the GM composition was analyzed through the 16S rRNA gene sequencing from fecal samples (28). The lack of As detoxification enzyme (As3mt) rendered the mice hypersensitive to As. The protection deriving from humane microbiome transplant depended on microbiota stability and the presence of certain



bacteria, including Faecalibacterium. In mouse model As3mt and certain microbiota bacteria are fundamental for protection against acute As toxicity (28). Previously, other authors divided mice in cases and controls, treated with sodium arsenite in their drinking water for 13 weeks. In the microbiota of mice treated with As, the genes involved in the biosynthesis of lipopolysaccharides (LPS), in DNA repair mechanisms and in multidrug resistance were increased and the genes involved in the production of vitamins (B6 and B12) were enriched (35). The same authors recently conducted a study on same animals to compare GM-disrupted animals (treated with antibiotics for 72 h prior to As exposure) and non-antibiotic-treated mice. In the GM-disrupted mice, total As levels were lower in feces and much higher in urine than the levels in control subgroup mice. The disruption of the microbiota significantly altered As biotransformation by affecting one-carbon metabolism and also increased the As toxic effects (36).

Other authors compared Helicobacter-free mice and Helicobacter trogontum-treated animals (by oral gavage) successively treated with As. As exposure caused GM perturbation inducing variations of several metabolite pathways, involving the metabolism of fatty acid, phospholipids, cholesterols, and tryptophan. The authors proposed that GM perturbation could worsen or cause metabolic disturbances induced by As exposure (37). Chi et al treated mice with As for 4 weeks and evaluated variations in microbiota composition (31). At the end of the 4-week treatment, it changed in both males and females, but more significantly in females than in the respective controls. The host sex determines the microbiota phenotypes, whose sensitivity to As differs between male and female mice. Moreover, same authors compared antibiotic and non-antibiotic treated mice. As exposure suppressed gene expression in cholesterol metabolism, transportation and synthesis only in control mice. In antibiotic-treated mice, a smaller perturbation was observed on liver and serum lipid homeostasis caused by As exposure. The As-induced effects on lipid metabolism may be buffered by regulating the GM (38).

In another study, mice were divided into the control and As-treated groups. In As-exposed mice, the  $\alpha$ -diversity of the GM was significantly lower than that of the controls. Following As exposure, carbohydrate metabolism was significantly perturbed due to the increase of several proteins involved in this pathway. As exposure may increase the LPS synthesis in the GM through the upregulation of UDP-N-acetylglucosamine acyltransferase (39).

Chiocchetti *et al* administered various doses of As<sup>III</sup> through drinking water to mice (40), evaluating the expression of genes encoding inflammatory cytokines (TNF $\alpha$ , IL-1 $\beta$ , IL-2 and IL-6) and claudins (Cldn-1, Cldn-2 and Cldn-4). At As concentrations  $\geq$ 50 mg/l, an increase in gene and protein expression of pro-inflammatory cytokines (IL-1 $\beta$ , IL-2 and IL-6) was showed. Moreover, the diversity and global composition of GM were accompanied by mucosa and submucosa moderate inflammation. These effects are suggestive of an increased intestinal permeability, resulting in a barrier function loss, particularly at the highest As concentrations.

A study on the adult and developmental stages of CD1 mice (41) evaluated the effects of a single oral exposure and of repeated exposure. In adults after a single gavage, responses in

microbial composition and bacterial recovery were observed in a dose and time-dependent manner. Repeated exposure affected intestinal bacteria with a decrease in recovery and an abundance of As resistance genes. High levels of intestine-secreted chemokines were revealed in adult animals exposed to As. Both in juvenile and adult animals, it was possible to observe the gut-associated immune status and intestinal microbiota changes.

Tikka *et al* compared mice in 2 different exposure periods (3 and 6 months) (42). As exposure not only altered the GM composition, but also increased inflammatory cytokines (TNF- $\alpha$ , IFN- $\gamma$  and IL-17) and depleted anti-inflammatory cytokines (IL-10). Moreover, the level of  $\beta$ -catenin, a colon cancer marker, increased in the animals exposed to As for both 3 and 6 months. These results suggest that variations in the GM can alter inflammatory cytokine pathways, resulting in an immune system dysregulation, affecting colon cancer marker expression (42).

The majority of the studies used C57BL/6 male mice as a study population; other models used were female BALB/c mice, adult and developmental stages of CD1-mice and Kunming mice. All the experiments were designed as control/case studies, exposing animals to various As concentrations in drinking water, in food or oral gavage. The majority of the studies evaluated GM composition through 16S rRNA sequencing from DNA extracted from fecal samples, only two using metagenomic (31,35) and one metaproteomic approaches (39). Data on GM composition, gene expression, metabolic pathways and tissue damage were all concordant on the negative effects elicited by As exposure on animal health status.

A study on the earthworm *Metaphire californica* (43) evaluated the interactions between microplastics (MPs), As exposure and GM. Following As exposure, significant changes in gut bacterial composition were observed, while MPs did not significantly impact the gut bacterial communities. MPs not only decreased the As accumulation, but also the transformation of As<sup>V</sup> to As<sup>III</sup>. MPs may have played a role in lowering As bioavailability, reducing its effects on the GM, resulting in a lower toxicity on earthworms.

Another study on the earthworm (*Metaphire sieboldin*) compared various As concentrations in the controls and cases for 28 days. The analysis of gene expression revealed that As redox and efflux genes were abundant, whereas As biotransformation (methylation and demethylation) genes were very low. These results suggest that the earthworm GM has a marked capability in reducing  $As^{V}$  even if As exposure disturbs the GM composition (44).

Studies conducted on worms have demonstrated the negative effects of As exposure on the gut microbiome, resulting in bioaccumulation, reducing capability and gene expression alterations.

*In vitro studies*. By using the *in vitro* SHIME, Yin *et al* aimed to investigate the variability in the As bioaccessibility in gastric, small intestine and colon phases in fecal microorganisms (45). The results suggested that the As bioaccessibility varied in the colon phase, due to microbial reduction activity. In order to assess hazards to human health correlated with oral exposure to soil As, joining *in vitro* methods and SHIME could increase the accuracy in risk assessment (45).

The same authors using SHIME, analyzed the interactions between four soils with different As concentrations and fecal microorganisms, demonstrating that human GM can release soil-bound As. In humans subjected to soil As exposure, an precise risk assessment could be carried out by concurrently defining As transformation and intestinal absorption (46).

Li *et al* demonstrated that the bacterium *Bacteroides vulgatus* possesses resistance genes to inorganic As, which can be decisive in the ability of the microbe to maintain its prevalence in the human intestine even after exposure to food-related As (47).

*In vitro* studies, evaluating As bioaccessibility, transformation and absorption, mediated by the human GM, particularly in the colon, play an important role in providing insights on mechanisms involved in As metabolism.

# 5. Cadmium

Cd, one of the most widespread toxic heavy metal pollutants, appears in soils and drinking water supplying, as a side product of human activities, such as mining, agricultural use (in fertilizers) through air deposition or industrial divisions (batteries, pigment and plastics) (48,49). Cd can also be found in aquatic systems. Cd exposure has become a prevalent health concern due to the access to polluted food and water. As with other heavy metals, it is associated to several toxic effects in living organisms, particularly in oxidative stress induction, DNA damage, carcinogenesis, dysregulation in immune responses and energy metabolism (50).

Animal studies. Rodent studies have been designed as control/case experiments, exposing animals to various Cd concentrations. All studies revealed GM perturbations caused by Cd exposure and several studies suggested the important role played by Cd in the alterations of both metabolic and energetic pathways.

Previously, authors demonstrated that the Cd exposure induced noticeable perturbations of GM in mice, with a significant decrease in gut microbial richness and reduction in short-chain fatty acids (SCFAs) production. Moreover, Cd exposure caused changes in the expression of those genes involved in metabolic pathways, associated with amino acid and carbohydrate (48).

In mice, Cd exposure significantly altered the GM composition and richness, not only at the phylum level, but also at the family and genus levels. These alterations result in an increase in serum LPS levels accompanied by hepatic inflammation, as a result of dysregulation in energy metabolism (50).

Ba *et al* evaluated metabolic effects in mice in a sex-dependent perspective, observing that early low-dose Cd exposure (100 nM) altered the GM in composition and diversity. Furthermore, Cd exposure caused metabolic effects only in males (51).

The only experiment concerning the evaluation of a potential protective probiotic to decrease Cd toxicity, revealed the failure of *Akkermansia muciniphila* (AKK). GM alterations were observed both in acute and chronic Cd exposure; however, the oral administration of AKK influenced GM composition, particularly following acute Cd exposure (52).

Following Cd exposure, a previous study observed both in the liver and GM, noticeable functional and structural

modifications. Moreover, Cd exposure induced variations of several metabolites, suggesting that Cd may play an important role in energy metabolism in these animals (53).

Studies on fish and crustaceans underlined the negative effects of Cd on microbial community diversity. In particular, dietary supplementation with probiotics revealed the protective role of certain microorganisms against Cd bioaccumulation and its toxic effects, providing new insight into aquaculture and food safety. In a study conducted on common carp, it was observed that Cd exposure significantly perturbed the composition of GM in the fish, resulting in a decrease in microbial community diversity. Conversely, the abundance of *AKK*, decreased after Cd exposure (54).

Cd exposure caused, in *Procambarus clarkii*, intestinal histological damage and variations in the richness, diversity and composition of the GM, not only at the phylum level, but also at the genus level. Moreover, Cd exposure may suggestively perturb metabolic pathways related to diseases and cellular processes (55).

Wang *et al* highlighted the protective effect of *Bacillus cereus* against Cd exposure (56). Cd caused manifest variations in the GM composition of *Carassius auratus gibelio*; however, *Bacillus cereus* succeeded to inverse these effects, inhibiting perturbations in Cd bioaccumulation and antioxidant enzyme levels (56). Cd exposure was also shown to cause a marked decay in gut microbial diversity and composition in *Nile tilapia*. Dietary supplementation with the probiotic *Lactobacillus plantarum*, CCFM8610, inverted the variations and reduced Cd accumulation (57).

Studies on amphibians have also disclosed Cd toxic effects, reducing GM diversity and composition. Ya *et al* observed severe gut histopathological modifications and microbial alterations at the Cd highest doses (100 and 200  $\mu$ g/l), whilst small intestine damage was observed at the 5  $\mu$ g/l Cd concentration (58,59). Even in *Rana chensinensis*, Cd exposure transformed the composition and reduced the microbial diversity in GM both at phylum and genus levels (60).

In addition, 2 studies on worms confirmed the same negative effects of Cd exposure on GM composition. Notably, variations in intestinal microbial communities in earthworm could be considered as a biological indicator of soil pollution. Šrut *et al* revealed a perturbation in GM composition and increased levels of heavy metal resistant bacteria following Cd exposure in the earthworm (49). Lee *et al* compared the effects of Cd exposure between *Caenorhabditis elegans* fed with either soil microbial community (SMB) or *Escherichia Coli* strain OP50; in the OP50-fed worms, microbial community alterations mediated were particularly severe (61).

## 6. Mercury

Mercury is an ubiquitous and highly biopersistent pollutant. For this reason, environmental and occupational exposure has been subjected to restriction by the European Community. Generally, individuals are exposed via contaminated food consumption, particularly fish. Bioavailable organo-metallic compounds (e.g., MeHg) can cross the blood-brain and placental barriers, developing neurotoxicity (62,63).

The mechanisms involved in the complex bio-physicochemical process of Hg methylation and demethylation remain to be fully understood. However, MeHg metabolism is the core research in experiments focused on methylation/demethylation processes in GM, that is considered to be involved in the biochemical interplay responsible for its demethylation to inorganic mercury (64).

Human studies. Rothenberg et al analyzed, in pregnant women, total Hg and MeHg levels in maternal blood and stool samples, aiming to investigate whether associations between biomarkers for prenatal MeHg exposure and maternal GM differed between early and late gestation (64). The GM differed, not only between subjects, but also between early and late gestation in the same individual. Even low MeHg concentrations (both in blood and stool) were associated with perturbations in microbiota composition only during early gestation. The results suggested that mothers ingesting a fiber-rich diet may have significantly improve MeHg elimination (64).

Animal studies. All in vivo studies were concordant in stating that mercury exposure is accountable for intestinal damage, dysbiosis, metabolic disorders, an increase in the expression of genes involved in apoptosis processes and neurotoxic effects. Some authors also hypothesized that different chemical forms of Hg are responsible for its accumulation in gut and dissimilar microbiota structure alterations (62,65,66). The GM influences brain activity through the gut-brain axis, by producing neurotransmitters and their precursors. MeHg can perturbations in GM structure in rodents, resulting in alterations in intestinal neurotransmitters responsible to regulate neuron activity. These results suggest a possible mechanism concerning MeHg neurotoxicity (63).

## 7. Lead

Pb has been an ubiquitous environmental pollutant for decades and has been causally associated with well-known occupational diseases and innumerable damaging health effects, including neurological and kidney disorders, anemia and altered immune system responses (67,68). There are a number of sources of lead exposure; apart from water and food, the majority derives from human activities and industrial productions, such as paint and electronic waste (69).

*Human studies*. Eggers *et al* found a significant association between the adult urinary Pb concentration and GM composition, particularly as regards the extent of Proteobacteria colonization (67).

Animal studies. All in vivo studies were concordant in stating that Pb exposure may play a role in dysbiosis, inducing structural intestinal damage. GM structure and diversity were always perturbed by lead exposure (70). In experimental studies performed on mice, metabolomics profiling and metagenomics sequencing revealed that a number of metabolic pathways, including energy metabolism, oxidative stress and detoxification mechanisms, were meaningfully altered by Pb exposure (69). Pb exposure caused memory impairment, perturbations in GM composition and release of oxidative stress biomarkers in serum (71). Prenatal Pb exposure may also contribute to increase adult bodyweight (72) and can cause large intestine immune disorders (73). The same effects were confirmed in zebrafish (74).

*In vitro studies*. By SHIME simulation, Pb bioaccessibility was evaluated in soil samples through the Physiologically Based Extraction Test (PBET). The mean Pb bioaccessibility changed in gastric, small intestine and colon phases, depending on soil pH and types. The action of the human GM was important in the colon phase, when mean Pb bioaccessibility was compared between farming soils (higher) and mining soils (lower) (75).

# 8. Zinc

Zn is a fundamental nutrient for almost all organisms. From a clinical point of view, even mild deficiencies can reduce cellular differentiation, influence immune system developing and deeply affect growth and maturation (76). Infants, also when healthy, are given additional Zn with food, beverages and nutritional supplements; consequently, it often exceeds established nutritional necessities (77). Furthermore, the microbial community has its own requirements for minerals, including Zn (78).

Animal studies. The excessive intake of dietary Zn in mice, can cause oxidative stress in the intestine, resulting in deep shifts in GM. These perturbations are associated with a microbial community enriched in pathogenic taxa. Moreover, variations in the expression of genes involved in metabolic processes, oxidative stress and protein glycosylation were observed (77). Excess dietary Zn not only significantly perturbs the GM, but also worsens C. difficile-associated disease, by varying the host immune response (79). In a study conducted on chicken hatchlings (Gallus gallus), animals receiving a Zn-biofortified diet exhibited a significant increase in β-but not  $\alpha$ -microbial diversity; in particular, associated intensification in Zn-dependent bacterial metabolic pathways was detected (76). A research conducted on Targhee yearling rams revealed that a quantity of bacterial genera was perturbed, but only the phylum Tenericutes was significantly decreased by Zn supplementation, suggesting that Zn formulations can be employed without producing a high-level modification in the rumen gut microbiome composition, which could have adverse effects on metabolism and health status (78). These studies demonstrated how an excess in dietary Zn, not only significantly perturbs microbiota, but also affects different metabolic pathways and gene expression, resulting in negative effects on animal health status.

# 9. Copper

Cu is an essential microelement (80); however, excessive Cu quantities can affect the ecosystem resulting in soil and water pollution. Disproportionate Cu exposure may cause negative effects on animal organisms, inducing respiratory, nervous and gastrointestinal diseases (81). The effects of overexposure to Cu on the GM are not yet well described in the literature (82).

Animal studies. High Cu concentrations can cause liver damage in rats. Cu exposure can exert dose-dependent

effects on the structure of the GM. Moreover, metabolomic analysis confirmed the effects of Cu exposure on metabolic pathways involved in hepatic injury and intestinal inflammation. These alterations may induce an inflammatory response, in which TNF- $\alpha$  could be the main respondent in Cu overexposure (82,83). Furthermore, various dietary doses of Cu and fructose can interact with host microbial metabolic activities by various mechanisms, resulting in alterations in GM composition, liver damage and hepatic steatosis (84).

In mice, some authors have demonstrated that high concentrations of Cu can cause intestinal histopathological lesions and perturb GM composition (81). In piglets, a low-Cu diet can alter the structure of the microbiota and modify bacterial metabolic pathways, including proteins, carbohydrates, the urea cycle, gluconeogenesis and amino acids, which may play a role in affecting animal health (80). In a previous study, the GM of Cu-treated fish (Takifugu fasciatus) exhibited perturbations in composition at the phylum level, revealing the role of intestinal microbial community in maintaining the health status of Takifugu fasciatus for aquaculture (85). In addition, in the common carp (Cyprinus carpio L.), Cu exposure altered the  $\alpha$ - and  $\beta$ -diversity of the GM (86). The authors observed that the presence of short-chain fatty acid (SCFA)-producing bacteria was significantly reduced, as well as probiotics, with an increase in pathogens, resulting in an increasing risk of pathogen invasion (86). Moreover, in Rana chensinensis larvae, the Cu-altered microbiota composition was observed at the phylum level, resulting in a reduction of Fusobacteria abundance, while Bacteroidetes did not exhibit significant differences (87).

These studies suggest that Cu exposure exerts dosedependent effects on the structure of the GM. Changes in the composition of the microbial community may be related to alterations in several metabolic pathways, inflammatory responses (through cytokine modulation) and liver damage (88).

#### 10. Nanoparticles

Advances in nanotechnology introduced over the last decade have allowed for the production of metals on a nanoscale  $(1\pm100 \text{ nm})$ . Due to their particular characteristics, the applications of nanoparticles (NPs) have been extended in different fields, such as cosmetology, agriculture, textile manufacture, construction, food and medical area, particularly in diagnostic imaging, drug technology and tumor therapies. This widespread introduction of NPs in a number of human activities has unavoidably lead to NP diffusion in the environment (89-92).

Nanoparticles, including metals, due to their small dimensions, are able to cross biological membranes, perturb ion channels transportation, alter enzymatic activities and react with genomic material. These mechanisms could induce tissue injuries, oxidative stress (92) and can modulate immune responses (93).

The majority of studies demonstrate that metal NPs, through different biochemical mechanisms, can perturb the microbiota with negative consequences, particularly when high concentrations in dietary regimens are administered to experimental animals. Nonetheless, there is also evidence of a possible benefit in dietary supplementation with NP. Animal studies. Cholewińska et al in 2018, revealed that Cu NPs were better absorbed in the intestines of Wistar rats, but bioaccumulated in the brain, and at a higher dosage induced severe liver injury. As regards GM, Cu NPs blocked its enzymatic activity and consequently significantly reduced SCFA production (92).

Cu oxide (CuO) NP exposure can not only induce perturbations in microbiota composition, but can also affect the expression of genes involved in immune response in worms, such as lysozyme and coelomic cytolytic factor. Nonetheless, these alterations in the microbiome did not increase susceptibility to bacterial infection (93). In another study, in poultry, CuO NP added to the feed, did not affect probiotics or the main representatives of normal microbial community. The Cu active ions, due to the low dissociation degree from NP, are released more slowly and therefore have more prolonged positive effects (94).

In a study conducted on Eisenia fetida cultured with zinc NP in soil, 35% mortality and perturbations in GM composition were observed, and in particular, a decrease in microbial diversity was registered (89). Wang et al exposed mice to nano-ZnO suspension, which raised Zn concentrations in the serum, liver and kidneys, but did not perturb the gut microbiome composition (95). Some authors have hypothesized an increment of anti-inflammatory activity in ZnO NP-exposed mice. The effects of ZnO NP varied in the GM, increasing Firmicutes and decreasing Bacteroidetes in female mice. SCFA were also increased, indicating that ZnO NP could have possible utilizations as safe regulators of GM (96). In crossbred weaned piglets, fed a diet supplemented with nano-Zn, positive effects on gut morphology and microbiota were observed, similar to those of conventional ZnO (97). Kolba et al demonstrated that different metal NPs (TiO<sub>2</sub>, SiO<sub>2</sub> and ZnO) could perturb the composition of the GM, negatively influencing intestinal functions and health in chicken (Gallus gallus) (98).

*In vitro studies.* The antimicrobial and antioxidant effects of green silver nanoparticles (Ag NP) on the GM in healthy individuals have been simulated by an *in vitro* one-chamber GIS1 colon simulator. Ag NP preserved their antioxidant properties, as shown by a decrease in ammonium compounds; a decrease in *Bacteroides* suggested an antimicrobial effect. Moreover, the NP spherical shape was significantly associated with their biological outcomes *in vitro* (99).

#### 11. Other heavy metals

Cr is an essential trace element with positive health outcomes, which are well demonstrated in humans and animals. Feeding mice with supplementary Cr-enriched *B. subtilis* combined the benefits of Cr and probiotics and positively influenced caecal microbiota, tissue Cr concentrations, insulin receptor expression and plasma biochemical profile (100).

However, Cr is also one of the major inorganic heavy metals and its detrimental action in the environment is well known. Cr in aquatic environments, deriving from human activities (textiles, electroplating and printing), is biologically toxic, particularly in the hexavalent form  $Cr^{VI}$ . There is limited evidence on the negative effects of Cr exposure on the GM; according to Yao *et al*,  $Cr^{VI}$  can significantly perturb GM

Metal	Study type	Outcomes	GM phyla	Author, year	(Refs.)
As	Human	↑ Expression of ArsB and ArsC genes ↑ Risk of atherosclerosis	Proteobacteria Tenericutes, Proteobacteria, Firmicutes	Dong <i>et al</i> , 2017 Wu <i>et al</i> , 2019	(32) (33)
	Animal	Fatty acid, phospholipids, cholesterols, and tryptophan pathways alterations	Proteobacteria	Xue <i>et al</i> , 2019	(37)
		↓ Gene expression in cholesterol metabolism		Chi et al, 2019	(38)
		LPS synthesis	Firmicutes, Bacteroidetes	Liu <i>et al</i> 2019	(39)
		↑ IL-1β, IL-2, IL-6	Bacteroidetes, Proteobacteria, Firmicutes	Chiocchetti et al, 2019	(40)
		↑ Intestine-secreted chemokines	Firmicutes, Bacteroidetes, Actinobacteria, Proteobacteria Saccharibacteria, Verrucomicrobia, Deferribacteres, Spirochaetes	Gokulan <i>et al</i> , 2018	(41)
		$\uparrow \text{TNF-}\alpha, \text{IFN-}\gamma, \text{IL-}17 \downarrow \text{IL-}10$	Anthophyta Aphelidiomycota, Ascomycota, Basidiomycota, Chytridiomycota Mortierellomycota, Mucoromycota	Tikka <i>et al</i> , 2020	(42)
Cd	Animal	↓ Short-chain fatty acids (SCFAs) production	Cyanobacteria, Saccharibacteria, Verrucomicrobia, Tenericutes Actinobacteria, Deferribacteres, Proteobacteria, Firmicutes, Bacteroidetes	He <i>et al</i> , 2020	(48)
		f Serum lipopolysaccharide	Firmicutes, Proteobacteria	Zhang et al, 2015	(50)
Cr	Animal	1 Insulin receptor expression Intestinal tissue damage	Fusobacteria, Bacteroidetes Fusobacteria, Saccharibacteria	Yang <i>et al</i> , 2016 Yao <i>et al</i> , 2019	(100) (101)
Cu	Animal	↑ TNFα Liver damage Hepatic steatosis	Firmicutes, Bacteroidetes Spirochaetes, Proteobacteria Fibrobacteres, Euryarchaeota Cyanobacteria, Verrucomicrobia, Tenericutes Actinobacteria, Deferribacteres, Fusobacteria	Dai <i>et al</i> , 2020 Zhang <i>et al</i> , 2017 Song <i>et al</i> , 2018	(82) (83) (84)
Pb	Animal	↑ Oxidative stress	Actinobacteria	Cheng et al, 2019	(71)
Hg	Animal	↑ Gene apoptotic processes	Firmicutes, Bacteroidetes Proteobacteria, Spirochaetes, Actinobacteria, Verrucomicrobia	Zhao <i>et al</i> , 2020	(62)
Mn	Animal	Hepatic damage, cardiovascular disorders and neurodegenerative diseases	Firmicutes, Bacteroidetes	Chi <i>et al</i> , 2017 Wang <i>et al</i> , 2020	(103) (104)
Ni	Animal	Allergic contact dermatitis	Firmicutes, Bacteroidetes, Proteobacteria, Deferribacteres	Zhou <i>et al</i> , 2019	(109)

Table I. Summary of the main studies reviewed categorized by metal and type of study.



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composition and can cause intestinal tissue damage when administrated in *Bufo gargarizans* (101). In mice, it perturbed microbiota composition and structure. Still, researchers have observed that *Lactobacillus plantarum* TW1-1 partially inversed Cr exposure-linked effects (102).

Mn, an essential element, is fundamental for physiological brain functioning and main metabolic pathways, including enzymes. In spite of this, an excessive Mn intake is related to hepatic damage, cardiovascular disorders and neurodegenerative diseases (103), as a result of chronic or acute exposure, also deriving from professional sources (104). The majority of Mn intake is derived from water and food; however, the interactions between GM and this element are not straightforward. Chi et al demonstrated that Mn exposure can alter the GM structure in a sex-specific manner in mice, can change metabolic profiles and the expression of bacterial genes involved in neurotransmitter biosynthesis and inflammatory cytokines (103). Moreover, Mn exposure can induce hippocampal deterioration and death in rats, also perturbing GM composition and changing the metabolism of tryptamine. Fecal microbiota transplantation (FMT) could lessen the neurological toxic effect of Mn through influencing the gut microbiome (104).

In addition, molybdenum (Mo) is an essential element and a crucial constituent of enzymes in living organisms. Mo pollution derives from human activities, such as in mining and incinerators, especially when regulatory standards are not respected. Zhou *et al* orally administered ammonium molybdate to Boer goats (105). The contents of Mo, Cu, Zn and Fe in the serum, liver, kidneys, lungs, cardiac muscle, hair and muscle were then analyzed. The data displayed a decrease in ciliate and protozoa protein levels. Mo bioaccumulated in serum and tissues. The excessive Mo intake perturbed the GM balance and interfered with Cu absorption (105).

Vanadium, a transitional metal and essential element, is a natural constituent of approximately 65 minerals. Although this metal has not shown negative outcomes for human health, several vanadium mixtures can irritate the mucosae and can cause damage to the lungs (106). Recently, toxic effects resulting in GM perturbation have raised a growing interest on this metal in the breeding field, especially poultry (107).

Ni is a metal commonly found in the environment. It is a well-known causative agent for allergic contact dermatitis deriving from belts, coins, jewelry. Skin contact, inhalation and ingestion from food and stainless steel pots are the main paths through which Ni exposure can occur (108). In a previous study, when absorbed via oral ingestion in mice, Ni did not exert toxic effects, but acted on the GM, resulting in alterations in metabolic balance and microbial composition (109).

# 12. Conclusion

The majority of the reviewed studies demonstrated that exposure to metals can alter the composition, diversity, homogeneity and structure of the GM. However, it was clearly indicated that the specific modifications reported are not homogeneous (Table I). A number of factors may explain this variability, including differences in metal compound, exposure modalities (e.g. food, water, *in vitro*), the duration of exposure, qualitative and quantitative diversity of bacterial species in basal microbiota, analytical issues. As regards the dose, it must be specified that the sensitivity or resistance of the whole GM or of an individual microbe to a given toxicant is strongly dependent on its role in the exposed organism; i.e., essential metals become toxic at very high doses, whereas metals which do not play a biological role cause adverse effects even in very small concentrations. Moreover, the majority of animal studies reported in the present review addressed a single metal, while real-life exposure commonly implies introduction of multiple metals.

Investigations into the effects of nanoparticles did not lead to straightforward conclusions: Some foresee the premises for a safe preventive and therapeutic use, while others have shown harmful effects on both gut microbiome and health. The majority of the reported studies used 16S rRNA sequencing to explore gut microbiome composition and diversity. Only a few studies utilized modern approaches, such as metagenomic sequencing and metabolomics, including the investigation of potential interactions between heavy metals, gut microbiome and metabolic pathways.

Even though further investigations are required, these findings seem to indicate structural and functional analysis of GM as an early biomarker of detrimental effects from exposure to metals.

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## Authors' contributions

CF and CC conceived the study. RC, NF and GB designed and prepared the review. MT, SI and FG contributed to the study design, and to the writing and editing of the manuscript. All authors reviewed and approved the final manuscript.

## Ethics approval and consent to participate

Not applicable.

#### Patient consent for publication

Not applicable.

# **Competing interests**

The authors declare that they have no competing interests.

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