

# *Scutellaria barbata* D. Don inhibits migration and invasion of colorectal cancer cells via suppression of PI3K/AKT and TGF- $\beta$ /Smad signaling pathways

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**Abstract.** Metastasis is one of the most aberrant behaviors of cancer cells. Patients with cancers, including colorectal cancer (CRC), have a higher risk of tumor recurrence and cancer-related mortality once metastasis is diagnosed. Existing treatment strategies fail to cure cancer mostly due to the onset of metastasis. Therefore, metastasis remains a challenge in cancer treatment. Some complementary and alternative medical therapies using traditional Chinese medicine have been demonstrated to be clinically effective in cancer treatment. *Scutellaria barbata* D. Don (SB) is a promising medicinal herb. It was previously reported that the ethanol extract of SB (EESB) is able to promote apoptosis, and inhibit cell proliferation and angiogenesis in human colon cancer cells. However, the anticancer effect of SB and the underlying mechanism require further investigation, particularly its role against metastasis. To further elucidate the antimetastatic effect of SB, MTT and Transwell assays were used in the present study to evaluate the effect of EESB on the proliferation, migration and invasion of the CRC cell line HCT-8. In addition, western blot analysis was performed to detect the expression of matrix metalloproteinases (MMPs), cadherins and other metastasis-associated proteins. EESB significantly reduced HCT-8 cell viability and attenuated the

migration and invasion ability of HCT-8 cells in a dose-dependent manner. In addition, EESB decreased the expression of MMP-1, MMP-2, MMP-3/10, MMP-9 and MMP-13, and proteins in the phosphoinositide 3-kinase (PI3K)/AKT and transforming growth factor (TGF)- $\beta$ /Smad pathways, but not the epithelial-mesenchymal transition (EMT)-related factors E-cadherin and N-cadherin. In conclusion, the results suggested that SB inhibits CRC cell metastasis via the suppression of PI3K/AKT and TGF- $\beta$ /Smad signaling pathways, which may represent a mechanism by which SB exerts an anticancer effect.

## Introduction

Colorectal cancer (CRC) is one of the most common epithelial cancers (1). Epidemiologically, CRC is the third most prevalent cancer worldwide with a high mortality rate in males and females (2). Although CRC management and therapy are performed by screening, surgery, adjuvant irradiation and chemotherapy, CRC remains one of the most life-threatening malignancies, particularly when it reaches the advanced stages (3,4). Approximately 50% of patients with CRC develop metastasis, which is usually incurable and fatal (5). The majority of patients with CRC and distant metastasis are not suitable candidates for conventional intervention and exhibit a poor 5-year survival rate of <10% (6). From a therapeutic perspective, the identification of molecular mechanisms underlying the metastatic progression of CRC may contribute to the reduction of morbidity and mortality (7). In addition, the discovery of effective and safe compounds for the treatment of CRC is urgently required in order to reduce morbidity and mortality rates.

Tumor metastasis is a complex process, and is highly regulated by multiple mechanisms, including aberrant activation of the phosphoinositide 3-kinase (PI3K)/AKT and transforming growth factor (TGF)- $\beta$ /Smad pathways (8-11). Furthermore, a large number of studies have shown that matrix metalloproteinase (MMP) overexpression is involved in numerous malignant tumors, including esophageal cancer, breast cancer, liver cancer and rectal cancer (12-16). MMPs serve a very important role in tumor invasion and metastasis (12). In

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*Abbreviations:* CRC, colorectal cancer; EESB, ethanol extract of *Scutellaria barbata* D. Don; TCM, traditional Chinese medicine; ECM, extracellular matrix; MMP, matrix metalloproteinase; EMT, epithelial-mesenchymal transition

*Key words:* *Scutellaria barbata* D. Don, colorectal cancer, migration, invasion, PI3K/AKT pathway, TGF- $\beta$ /Smad pathway

addition, epithelial-mesenchymal transition (EMT) is closely associated with tumor occurrence and metastasis (12,17). Furthermore, the expression of MMP family-related factors or N-cadherin/E-cadherin is regulated by the aforementioned pathways in an interactive manner (18,19). As a result, targeting PI3K/AKT and TGF- $\beta$ /Smad pathways may represent a novel therapeutic method to prevent metastasis without causing side effects.

Traditional Chinese medicine (TCM) is of interest to researchers as it induces relatively few side effects and has been clinically used for thousands of years as an important alternative remedy for a variety of diseases (20-23). TCMs are considered to be multi-component and multi-targeted agents that exert their therapeutic functions holistically (24). *Scutellaria barbata* D. Don (SB) is a medicinal herb widely distributed in northeast Asia. In TCM, SB is a well-known herb considered to be useful for heat-clearing, detoxification, promotion of blood circulation and removal of blood stasis (25). SB has long been used as an important component in several TCM formulas for the clinical treatment of various types of cancer. SB extracts have been shown to inhibit the growth of numerous cancer cell types (26-30). In a previous study, it was reported that SB promotes cancer cell apoptosis via activation of the mitochondrial-dependent pathway (31). However, studies in which the anticancer effect of SB and its mechanisms are elucidated, particularly studies relating to metastasis, are lacking. In the present study, the effects of SB on the migration and invasion abilities of HCT-8 human colorectal carcinoma cells and their regulation through PI3K/AKT and TGF- $\beta$ /Smad signaling pathways were evaluated.

## Materials and methods

**Materials and reagents.** RPMI-1640 medium, fetal bovine serum (FBS), penicillin-streptomycin and trypsin-EDTA were obtained from Thermo Fisher Scientific, Inc. (Waltham, MA, USA). Rabbit polyclonal antibodies against AKT (cat. no. 10176-2-AP) and PI3K (cat. no. 13329-1-AP) were purchased from Proteintech Group (Wuhan, China). Rabbit polyclonal antibodies against phospho (p)-AKT (cat. no. sc-135650) and p-PI3K (cat. no. sc-12929), and goat polyclonal antibodies against phosphatase and tensin homolog (PTEN) (cat. no. sc-6818) were purchased from Santa Cruz Biotechnology (Shanghai) Co., Ltd. (Shanghai, China). Rabbit polyclonal antibodies against MMP1 (cat. no. D120093), 2 (cat. no. D161446), 9 (cat. no. D120097) and 13 (cat. no. D120098) were purchased from Sangon Biotech Co., Ltd. (Shanghai, China), and MMP3/10 (cat. no. sc-30070) was purchased from Santa Cruz Biotechnology (Shanghai) Co., Ltd. Mouse monoclonal antibodies against E-cadherin (cat. no. ab76055) and N-cadherin (cat. no. ab98952) were purchased from Abcam (Hong Kong) Ltd. (Hong Kong, China). Rabbit polyclonal antibodies against TGF- $\beta$ 1 (cat. no. 3711S), Smad4 (cat. no. 38454S), Smad2/3 (cat. no. 8685S) and  $\beta$ -actin (cat. no. 4967), and horseradish peroxidase (HRP)-conjugated secondary antibodies (cat. no. 7074) were provided by Cell Signaling Technology, Inc. (Beverly, MA, USA). Transwell chambers were obtained from Corning Life Sciences (Tewksbury, MA, USA). BD BioCoat Matrigel Invasion Chamber was purchased from BD Biosciences (San Jose, CA, USA). All other chemicals were

obtained from Sigma-Aldrich (Merck KGaA, Darmstadt, Germany) unless otherwise stated.

**Ethanol extract of SB (EESB) preparation.** Authentic plant material was purchased from Guo Yi Tang Chinese herbal medicine store (Fujian, China). The original herb was identified as SB by Dr Wei Xu at the Department of Pharmacology, Fujian University of Traditional Chinese Medicine (Fuzhou, China). The plants were dried and cut into small pieces, and EESB was obtained as previously described (32). EESB stock solutions were prepared by dissolving EESB powder in PBS at a concentration of 500 mg/ml, and stored at -20°C. EESB working concentrations were obtained by diluting the stock solution in the culture medium.

**Cell culture.** HCT-8 human colorectal carcinoma cells were obtained from Nanjing KeyGen Biotech. Co., Ltd. (Nanjing, China). Cells were grown in RPMI-1640 medium containing 10% (v/v) FBS, 100 U/ml penicillin and 100  $\mu$ g/ml streptomycin in a 37°C humidified incubator with 5% CO<sub>2</sub>. Cells were digested at room temperature for 3 min using trypsin-EDTA and subcultured when 80-90% confluency was reached.

**MTT assay.** Cell viability was assessed using an MTT colorimetric assay. Cells were harvested, re-suspended at a final concentration of 1x10<sup>5</sup> cells/ml and then seeded into 96-well plates at a volume of 100  $\mu$ l/well. After 12 h incubation at 37°C, cells were treated with EESB at different concentrations (0, 0.125, 0.25, 0.5, 1, 1.5 and 2 mg/ml) and incubated for 24 or 48 h. Subsequently, 100  $\mu$ l MTT (0.5 mg/ml) was added to each well. The plates were incubated at 37°C for 4 h, and 100  $\mu$ l DMSO was added to dissolve the purple formazan crystals. The absorbance was read at 570 nm using an ELISA reader (Model ELx800; BioTek Instruments, Inc., Winooski, VT, USA).

**Microscopic observation of cell density.** HCT-8 cells were seeded into 6-well plates at a density of 5x10<sup>5</sup> cells/well in 2 ml medium. Cells were treated with EESB at different concentrations (0, 0.125, 0.25 and 0.5 mg/ml) and incubated for 24 h. Cell density was observed using a phase-contrast microscope (Leica Microsystems GmbH, Wetzlar, Germany). Images were captured at a magnification of x200.

**Cell migration and invasion analysis using Transwell assays.** Migration assays were performed using Transwell cell culture chambers with 8- $\mu$ m pore filters (Corning Life Sciences). Following treatment with EESB at different concentrations (0, 0.125, 0.25 and 0.5 mg/ml) for 24 h, HCT-8 cells were trypsinized and resuspended in serum-free RPMI-1640. A total of 5x10<sup>4</sup> cells in 200  $\mu$ l serum-free RPMI-1640 were plated in the upper chamber. RPMI-1640 media containing 10% (v/v) FBS was placed in the lower chamber as a chemoattractant. Cells were allowed to migrate for 12 h, and the non-migrated cells were removed from the upper surface of the Transwell membranes using a cotton swab. Membranes were fixed with ice-cold 4% paraformaldehyde for 10 min and stained using crystal violet at room temperature for 15 min. The average number of migrating cells per field was assessed by counting three random fields under a phase-contrast microscope (Leica)

at a magnification of x200. The procedure for the cell invasion assay was the same as that described for the migration assay, with the exception that the upper chamber was coated with Matrigel Matrix (BD Biosciences).

**Western blot analysis.** HCT-8 cells were seeded into 25-cm<sup>2</sup> flasks at a density of 1.25x10<sup>6</sup> cells/flask in 5 ml medium. Following incubation for 12 h, cells were treated with EESB at different concentrations (0, 0.125, 0.25 and 0.5 mg/ml) and incubated for 24 h. The treated cells were lysed using Pierce radioimmunoprecipitation assay buffer (Thermo Fisher Scientific, Inc.) containing EASYpack protease and PhosSTOP phosphatase inhibitor cocktails (both Roche Diagnostics, Basel, Switzerland). The lysates were then centrifuged at 17,000 x g for 20 min at 4°C, and the total protein concentration was determined by BCA assay. Equal amounts of total proteins (50 mg) were resolved using 10% SDS-PAGE gels and then electroblotted onto nitrocellulose membranes. The membranes were blocked with 5% nonfat dry milk at room temperature for 2 h, and treated with primary antibodies against E-cadherin (1:1,000), N-cadherin (1:1,000), TGF-β1 (1:1,000), Smad2/3 (1:1,000), Smad4 (1:1,000), AKT (1:500), p-AKT (1:500), PTEN (1:500), PI3K (1:500), p-PI3K (1:500), MMP1 (1:1,000), MMP2 (1:1,000), MMP3/10 (1:1,000), MMP9 (1:1,000), MMP13 (1:1,000) and β-actin (1:1,000) overnight at 4°C. Subsequently, the membranes were incubated with HRP-conjugated secondary antibody at room temperature for 1 h and the protein bands were detected using an enhanced chemiluminescence detection reagent, SuperSignal West Pico Chemiluminescent substrate (Thermo Fisher Scientific, Inc.). β-actin was used as the internal control. Images were taken using a ChemiDoc XRS+ imaging system (Bio-Rad Laboratories, Inc., Hercules, CA, USA). Image Lab™ software (version 3.0; Bio-Rad Laboratories, Inc.) was used for densitometric analysis and quantification of the western blots.

**Statistical analysis.** All data were obtained as the mean of three experiments. Statistical analysis was performed using SPSS software (version 17.0) for Windows (SPSS, Inc., Chicago, IL, USA) using one-way analysis of variance, followed by Fisher's least significant difference and Dunnett's tests. Data are expressed as the mean ± standard deviation. P<0.05 was considered to indicate a statistically significant difference.

## Results

**Effect of EESB on HCT-8 cell viability.** As shown in Fig. 1, EESB at low concentrations (0.125, 0.25 and 0.5 mg/ml) did not exhibit a significant effect on HCT-8 cell proliferation, while EESB at high concentrations (1, 1.5 and 2 mg/ml) significantly inhibited HCT-8 cell growth compared with that of the untreated cells. On the basis of these results, EESB concentrations of 0.125, 0.25 and 0.5 mg/ml were selected for the subsequent experiments. To further verify that the selected concentrations of EESB were not cytotoxic, the effect of EESB on HCT-8 cell density was analyzed under a microscope. As shown in Fig. 2, as the drug concentration increased from 0 to 0.5 mg/ml, there was no clear change in cell density, which indicated that these low doses of EESB had no marked effect on cell growth.

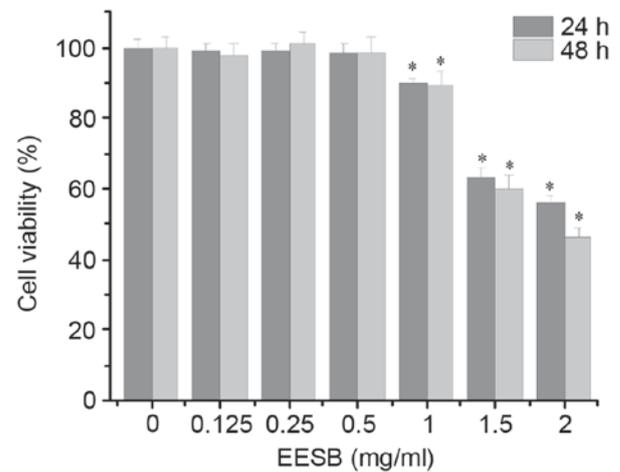


Figure 1. Effect of EESB on HCT-8 cell viability. Cells were treated with EESB at different concentrations for 24 and 48 h. Cell viability was measured via MTT assay. Data were normalized to the viability of control cells (100%). Data are expressed as the mean ± standard deviation of three independent experiments. \*P<0.05 vs. the control cells. EESB, ethanol extract of *Scutellaria barbata* D. Don.

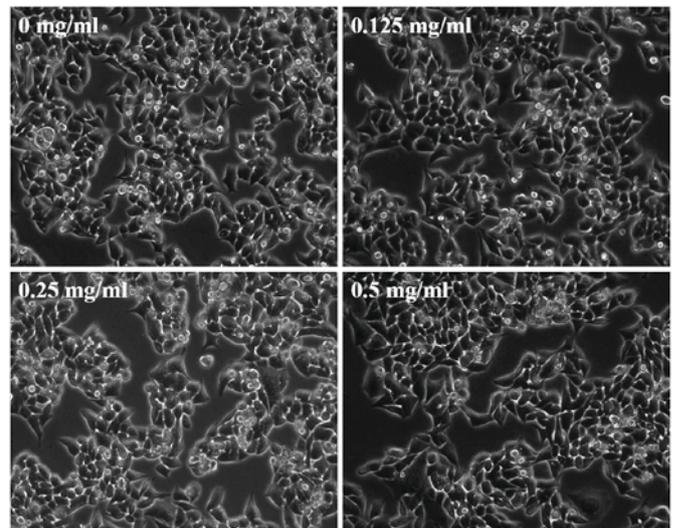


Figure 2. Effect of EESB on HCT-8 cell density. HCT-8 cells were treated with EESB at various concentrations for 24 h. Density changes were observed using phase-contrast microscopy. Photographic images were captured at a magnification of x200. Images are representative of three independent experiments. EESB, ethanol extract of *Scutellaria barbata* D. Don.

**Effect of EESB on HCT-8 cell migration and invasion.** As shown in Fig. 3A and B, EESB significantly reduced the number of migrated cells compared with the untreated control. Similarly, EESB treatment significantly reduced cell invasion through the Matrigel membrane compared with the untreated control (Fig. 3C and D). The inhibitory effects on migration and invasion were concentration-dependent. The number of migrated cells was reduced by 20.89% using 0.125 mg/ml EESB and by 99.89% using 0.5 mg/ml EESB, as compared with the untreated control cells (Fig. 3B). The invasion assay results showed that EESB treatment for 24 h reduced the HCT-8 cell invasion rate by 11.86% when 0.125 mg/ml EESB was used and by 66.90% when 0.5 mg/ml EESB was used, as compared with the untreated control cells (Fig. 3D).

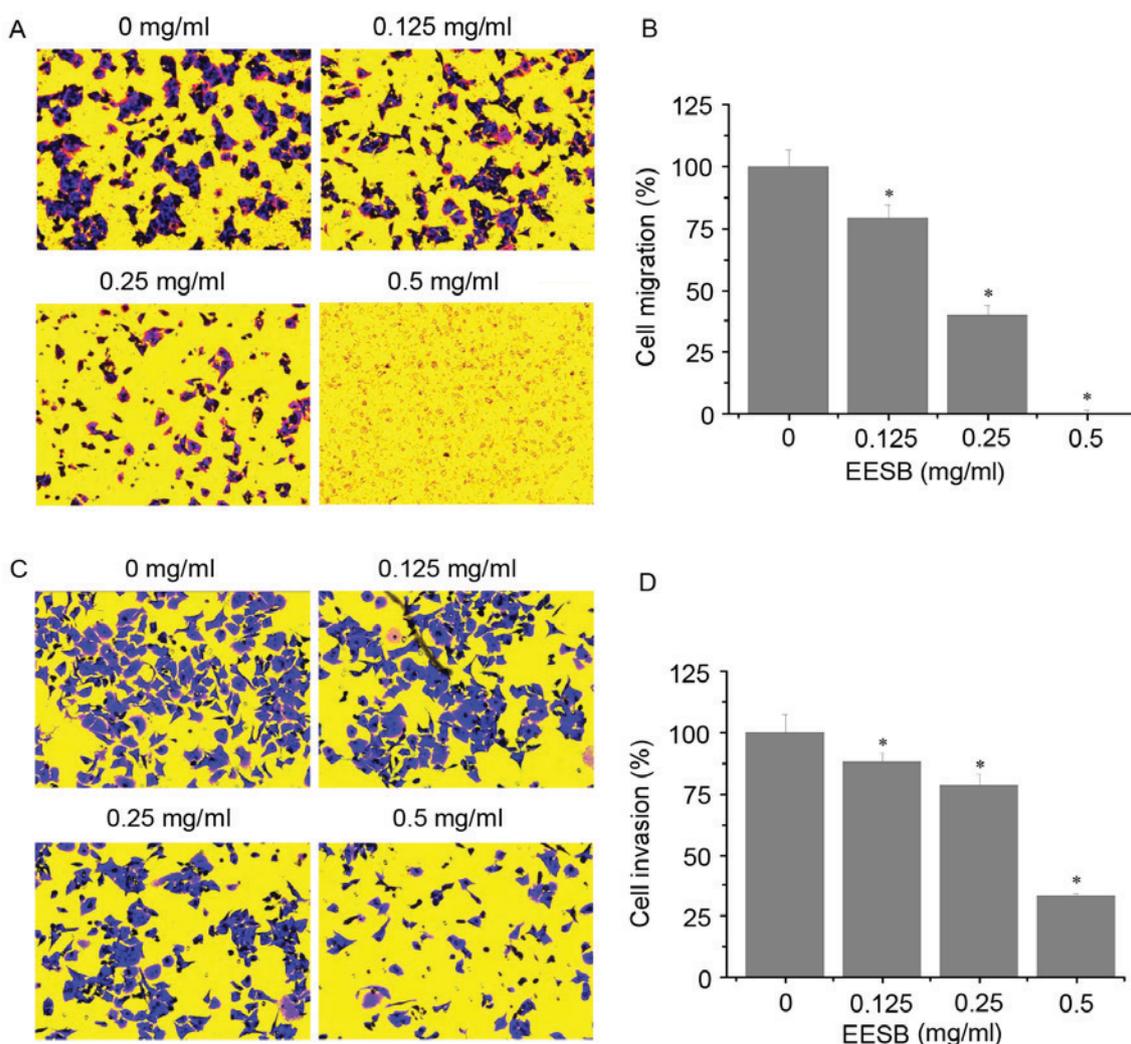


Figure 3. Effect of EESB on HCT-8 cell migration and invasion. HCT-8 cells were treated with EESB at the indicated concentrations for 24 h. The (A and B) migration and (C and D) invasion of HCT-8 cells were determined using Transwell cell culture chambers with Matrigel matrix-coated membranes, respectively. (A) Migrated and (C) invaded cells were stained using crystal violet, and images were captured at a magnification of  $\times 200$ . The average number of (B) migrated cells and (D) invaded cells were counted in three randomly selected fields. Data were normalized to the migration and invasion of control cells (100%). Data are expressed as the mean  $\pm$  standard deviation of three independent experiments. \* $P < 0.05$  vs. the control cells. EESB, ethanol extract of *Scutellaria barbata* D. Don.

**Effect of EESB on MMP and E-/N-cadherin expression.** To elucidate the antimetastatic mechanisms of EESB, the expression levels of MMPs (MMP1, MMP2, MMP3/10, MMP9 and MMP13) and the EMT-regulated factors (E-cadherin and N-cadherin) were analyzed using western blotting. As shown in Fig. 4, EESB significantly inhibited the expression of MMP1, MMP2, MMP3/10, MMP9 and MMP13 to different extents, but exerted only a slight effect on the expression of the mesenchymal marker N-cadherin and the epithelial marker E-cadherin. These results indicated that EESB inhibited HCT-8 metastasis via the suppression of MMP expression but not via EMT.

**Effect of EESB on PI3K/AKT and TGF- $\beta$ /Smad signaling pathways.** EESB markedly suppressed the activation of the PI3K/AKT pathway by significantly downregulating p-PI3K, PI3K and p-AKT protein levels (Fig. 5). In addition, PTEN, which is a tumor suppressor and PI3K/AKT upstream factor (33), was significantly upregulated following EESB treatment. Furthermore, EESB treatment significantly

inhibited the expression of TGF- $\beta$ , Smad2/3 and Smad4. These results suggested that the antimetastatic effect of EESB on CRC may be partly mediated by suppression of the PI3K/AKT and TGF- $\beta$ /Smad signaling pathways.

## Discussion

CRC remains a potentially lethal disease with a poor prognosis, mostly due to metastasis in the majority of patients. Multi-drug combination therapies have been developed leading to significantly improved patient response and overall survival. However, resistance to these drugs is inevitable and continues to be a notable problem (34,35). Therefore, novel agents, including natural products, are currently being considered for more efficient cancer treatment. TCM, with its relatively high safety and long history of pharmacological applications, has attracted attention in the field of cancer treatment (20,23). SB is a herb used in TCM formulations, where it is considered to have 'heat-clearing and detoxifying' actions, and has many reported applications in cancer treatment (36-41). Similar to other

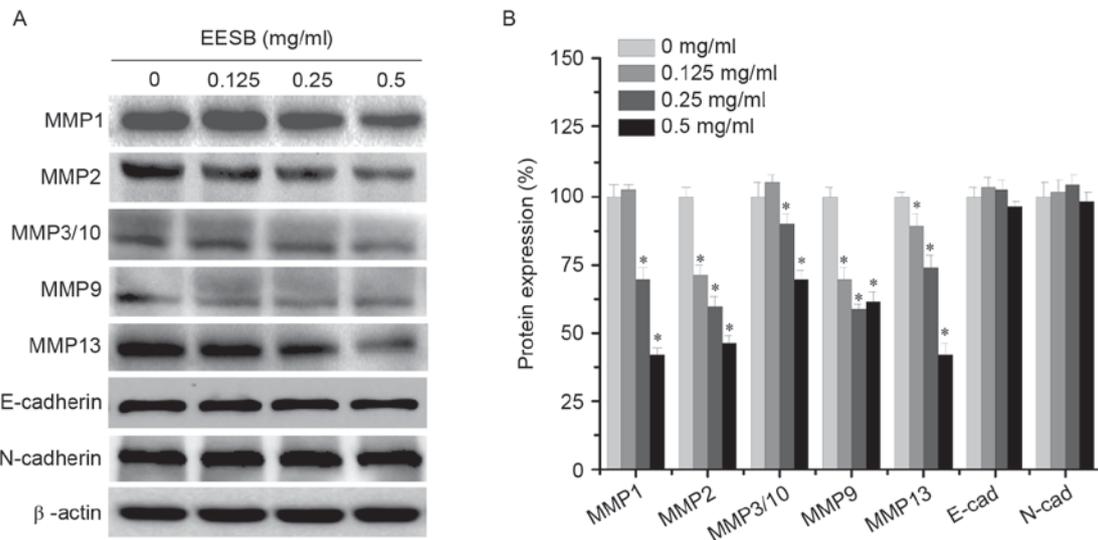


Figure 4. Effect of EESB on MMP and E-/N-cadherin expression. Cells were treated with EESB at different concentrations for 24 h. (A) MMP, E-cadherin and N-cadherin protein expression levels in HCT-8 cells were determined using western blotting.  $\beta$ -actin was used as the internal control. Images are representatives of three independent experiments. (B) Densitometric analysis. Data are expressed as the mean  $\pm$  standard deviation and were normalized to the mean protein expression of untreated control (100%). \* $P < 0.05$ . vs. the control cells. EESB, ethanol extract of *Scutellaria barbata* D. Don; MMP, matrix metalloproteinase; E-cad, E-cadherin; N-cad, N-cadherin.

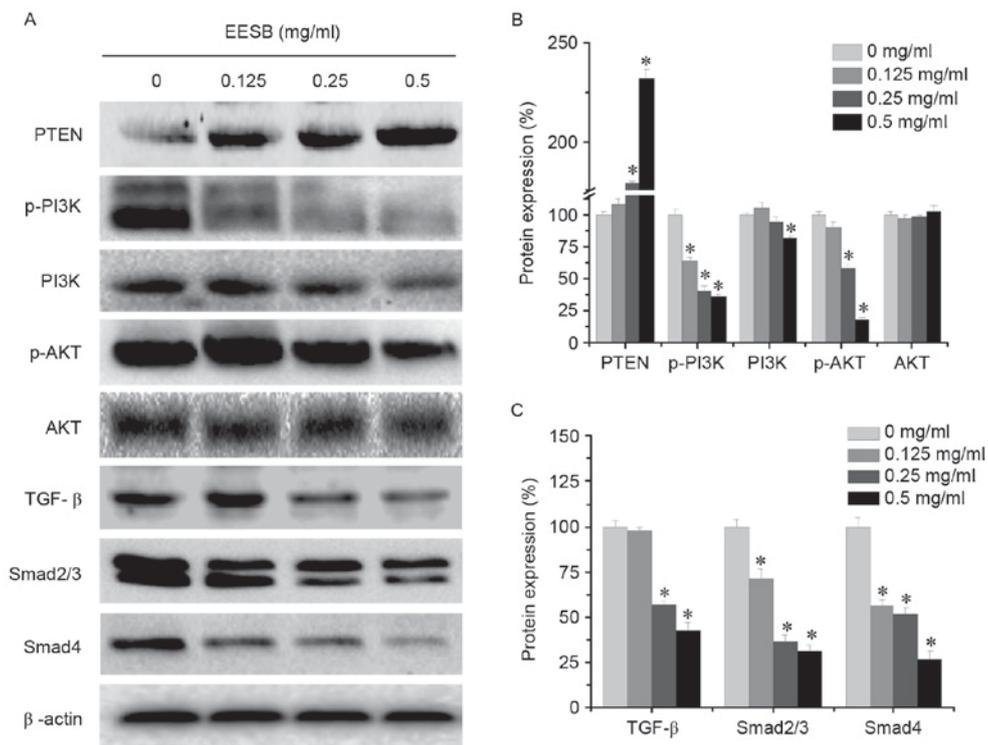


Figure 5. Effect of EESB on the activation of PI3K/AKT and TGF- $\beta$ /Smad signaling pathways. Cells were treated with EESB at different concentrations for 24 h. (A) PI3K/AKT and TGF- $\beta$ /Smad protein expression levels were determined by western blotting.  $\beta$ -actin was used as the internal control. Images are representatives of three independent experiments. Densitometric analysis for (B) PTEN, p-PI3K, PI3K, p-AKT and AKT and (C) TGF- $\beta$ , Smad2/3 and Smad4. Data are expressed as the mean  $\pm$  standard deviation and were normalized to the mean protein expression of the untreated control (100%). \* $P < 0.05$ . vs. the control cells. EESB, ethanol extract of *Scutellaria barbata* D. Don; PI3K, phosphoinositide 3-kinase; TGF- $\beta$ , transforming growth factor- $\beta$ ; PTEN, phosphatase and tensin homolog; p, phospho.

medicinal herbs, SB is a multi-targeted agent that is considered to exert its therapeutic function holistically (27,31,32,42); thus, it may be a good candidate as an anticancer drug. However, the specific mechanism of its anticancer effect, particularly its antimetastatic ability, is not yet clear.

The process of tumor metastasis is complex. One of the most studied mechanisms relates to MMP involvement. MMPs are a group of important proteases that degrade extracellular matrix (ECM). Numerous studies have shown that MMPs are associated with tumor growth, metastasis and invasion (43-45). The

main members of the family may be divided into five groups according to their domain, enzyme and substrate specificity as follows: Collagenase (MMP1, MMP8 and MMP13), gelatinase (MMP2 and MMP9), matrix soluble elements (MMP3, MMP7, MMP10 and MMP11) and matrix dissolution factor and membrane type (MT) metalloproteinase (MT1-MMP, MT2-MMP and MT3-MMP) (46). It has been reported that MMP1 expression is increased significantly in gastric cancer, which destroys the basement membrane, and promotes tumor lymphangiogenesis, tumor invasion and metastasis (47). MMP2 has been demonstrated to be closely associated with migration and invasion in several types of tumors, including breast cancer, ovarian cancer and lung cancer (48,49). MMP9 expression was identified to be increased in osteosarcoma, lung cancer, pancreatic cancer and CRC tissues to different extents, which was positively correlated with tumor metastasis (48-50). Similarly, it has been observed that MMP3 and MMP10 expression levels in lung cancer, esophageal cancer, liver cancer and endometrial adenocarcinoma tissues are higher than those in normal tissues, and that their expression has an association with tumor invasion, metastasis and proliferation (51-55). In addition, a review of a number of studies has demonstrated that MMP13 expression in malignant tumors, such as colon cancer, breast cancer, non-small cell lung cancer and oral squamous cell carcinoma, is closely associated with tumor occurrence, development, invasion and metastasis (56). On the basis of this evidence, MMPs have a close association with tumor invasion and metastasis, serving a very important role. In the present study, EESB downregulated the expression of the MMPs, to different extents, suggesting that EESB may inhibit CRC metastasis by inhibiting the expression of these specific MMPs to balance the ECM environment.

EMT is an important phenomenon in the occurrence and development of tumors and is also an important mechanism allowing tumor invasion and metastasis (57,58). E-cadherin and N-cadherin are two important factors in the maintenance of the EMT balance; EMT regulation is influenced by these two cadherins (17). However, in the present study, EESB showed only a weak effect on the expression of the mesenchymal marker N-cadherin and epithelial marker E-cadherin, suggesting that EESB inhibits CRC metastasis through mechanisms other than EMT.

In addition to the aforementioned factors, numerous pathways also contribute to tumor metastasis. For example, activation of the PI3K/AKT signaling pathway accelerates angiogenesis, tumor invasion and metastasis through the disturbance of tumor-suppressor PTEN or other causal factors, which is important in the occurrence and development of malignant tumors (59). Furthermore, the PI3K/AKT signaling pathway is involved in regulating the expression of MMP-2 and MMP-9 in a variety of tumor tissues and cells, to regulate multidrug resistance, as well as tumor invasion and metastasis (60). Furthermore, TGF- $\beta$  promotes tumor metastasis by increasing tumor angiogenesis, immune suppression, and the production and deposition of ECM (61). Previous studies have shown that TGF- $\beta$  may cause tumor metastasis through activation of the Smad pathway, and Smad2 and Smad4 are important proteins that regulate the transcription and the antiproliferative response of TGF- $\beta$ , and regulate the expression of genes downstream of TGF- $\beta$  that are involved in tumor

metastasis (62,63). Furthermore, TGF- $\beta$  may mediate tumor cell invasion by regulating ECM-degrading proteinases (64). Among the increasing number of ECM-degrading proteinases implicated in tumor cell invasion, the majority of the attention has been focused on the MMP family and the plasminogen activator system (65,66). TGF- $\beta$ 1 has been suggested to activate the Smad signaling pathway, and significantly promote the expression of MMPs and other invasion and metastasis-related genes in highly invasive breast cancer cells, thereby enhancing the ability of the cells to invade and metastasize (67,68). In the present study, EESB decreased the expression of proteins in the PI3K/AKT and TGF- $\beta$ /Smad2/3 pathways, and upregulated the expression of the tumor-suppressor PTEN, thus indicating that the inhibitory effect of EESB on metastatic CRC cells may be mediated by the suppression of certain members of the MMP family, and PI3K/AKT and TGF- $\beta$ /Smad signaling pathways.

In conclusion, EESB exerted significant antimetastatic effects on CRC cells by inhibition of their migration and invasion ability, and via the regulation of PI3K/AKT and TGF- $\beta$ /Smad signaling pathways. These mechanisms are potentially those by which EESB exhibits its effectiveness in cancer treatment.

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