# Lentivirus-mediated estrogen receptor $\alpha$ overexpression in the central nervous system ameliorates experimental autoimmune encephalomyelitis in mice

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Abstract. Multiple sclerosis (MS) is an autoimmune disease characterized by inflammatory cell infiltration of the central nervous system (CNS) and multifocal demyelination. Clinical data and clinical indicators demonstrate that estrogen improves the relapse-remittance of MS patients. This study aimed to investigate the anti-inflammatory effects and the underlying mechanism(s) of action of estrogen and estrogen receptor  $\alpha$ (ERα) in an experimental autoimmune encephalomyelitis (EAE) mouse model of MS. An ERα recombinant lentivirus was constructed. Mouse neurons were cultured in serum-free culture medium, and ERa recombinant lentivirus with a multiplicity of infection (MOI) of 5 was used to infect the neurons. Furthermore, neuronal  $\text{ER}\alpha$  mRNA and protein expression were detected using real-time quantitative PCR and western blot analysis. We sterotaxically injected ERa recombinant lentivirus into the lateral ventricle of mouse brains, and successfully identified infected neurons using Flag immunofluorescence staining to determine the optimal dose. A total of 75 C57BL/6 mice were ovariectomized. After 2 weeks, EAE was induced with myelin oligodendrocyte glycoprotein (MOG) 35-55 peptide. The EAE mice were divided into 5 groups: the estrogen group (treatment with estradiol), the ERα agonist group (treatment with raloxifene), the ERα recombinant lentivirus group (ERα group, treatment with ERa recombinant lentivirus), the empty virus group and the normal saline (NS) group; clinical symptoms and body weight were compared among the groups. We assessed EAE-related parameters, detected pathological changes with immunohistochemistry and quantified the expression of myelin basic protein (MBP), matrix metalloproteinase-9 (MMP-9), and a subset of EAE-related cytokines using enzyme-linked immu-

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nosorbent assay (ELISA). We successfully constructed an  $ER\alpha$ recombinant lentivirus. C57BL/6 mouse neurons can survive in culture for at least 8 weeks. During that period, the recombinant lentivirus was able to infect the neurons, while sustaining green fluorescence protein (GFP) expression. ERa recombinant lentivirus also infected the neurons at a MOI of 5. The ERa mRNA and protein expression levels were higher in the infected neurons compared to the uninfected ones. We successfully infected the CNS of C57BL/6 mice by stereotaxically injecting ERα recombinant lentivirus into the lateral ventricle of the mouse brains and induced EAE. The lentivirus-mediated overexpression of ER $\alpha$  reduced the incidence of EAE, ameliorated the clinical symptoms, inhibited inflammatory cell CNS infiltration, and reduced nerve fiber demyelination. MMP-9, tumor necrosis factor-α (TNF-α), interferon-γ (IFN-γ), interleukin (IL)-17 and IL-23 expression levels were decreased, while those of MBP and IL-4 were increased. These data demonstrate that it is possible to induce the overexpression of ERa using a recombinant lentivirus, and that this novel intervention ameliorates EAE in a mouse model. Mechanistically, estrogen and ERα inhibit inflammatory responses, and ERa alleviates damage to the myelin sheath. Collectively, our findings support the potential use of ERa as a therapeutic target for the treatment of MS.

## Introduction

Experimental autoimmune encephalomyelitis (EAE) is a widely used model of multiple sclerosis (MS). Both are characterized by immune cell infiltration from small blood vessels into the central nervous system (CNS) and subsequent CD4+ T cell-mediated axonal demyelination (1). MS is a chronic CNS inflammatory disease that exhibits an earlier onset and higher incidence rate in females than males (2). MS severity and relapse frequency decrease significantly during late pregnancy (3). However, the disease usually shows increased relapse frequency and severity during the postpartum period (4), and this is associated with reduced estrogen levels (5). Clinical studies have indicated that oral estrogen administration exerts immunoregulatory effects and reduces the number and size of gadolinium-enhancing lesions in relapsing-remitting MS patients, suggesting that estrogen is an effective drug for MS therapy (6,7). Certain studies have reported that estriol exerts anti-inflammatory

effects, such as inhibiting Th1 and Th17 cell priming (8,9), regulatory B cells (10) and T cells (11), as well as inhibiting matrix metalloproteinase-9 (MMP-9) activity (12). Other studies have demonstrated that estriol decreases gray matter atrophy (13) and reduces inappropriate excitatory synaptic transmission and other neuropathological hippocampal changes during EAE (14). It has been reported that chronic neuroinflammation and nuclear factor-κB-dependent chemokine C-C-motif ligand (CCL)2 expression in reactive astrocytes can be inhibited by estriol (15). Estrogen also plays a protective role. A number of published studies have described in detail that different types of estrogen receptor (ER) signaling ameliorate EAE-induced damage (9-11,16,17). Collectively, these reports, as well as others indicate that estrogen treatment exerts neuroprotective effects against EAE on the CNS. In this study, we expanded on this line of research and investigated the utility of inducing the overexpression of ERa using a lentivirus.

The biological effects of estrogens are differentially mediated through ERα and ERβ, and G protein-coupled ER30 (GPR30). Some studies have investigated the impact of ERB signaling. Tiwari-Woodruff and Voskuhl found that the ERβ ligand had no effect at EAE onset, but promoted recovery (18). Du et al reported that ERβ ligand administration did not reduce overall CNS inflammation in EAE, but it did decrease the percentage of dendritic cells (DCs) (19). Other studies have reported a protective effect of GPR30 activation in EAE. Blasko et al suggested that during EAE, GPR30 indirectly mediates a decrease in proinflammatory cytokines, including interleukin (IL)-17 and interferon-γ (IFN-γ) in immune cells (20). GPR30 plays a key role in the estrogen-dependent and vitamin D3-mediated protection in EAE, which could enhance IL-10 and IL-6 secretion by myelin oligodendrocyte glycoprotein (MOG) peptide-reactive splenocytes and promote CCL5, chemokine receptor (CCR)1, and CCR3 expression in spleen tissue (21). GPR30 membrane ER treatment has been shown to alter cytokine profiles and enhance the suppressive activity of CD4+Foxp3+T cells through a GPR30 and programmed death-1 (PD-1)-dependent mechanism (16). ERα also plays an important role in EAE. Firstly, estrogens exert protective effects during EAE through ERa by inhibiting the recruitment of blood-derived inflammatory cells into the CNS (22). Secondly, certain studies have reported estrogen-mediated protection against EAE in regulatory B cells and the mediation of MMP-9 activity through ERa (10,23). Thirdly, Lelu *et al* demonstrated that ERα expression is critical in hematopoietic, but not endothelial cells; it mediates the estrogen inhibitory effect on Th1 and Th17 cell priming, which protects against EAE (8). Finally, reactive astrocytes are a target of the inhibitory action of nuclear ERα on chemokine expression; this observation suggests that targeting astrocytic nuclear factor-κB may be a useful therapeutic goal (15,24). Although exclusively investigated, the exact role of ERα in EAE requires further study.

Evidence indicates that therapeutic genes expressed in lentiviral vectors are applicable for the treatment of many types of neurological disorders, due to the characteristics of the sustained expression of targeted genes and no obvious unwanted side-effects of lentivirus vectors *per se* (25). Foster *et al* found that restoring ER $\alpha$  expression via lentiviral hippocampal delivery improved the hippocampal response to estrogen in ER $\alpha$ - $^{-1}$ - mice (26), suggesting that the presence of ER $\alpha$  in

the CNS is crucial for estrogen function and that a lentiviral delivery system is a viable way of manipulating CNS gene expression. In this study, we established an experimental model to identify and characterize the effect and mechanism of action of nuclear ER in EAE. We induced the overexpression of ER $\alpha$  in the CNS by injecting recombinant lentivirus into the lateral cerebral ventricle, to investigate the effect and mechanism of action of ER $\alpha$  in the CNS in EAE mice; estradiol and the ER $\alpha$  agonist, raloxifene, were used as the positive controls. Our results demonstrate that the lentivirus-mediated delivery of an ER $\alpha$  gene is a viable method of increasing CNS-targeted protein expression and is effective in ameliorating the symptoms of EAE in a mouse model of MS.

### Materials and methods

Animals. We purchased 75 4-week-old female C57BL/6 mice weighing 19-22 g from the Experimental Animal Center of Chongqing Medical University [Certificate of Conformity: SCXK (Chongqing) 2007-000341]. All mice were handled in accordance with the requirements of the Chongqing University Medical School Laboratory Animal Ethics Committee.

Reagents. The plasmid pMD19-ERa, 293T (human embryonic kidney) cells, and Escherichia coli DH5α were obtained from the Central Laboratory of Guizhou People's Hospital, Guizhou, China. The lentiviral vector, LV-GFP-Flag [with green fluorescence protein (GFP) and Flag tag] was purchased from Shanghai GeneChem Corp. (Shanghai, China). The helper plasmids, pHelper 1.0 and pHelper 2.0, Lipofectamine 2000 and TRIzol were purchased from Invitrogen (Carlsbad, CA, USA). Raloxifene hydrochloride tablets (easy Witte<sup>TM</sup>) were purchased from Eli Lilly (Indianapolis, IN, USA). Estradiol valerate (Progynova™) was purchased from Schering AG (Berlin-Wedding, Germany). MOG 35-55 peptide (MEVGWYRSPFSRVVHLYRNGK, purity >97%) was purchased from the Xi'an AP Peptide Synthesis Company (Xi'an, China). Pertussis toxin was a gift from the Chengdu Institute of Biological Products in China. Bacillus Calmette-Guerin (BCG) vaccine was purchased from Chinese Pharmaceutical and Biological Products (Chengdu, China). Trypsin was purchased from Ameresco (Solon, OH, USA). Dulbecco's modified Eagle's medium (DMEM)/F12, D-Hank's solution, and protein assay kits were purchased from HyClone (Logan, UT, USA). Fetal bovine serum and B-27 were purchased from Gibco (Carlsbad). Mouse anti-ERα monoclonal antibody was purchased from Lifespan Biosciences (Seattle, WA, USA). Mouse monoclonal antibody to glyceraldehyde 3-phosphate dehydrogenase (GAPDH) and goat anti-mouse IgG were purchased from Santa Cruz Biotechnology (Santa Cruz, CA, USA). Rabbit anti-matrix MMP-9 polyclonal antibody and fluorescein isothiocynate (FITC)-labeled goat anti-rabbit IgG were purchased from Beijing Boao Sen Corp. (Beijing, China). Luxol fast blue (LFB), cresyl fast violet and complete Freund's adjuvant were obtained from Sigma-Aldrich (St. Louis, MO, USA). The BioRT reverse transcription-polymerase chain reaction (RT-PCR) kit was purchased from Bio Flux Corp. (Tokyo, Japan). The SYBR Master Mixture kit was obtained from Takara (Dalian, China). Mouse anti-myelin basic protein (MBP) antibody was purchased from Abcam (Cambridge, UK). Polyvinylidene fluoride (PVDF) membranes were obtained from

Bio-Rad (Hercules, CA, USA). The ACS 180E2 chemiluminescent immunoassay reagent kit was obtained from Bayer Corp. (Pittsburgh, PA, USA). Mouse TNF-α, IFN-γ, IL-4, IL-17, IL-23 and MMP-9 enzyme-linked immunosorbent assay (ELISA) kits were purchased from R&D Systems (Minneapolis, MN, USA). An enhanced chemiluminescence (ECL)-Plus kit was obtained from Amersham (Piscataway, NJ, USA). Primers were designed and synthesized by Sangon (Shanghai, China).

Primary neuronal cell culture. Cell culture was conducted as previously described (27). C57BL/6 mice were sacrificed within 24 h of birth, and their cortical tissues were dissected. A single cell suspension was obtained following trypsinization, and these neuronal cells were seeded at a density of 1x10<sup>5</sup> cells/well in 6-well plates and 1x10<sup>7</sup> cells/15 ml flask and cultured in an incubator operating at 37°C under 5% CO<sub>2</sub>. After 24 h, the basal medium was replaced with a serum-free medium (DMEM/F12 medium supplemented with 1% growth factor B-27, 10<sup>4</sup> units/ml penicillin and 10 mg/ml streptomycin). Half of the serum-free medium was replaced with fresh medium every 3 days.

Determination of raloxifene concentration. The blood drug concentration of raloxifene was determined as follows: blood samples were collected from the EAE mice. A precise volume of 1 ml of plasma sample was transferred to centrifuge tubes. A total of 50  $\mu$ l of internal reference solution was then added and mixed evenly. Approximately 200 µl of NaOH solution (1 mmol/l) was added into the mixed solution, rotated for 20 sec, followed by the addition of 5 ml of hexane-butanol (98:2, v/v), rotation for 3 min and centrifugation at 4,000 rpm for 10 min. A precise volume of 4 ml of organic phase was collected and transferred to another centrifuge tube, and then blow-dried with nitrogen flow in a water bath at 40°C. The residue was dissolved in 200 µl of mobile phase and centrifuged at 16,000 rpm for 2 min. The supernatant was collected and transferred to the small bottle in the automated chemiluminescent immunoassay analyzer, and vertically illuminated under an ultraviolet lamp at a wavelength of 254 nm for 10 min. A precise volume of 10  $\mu$ l of the supernatant was collected for determination.

ERα recombinant lentivirus construction and transfection. Lentiviral transfection was performed as described in a previous study (28). Briefly, a PCR-amplified gene fragment encoding ERα was cut using the restriction enzymes, AgeI/ NheI, and cloned into the LV-GFP-Flag vector. The plasmid sequences were confirmed by sequencing. The ERa recombinant vector or the empty viral vector was co-transfected with the pHelper 1.0 and pHelper 2.0 helper plasmids into 293T cells using Lipofectamine 2000. The lentivirus-containing supernatant was collected, centrifuged and filtered. The titer of ERα recombinant lentivirus was determined using real-time quantitative PCR. Neuronal cells cultured for 7 days were infected with ERa recombinant lentivirus at a multiplicity of infection (MOI) of 5. GFP expression in the infected cells was observed daily under an inverted fluorescence microscope. Five days after infection, ERα recombinant lentivirus-infected and -uninfected neuronal cells were collected, and total RNA and protein were extracted. ERa expression was examined by RT-PCR and western blot analysis. The primer sequences are shown in Table I.

Ovariectomy and EAE animal model preparation. A total of 75 female C57BL/6 mice were anesthetized and underwent bilateral tubal ligation and ovariectomy. Two weeks later, an mouse model of MS was established. MOG 35-55 peptide was diluted with 0.01 mol/l phosphate-buffered saline (PBS) to 300  $\mu$ g/ml, combined with the same amount of complete Freund's adjuvant (final concentration of BCG of 10 mg/ml) and mixed with a glass syringe to form an antigen emulsion. EAE was induced with a subcutaneous injection of 0.2 ml antigen emulsion at the back, neck, armpit and groin at 0 and 7 days post-immunization, and intraperitoneal injections of 0.4 mg pertussis toxin were administered at 0 and 2 days post-immunization. EAE mice were divided into 5 groups (n=17 per group): the estrogen, ERα agonist, ERα recombinant lentivirus, empty virus vector and normal saline (NS) group. From days 7 to 30 following immunization, the estrogen and ERα agonist groups were subcutaneously treated with a saline suspension of estradiol valerate (0.04 mg/kg/day) (29) and a raloxifene saline suspension (2 mg/ kg/day) (30), respectively. On the day of immunization, mice in the ERa, empty virus and NS group were anesthetized with sodium pentobarbital (40 mg/kg) and injected with 20  $\mu$ l ER $\alpha$ lentivirus, empty virus, or saline, respectively. Injections were made into the lateral cerebral ventricle located 2.0 mm posterior and 1.5 mm lateral to the bregma at a depth of 2.5 mm using stereotaxic techniques. Animals in each group were euthanized at 5, 10, 20 and 30 days post-injection, and the spinal cords and brain tissue were harvested for analysis. Hematoxylin and eosin (H&E) staining was performed to assess the inflammatory response in the brain and spinal cord tissues of normal mice following injection with ERα recombinant lentivirus.

To examine the effects of raloxifene and estradiol valerate on EAE induction and severity, mice received treatment or placebo by subcutaneous injection from days 10 to 30 following immunization.

Determination of estradiol concentraion. Blood samples were collected from the EAE mice and dissolved at room temperature, mixed evenly, and centrifuged at 4,000 rpm for 5 min. Approximately 250  $\mu$ l of serum samples were collected and transferred to tubes for subsequent determination. The cleaning and filling procedures of the fully automated chemiluminescent immunoassay analyzer were performed. The luminous agent and solid-phase secondary antibody were added according to the instructions provided with the ACS 180E2 chemiluminescent immunoassay reagent kit, and the mixed procedure was executed for 20 min. The calibration solution, control solution and antibody were added into the sample plates, and the calibration and control programs were set up on a computer. The blood drug concentration of estradiol was automatically determined using the immunoassay analyzer.

*EAE evaluation*. Body weight and clinical scores were recorded daily. We employed a standard scale used for EAE model evaluation (31) that consists of: 1, paresis of the tail; 2, paresis of a hind limb; 3, paralysis of a hind limb; 4, paralysis of a forelimb; and 5, a moribund state or death.

Pathological analysis. Five EAE mice in each group were sacrificed at 16 days post-immunization (dpi) (acute phase), 5 mice were sacrificed at 23 dpi (remission phase), and 5 mice

Table I. Primer sequences.

Primer name	Primer sequence (5'→3')	
ERα coding region	Forward Reverse	GAGGATCCCCGGGTACCGGTCGCCACCATGACCATGACCCTTCACAC TCATCCTTGTAGTCGCTAGCGATCGTGTTGGGGAAGC
ERα lentivirus vector	Forward Reverse	GATCCACCTGATGGCCAAAG AGCGTAAAAGGAGCAACATAG
ERα	Forward Reverse	AATTCCTGGTGTTGTCG AAGGTCCGCTGGATTGAG
β-actin	Forward Reverse	GTGGACATCCGCAAAGAC AAAGGGTGTAACGCAACTA
MMP-9	Forward Reverse	GCCCTGGAACTCACACGACA TTGGAAA CTCACACGCCAGAAG
TNF-α	Forward Reverse	GCCACAAGCAGGAATGAGAAG GCCACAAG CAGGAATGAGAAG
IFN-γ	Forward Reverse	TTTGCAGCTCTTCCTCAT TGCCAGTTCCTCCAGATA
IL-4	Forward Reverse	TCTCGAATGTACCAGGAGCCATATC AGCACCTTGGAAGCCCTACAGA
IL-23	Forward Reverse	ACATGCACCAGCGGACATA CTTTGAAGATGTCAGAGTCAAGCAG
IL-17	Forward Reverse	ACGCGCAAACATGAGTCCAG AGGCTCAGCAGCAACAG

 $ER\alpha$ , estrogen receptor  $\alpha$ ; MMP-9, matrix metalloproteinase-9; TNF- $\alpha$ , tumor necrosis factor- $\alpha$ ; IFN- $\gamma$ , interferon- $\gamma$ ; IL, interleukin.

were sacrificed at 30 dpi (chronic phase). The spinal cords of the acute phase mice were fixed in 4% paraformaldehyde for 24 h, embedded in paraffin, and cut into 5  $\mu$ m-thick sections for H&E staining and LFB-H&E staining as previously described (27). The scores of the inflammatory responses were evaluated on a 5-point scale as described previously (32): 0, no infiltration of inflammatory cells; 1, infiltration only into the subarachnoid space; 2, mild infiltration into the brain parenchyma; 3, moderate infiltration into the brain parenchyma; and 4, severe and diffuse inflammatory cell infiltration into the brain parenchyma. Nerve demyelination scores were evaluated on a 4-point scale as follows: 0, no demyelination; 1, mild demyelination; 2, moderate demyelination; and 3, severe demyelination.

MMP-9 immunofluorescence staining. Paraffin-embedded tissues were cut into 6  $\mu$ m-thick sections, dewaxed, rehydrated, subjected to antigen retrieval and permeabilized with 0.1% Triton X-100. The sections were blocked in pre-immune serum blocking solution (1:10) for 20 min, incubated with an anti-MMP-9 antibody (1:100), followed by incubation with a FITC-labeled secondary antibody (1:100). The sections were observed using an Axiovert 200M fluorescence microscope (Zeiss, Jena, Germany). Five random and non-overlapping fields were collected from each section, and the integral optical density (IOD) values were calculated and averaged with the microscope's image analysis system.

Real-time quantitative PCR. Total brain RNA was extracted with TRIzol and reverse transcribed to cDNA using a BioRT reverse transcription kit. Real-time quantitative PCR was performed using an SYBR Master Mixture kit according to the manufacturer's instructions. An RT quality control and a PCR negative control were included, and  $\beta$ -actin was used as an internal control. Relative gene expression levels were calculated according to the cycle threshold (Ct) values of target genes relative to the internal control gene. The primers are shown in Table I.

*ELISA*. Brain tissues were washed with ice-cold PBS, dried on filter paper, diluted in saline to form a 10% brain tissue homogenate, and centrifuged at 2,800 x g for 10 min at 4°C. TNF- $\alpha$ , IFN- $\gamma$ , MMP-9, IL-4, IL-17 and IL-23 expression levels in the supernatants were detected using a double antibody sandwich ELISA kit according to the manufacturer's instructions.

Western blot analysis. Cell lysates were equally loaded, and the proteins were separated by 10% sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE). The separated proteins were transferred onto PVDF membranes at  $4^{\circ}$ C, 400 mA for 120 min. Membranes were blocked in Tris-buffered saline buffer containing Tween-20 (TBST) and 5% skimmed milk for 1 h and incubated with mouse anti-ER $\alpha$  monoclonal antibody (1:500), mouse anti-MBP antibody (1:1,000), or mouse anti-GAPDH monoclonal antibody

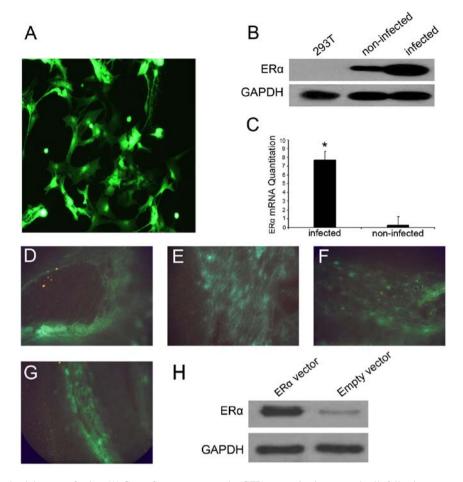


Figure 1. ER $\alpha$  recombinant lentivirus transfection. (A) Green fluorescence protein (GFP) expression in neuronal cells following estrogen receptor (ER) $\alpha$  lentivirus (MOI of 5) infection for 72 h (x400). (B) ER $\alpha$  protein expression assessed by western blot analysis. The relative amount of ER $\alpha$  protein in the control cells was 0.241±0.053 and 1.032±0.16 in the infected cells (P<0.01, n=5). (C) The ER $\alpha$  mRNA expression level in infected neuronal cells increased 7462±1417-fold compared to the control cells (P<0.001, n=5). (D-G) GFP expression in (D) hippocampus, (E) region around the lateral ventricle, (F) brain parenchyma, and (G) spinal cord. (H) Western blot showing ER $\alpha$  expression in mouse brain homogenate following lentivirus administration. All experiments were performed in triplicate.

(1:2,500) at 4°C overnight. The following day, membranes were incubated with sheep anti-mouse IgG (1:5,000) at room temperature for 1 h, and the blots were visualized using an ECL-PLUS kit. GAPDH was used as an internal control. Relative protein expression levels are described as the band density of target proteins relative to GAPDH.

Statistical analysis. All experiments were performed at least in triplicate. Statistical analyses included one-way analysis of variance and Newman-Keuls multiple comparisons tests. The summarized data are presented as the means ± standard deviation, and a P-value <0.05 was considered to indicate a statistically significant difference.

# Results

 $ER\alpha$  overexpression with recombinant lentivirus in vitro and in vivo. We achieved  $ER\alpha$  overexpression with recombinant lentiviral transfection in vitro and in vivo. In the cultured neuronal cells, a sustained GFP expression was observed for at least 8 weeks (Fig. 1A) following infection with  $ER\alpha$  recombinant lentivirus (titer of  $2x10^8$  TU/ml; MOI of 5), suggesting that the lentiviral infection of the neuronal cells was effective and stable. Moreover,  $ER\alpha$  mRNA and protein levels were

significantly upregulated in the lentiviral-transfected neuronal cells (Fig. 1B and C). We also induced the overexpression of ERα in EAE mice by injecting recombinant lentivirus into the lateral ventricle of the mouse brains. At 5, 10, 20 and 30 days post-injection, EAE mice receiving ERα recombinant lentivirus and the empty vector controls were sacrificed. We detected a strong GFP signal in the EAE mice that received ERα recombinant lentivirus in several CNS regions, including the hippocampus (Fig. 1D), the region around the lateral ventricle (Fig. 1E), brain parenchyma (Fig. 1F) and spinal cord (Fig. 1G), suggesting the successful overexpression of ERα in vivo. By 5 days post-injection, ERα protein expression in the animals receiving ERa recombinant lentivirus was increased relative to the controls (Fig. 1H), and this was maintained throughout the course of the experiment. H&E staining showed that ERa recombinant lentivirus injection did not induce inflammatory responses in the brain or spinal cord tissues of the normal mice.

ERα overexpression improves clinical signs of disease in EAE mice. The estrogen and ERα agonist group received a subcutaneous injection containing saline suspensions of estradiol valerate (0.04 mg/kg/day) and a raloxifene saline suspension (2 mg/kg/day), respectively. The doses were selected to produce

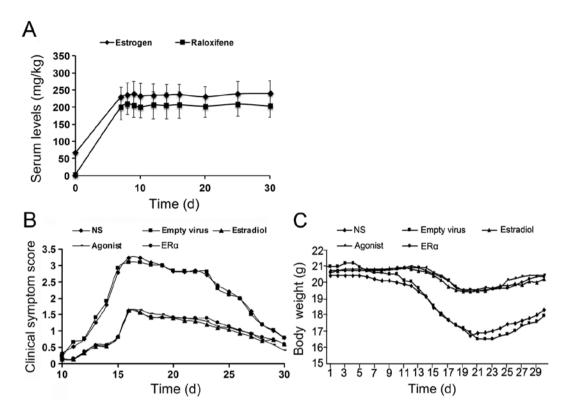


Figure 2. Disease symptom scores and body weight curves of encephalomyelitis (EAE) mice in each group. (A) Estrogen and raloxifene serum levels over a periof of 30 days. (B) Clinical score curves of EAE mice in each group 1-30 days post-immunization. (C) Body weight curves of EAE mice in each group 1-30 days post-immunization. ER $\alpha$ , estrogen receptor  $\alpha$ ; d, days.

relevant serum therapeutic levels. Baseline measurements were made at day 0. The graph in Fig. 2A shows that the treatment successfully increased the serum levels of estrogen and raloxifene over a period of 30 days.

Mice that received recombinant ERα lentivirus were used to assess the possible protective role of ER $\alpha$  against the clinical manifestations of EAE (Fig. 2B). In the empty virus and NS group, symptoms appeared on approximately the 12th day post-immunization, including decreased physical activity, loss of appetite and body weight and tail and limb paralysis. The most severe symptoms manifested on the 14-18th day post-immunization (peaking on day 16, acute stage) and gradually improved from day 23 on (remission stage). After 30 days, the mice had fully recovered, but mild neurological dysfunction was detected in some animals (chronic phase). The total incidence rate of EAE was >90% in the empty virus and NS group; the maximum weight loss was 4.183±1.358 and 4.3±1.226 g, and the maximum clinical scores were 3.23±0.831 and 3.09±0.834, respectively (Fig. 2B and C). Compared to the empty virus and NS group, ERα overexpression significantly improved EAE symptoms throughout the entire observation period, including delayed disease onset (symptoms appeared on the 14th day post-immunization), low EAE incidence rate (14.29%) and evident symptom relief (Fig. 2B), similar to the estrogen and ERα agonist group. In the estrogen, ERα agonist and ERa recombinant lentivirus group, the maximum weight loss was  $1.244\pm0.554$ ,  $1.172\pm0.63$  and  $1.341\pm0.481$  g, and the maximum clinical scores were 1.62±1.082, 1.57±1.117 and 1.61±1.135, respectively, which were all significantly lower than those in the empty virus or NS group (P<0.05, Fig. 2B and C). At day 30 post-immunization, observation of the ovarian and uterine tissues of mice in each group revealed a single EAE mouse with endometrial thickening in the estrogen group, but similar findings were not observed in the other groups.

ERα overexpression inhibits inflammatory cell infiltration and nerve fiber demyelination in EAE mice. Since the most significant features of EAE are inflammatory cell invasion and nerve fiber demyelination, we investigated the effect of ERα overexpression on both these parameters. We observed significant inflammatory cell infiltration, mainly lymphocytes, into the spinal cord during acute EAE in the empty virus and NS group (Fig. 3D and E). This was dramatically attenuated by ERα overexpression and the administration of estrogen or ERα agonist (Fig. 3A-C and F, P<0.001). Similarly, significant demyelination was observed in the empty virus and NS group, which also improved following treatment with ERα recombinant lentivirus and estrogen or ERα agonist (Fig. 3G-L).

 $ER\alpha$  overexpression increases MBP expression. MBP is a membrane protein that is specifically expressed in myelin-forming cells (33). Mice with a reduced MBP expression exhibit serious nerve fiber myelination defects in the CNS (33,34), suggesting that MBP plays an important role in myelin formation. To further confirm that  $ER\alpha$  overexpression inhibits nerve fiber demyelination in EAE mice, MBP expression was analyzed by immunohistochemistry. We found that MBP protein levels were significantly increased in the brain

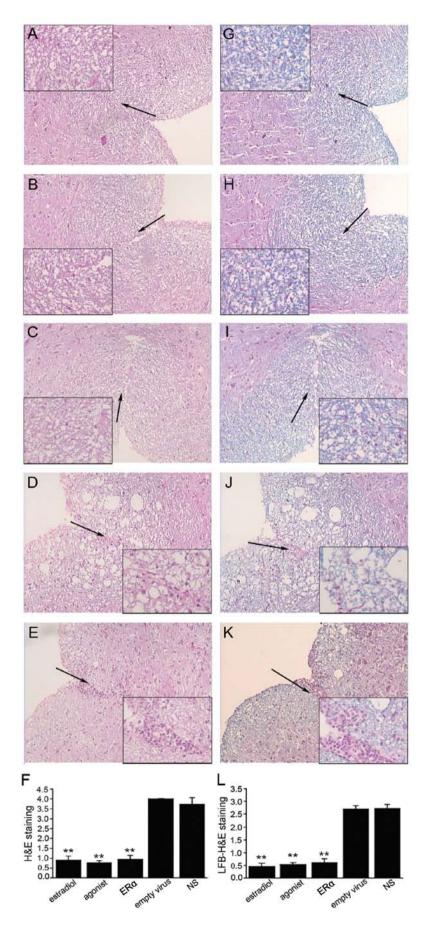


Figure 3. Histological analysis of inflammation and demyelination. (A-E) H&E staining; (G-K) LFB-H&E staining; (A and G) estrogen group; (B and H) estrogen receptor (ER) $\alpha$  agonist group; (C and I) ER $\alpha$  group; (D and J) empty vector group; (E and K) normal saline (NS) group (x40); (F) inflammatory cell infiltration quantification (H&E staining, A-E), n=5, \*\*P<0.001 compared to the empty vector group; (L) demyelination quantification (LFB-H&E staining, H-L), n=5, \*\*P<0.001 compared to the empty vector group.

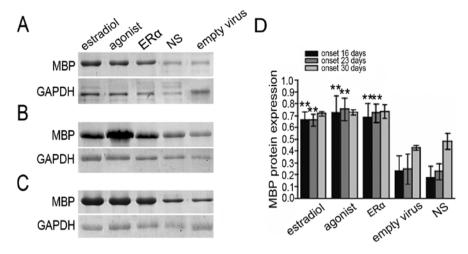


Figure 4. Myelin basic protein (MBP) protein expression in encephalomyelitis (EAE) mice. (A-C) Western blot analysis detection of MBP in EAE mouse brains during the acute (16 days post-immunization, A), remission (23 days post-immunization, B) and chronic phases (30 day post-immunization, C). (D) MBP protein expression densitometry, n=5, \*P<0.05 and \*\*P<0.001 compared to the empty vector group at the same time point.

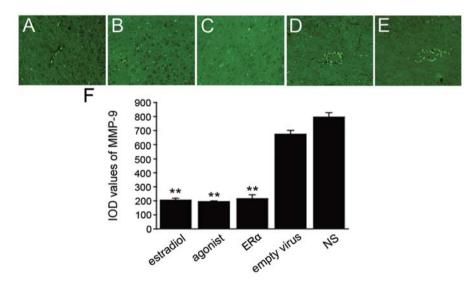


Figure 5. Immunohistochemical analysis of matrix metalloproteinase-9 (MMP-9) expression in encephalomyelitis (EAE) mouse spinal cord. (A) Estrogen group; (B) estrogen receptor (ER) $\alpha$  agonist group; (C) ER $\alpha$  group; (D) empty virus group; (E) normal saline (NS) group (x200); (F) Comparison of the MMP-9 integral optical density (IOD) values in samples from each group, n=5, \*\*P<0.001 compared to the empty vector group.

in the  $ER\alpha$ , estradiol, and raloxifene groups (Fig. 4). These results indicate that  $ER\alpha$  overexpression has a similar effect to estrogen therapy on demyelination in EAE mice.

ERa overexpression inhibits MMP-9 expression. The expression of the proteolytic enzyme, MMP-9, is increased in EAE models and results in blood-brain barrier damage and a series of demyelinating events (35,36). We investigated MMP-9 expression following the induction of ERα overexpression in an EAE mouse model and investigated the mechanism behind the anti-inflammatory effects exerted by ERα. We observed extensive infiltration of MMP-9-positive cells into the spinal cord in the NS and empty virus group. These cells, which typically present green cytoplasmic fluorescence, formed infiltration lesions around small blood vessels (Fig. 5D and E). Although MMP-9-positive cell infiltration into the spinal cord was also evident in the ERα, estrogen and ERα agonist group, the numbers of MMP-9-positive cells were significantly decreased (Fig. 5A-C)

compared to the empty virus group, as evidenced by the significantly lower IOD values (Fig. 5F, P<0.001). These results suggest that the inhibition of MMP-9 expression by ER $\alpha$  overexpression may be one of the possible protective mechanisms against EAE symptoms in mice.

*ERα* overexpression decreases inflammatory cytokine expression levels in EAE mice. It is known that the expression of inflammatory cytokines, such as TNF- $\alpha$ , IFN- $\gamma$ , IL-4, IL-17 and IL-23 is usually increased in EAE. To further examine the correlation between inflammation and protection against EAE by ERα overexpression, we measured the levels of inflammatory cytokines, including TNF- $\alpha$ , IFN- $\gamma$ , IL-4, IL-17 and IL-23. We found that the levels of MMP-9, TNF- $\alpha$ , IFN- $\gamma$ , IL-17, IL-23 and IL-17 in the EAE mouse brains were downregulated after the body weight recovery and disease symptoms remittance, while IL-4 levels were increased. Compared to the empty virus and NS group, ER $\alpha$  overexpression or treatment with estrogen or

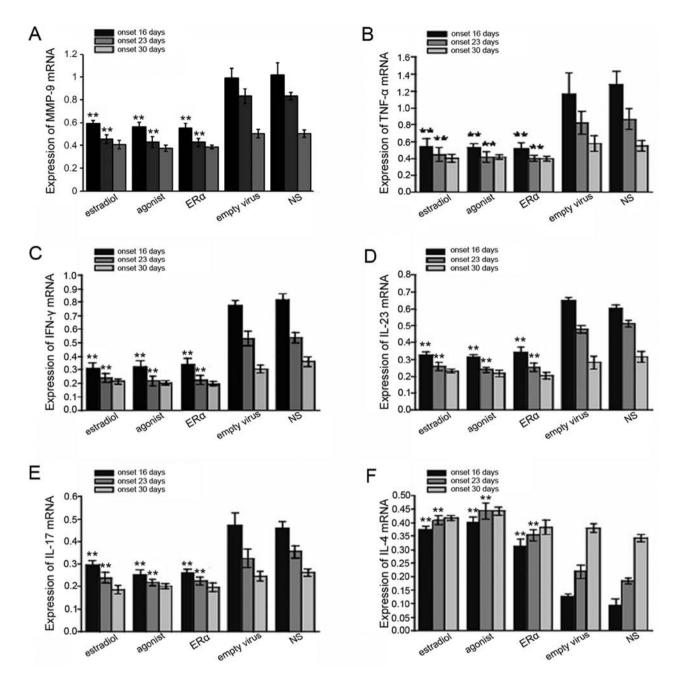


Figure 6. Inflammatory cytokine mRNA expression levels in encephalomyelitis (EAE) mouse brain tissues. RT-PCR was performed to analyze inflammatory cytokine mRNA expression in brain tissues. (A) Matrix metalloproteinase-9 MMP-9; (B) tumor necrosis factor (TNF)- $\alpha$ ; (C) interferon (IFN)- $\gamma$ ; (D) interleukin (IL)-23; (E) IL-17; (F) IL-4; n=5; \*\*P<0.001 compared to the empty vector group at the same time point ER, estrogen receptor; NS, normal saline.

ER $\alpha$  agonist significantly decreased the levels of inflammatory cytokines in the EAE mice, but increased those of IL-4 (Figs. 6 and 7). Taken together, our results suggest that the protective effects against EAE by lentiviral-mediated ER $\alpha$  overexpression may occur through inflammatory response inhibition.

## Discussion

A number of studies have demonstrated that estrogen or  $ER\alpha$  agonists are beneficial for MS (5,12,20). The main aim of the present study was to investigate the feasibility of lentivirus-mediated overexpression of  $ER\alpha$  in the CNS in EAE and to elucidate the mechanisms underlying its effects.  $ER\alpha$  overexpression resulted in significant symptom improvement and successfully

inhibited inflammatory cytokines in EAE mice. We introduced the recombinant lentivirus vectors via the administration of lateral cerebral ventricle injections. Compared to adenovirus, lentivirus has high transfection efficiency in non-dividing cells, a sustained expression potency and minimal impact on normal cellular functions (37-39). Previous studies have demonstrated the feasibility of the lentivirus-mediated delivery of  $ER\alpha$  (26,40). These studies suggest that lentivirus may have great potential for clinical gene therapy, particularly for manipulating CNS gene expression (41). To date, to our knowledge, there are no reports of the use of recombinant lentivirus overexpressing  $ER\alpha$  in EAE mouse models; the majority of studies have employed  $ER\alpha$  ligands or selective ER agonists. The results described in this study illustrate that recombinant lentivirus increases  $ER\alpha$ 

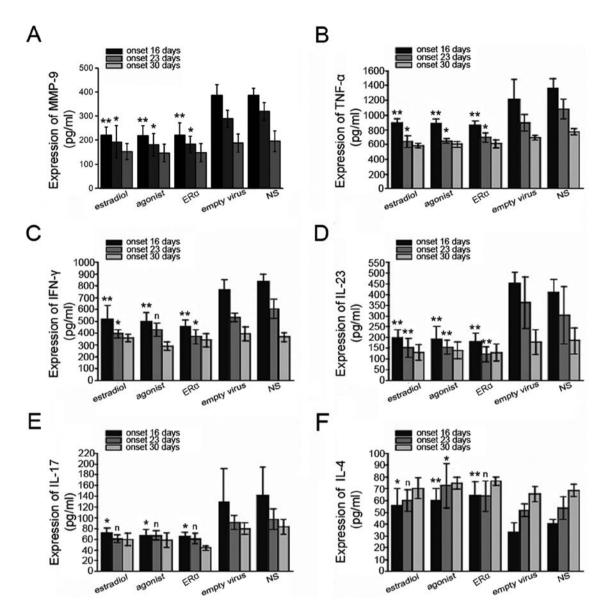


Figure 7. Inflammatory cytokine concentrations in encephalomyelitis (EAE) mouse brain tissue. ELISA were used to determine inflammatory cytokine concentrations in brain tissue. (A) Matrix metalloproteinase-9 MMP-9; (B) tumor necrosis factor (TNF)- $\alpha$ ; (C) interferon (IFN)- $\gamma$ ; (D) interleukin (IL)-23; (E) IL-17; (F) IL-4; n=5; \*\*P<0.001, \*P<0.05, compared to the empty vector group at the same time point; n, no significance compared with the empty vector group at the same time point ER, estrogen receptor; NS, normal saline.

expression at the gene and protein level and provide additional evidence for the crucial role of  $ER\alpha$  in EAE.

MS is an autoimmune disease characterized by increased CNS inflammation and demyelination (42). Liu *et al* reported that estrogen decreased TNF- $\alpha$ , IFN- $\gamma$  and IL-12 production in mature DCs. In addition, MBP-specific T cells co-cultured with estrogen-pretreated mature DCs in the presence of antigen demonstrated a shift towards the production of Th2 cytokines, IL-4 and IL-10, and a concomitant decrease in the production of Th1 cytokines, TNF- $\alpha$  and IFN- $\gamma$  (43). In the present study, clear demyelination and inflammatory cell infiltration were observed in the CNS of EAE mice, and both were attenuated by ER $\alpha$  overexpression. LFB-H&E staining showed that demyelinated regions contained a high number of inflammatory cells, suggesting that demyelination may be closely associated with the activation of inflammatory response. Previous studies have suggested

that changes in oligodendrocyte markers can yield valuable information on demyelination-associated diseases (44,45), and MBP appears to be the most relevant. This abundant protein is believed to stabilize myelin sheaths (46), and demyelinated MS lesions and nearby areas have a reduced or absent MBP expression (47,48). Levels of MBP (both mRNA and protein) have been associated with de- and remyelination processes in other neurological disorders, and it is considered a specific and sensitive index for measuring oligodendrocyte injury and demyelination. MBP loss is known to precede the onset of myelin histological changes (49). In this study, western blot analysis and RT-PCR revealed that MBP expression was significantly reduced at the initial stage of EAE, and myelin sheath damage was gradually alleviated during the remission stage, indicating that myelin sheath damage is closely associated with EAE development.

We observed significant increases in MBP expression in the groups treated with  $ER\alpha$  recombinant lentivirus and

estrogen, which also exhibited reduced myelin loss during EAE. Therefore, the ER $\alpha$ -mediated attenuation of demyelination may at least partly be due to MBP upregulation. Crawford *et al* reported that ER $\beta$  ligand treatment exerted direct neuroprotective effects on oligodendrocyte differentiation, myelination and axon conduction following EAE (50). However, these results are time-dependent; early treatment can reduce the inflammatory response and myelin sheath damage, but late treatment is ineffective (19). Therefore, early intervention for EAE and MS is critical.

Although it is well established that a subcutaneous injection of estrogen or ER agonist protects against neurodegenerative and CNS immune diseases (51-53), long-term use may increase the risk of breast cancer and cardiovascular disease (54). Conversely, the lentiviral manipulation of CNS ER $\alpha$  expression can mimic the therapeutic effect of estrogen, while avoiding unwanted side-effects. In this study, we pathologically analyzed the ovarian and uterine tissues of EAE mice in various groups during the experimental period and found a single mouse with mild endometrial thickening in the estrogen group, but similar changes were not observed in the other groups. However, these animals were only treated acutely, and further studies are required to validate the safety and clinical feasibility of ER $\alpha$  recombinant lentivirus.

Th1/Th2 balance is crucial for immune defense and surveillance. MS patients usually show an imbalance in Th1/ Th2 cytokine expression (55-57), and addressing Th1/Th2 expression levels has become a novel therapeutic strategy for MS patients (58). Bebo et al found that selective estrogenreceptor modulators (SERMs) caused a Th2 shift by decreasing IFN-γ- and TNF-α-producing CD4<sup>+</sup> T cells and increasing IL-4-producing CD4+ T cells. These results suggest that SERMs may potentially be used to treat inflammatory autoimmune disorders that affect the CNS (59). In this study, we found that TNF- $\alpha$  and IFN- $\gamma$  expression was significantly increased in the EAE mice, particularly in the acute stage of the disease, and the level of Th1 cytokines gradually decreased as the clinical symptoms remitted. In addition, Th1 cytokine expression (TNF- $\alpha$  and IFN- $\gamma$ ) was decreased following the induction of  $ER\alpha$  overexpression and treatment with estrogen or ER agonist. IFN-γ promotes the differentiation of Th0 cells to Th1 cells and stimulates the release of lymphotoxin, IL-1 and TNF- $\alpha$ , resulting in demyelination and EAE development (60,61). In addition, EAE is also closely related to the expression of the zinc calcium-dependent protease, MMP-9, which is expressed in Th1 cells and increases their migration (62), allowing immune cells to access the CNS during the the early stages of MS. Abnormal MMP-9 expression and increased activation in EAE mice can impair the blood-brain barrier and allow myelin degradation (35,36). Gold et al reported that estriol acts through ERa to reduce immune cell-mediated MMP-9 production, which is one of the potential mechanisms by which estriol reduces MS and EAE inflammatory lesions (23). Increased MMP-9 serum levels have also been observed in patients with clinically isolated syndrome (CIS), and MMP-9 levels further increase in patients who go on to develop MS compared to CIS patients who do not convert (63). We found that MMP-9 was downregulated following the induction of  $ER\alpha$  overexpression and treatment with estrogen or ER agonist. We postulate that all 3 treatments decreased Th1 cytokine production and reduced the differentiation of Th0 cells to Th1 cells. Conversely, the expression of IL-4, which is released by Th2 cells, was increased. As a result, the regulation of pro- or anti-inflammatory cytokines by ER $\alpha$  overexpression suggests that the therapeutic effect of ER $\alpha$  on EAE may be due to restoring Th1/Th2 balance. This is similar to what has been reported previously; however, our experimental design was more rigorous and included multiple time points. Our results indicate that ER $\alpha$  recombinant lentivirus can control the Th1/Th2 cytokine imbalance at the acute stage of EAE in mice. This novel finding demonstrates the effectiveness and possibility of using ER $\alpha$  recombinant lentivirus for the treatment of EAE and MS.

We also demonstrated the effects of ER $\alpha$  overexpression, estradiol and raloxifene on the IL-23/IL-17 axis throughout EAE progression. The IL-23/IL-17 axis has been suggested to play an important role in MS pathogenesis (64). IL-23 induces the production of inflammatory cytokines, such as IL-16, IL-17, IL-17F and TNF-α (65). IL-17 stimulates various inflammatory cytokines, including IL-1, IL-6, TNF-α, nitric oxide synthase, MMPs and chemical factors (66,67). EAE severity is reportedly associated with serum IL-17 levels (68), and an injection of anti-IL-17 antibody has been shown to reduce the incidence of autoimmune diseases and inflammation severity (69). Estrogeninduced EAE protection is mediated by upregulated PD-1 expression within the Treg-cell compartment, which reduces peripheral IL-17 production (9). Lelu et al demonstrated that hematopoietic cell ERα expression is critical for mediating E2s inhibitory effect on Th1 and Th17 cell priming, which results in EAE protection (8). However, to our knowledge, there have been no publications regarding the effect of ERα on IL-23 in EAE. In our study, we observed that both IL-23 and IL-17 were downregulated following the induction of  $ER\alpha$  overexpression, as well as following the oral supplementation of estrogen or ER agonist in EAE mice, suggesting that modulating the IL-23/ IL-17 axis by overexpressing ERα may contribute to its protective effect against EAE.

In conclusion, the present study indicates that CNS ER $\alpha$  overexpression with lentivirus attenuates EAE symptoms via multiple mechanisms, including the suppression of inflammation and reduced demyelination. Additionally, appropriate balances of inflammatory cytokines in the Th1/Th2 and IL-23/IL-17 axes may also contribute to the observed therapeutic effects of ER $\alpha$  overexpression. These results provide evidence for the utility of manipulating ER $\alpha$  expression in the CNS with lentivirus delivery. Further studies are required to elucidate the effects of ER $\alpha$  overexpression in EAE and MS.

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