

# Novel insights into the impact of the SUMOylation pathway in hematological malignancies (Review)

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**Abstract.** The small ubiquitin-like modifier (SUMO) system serves an important role in the regulation of protein stability and function. SUMOylation sustains the homeostatic equilibrium of protein function in normal tissues and numerous types of tumor. Accumulating evidence has revealed that SUMO enzymes participate in carcinogenesis via a series of complex cellular or extracellular processes. The present review outlines the physiological characteristics of the SUMOylation pathway and provides examples of SUMOylation participation in different cancer types, including in hematological malignancies (leukemia, lymphoma and myeloma). It has been indicated that the SUMO pathway may influence chromosomal instability, cell cycle progression, apoptosis and chemical drug resistance. The present review also discussed the possible relationship between SUMOylation and carcinogenic mechanisms, and evaluated their potential as biomarkers and therapeutic targets in the diagnosis and treatment of hematological malignancies. Developing

and investigating inhibitors of SUMO conjugation in the future may offer promising potential as novel therapeutic strategies.

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## 1. Introduction

Reversible post-translational modifications (PTMs), including acetylation, phosphorylation, methylation and ubiquitination, are crucial molecular regulatory mechanisms to control the specific function of proteins in almost all physiological processes of eukaryotic cells (1). Ubiquitin is the most well-known protein modifier. Protein modification via the small ubiquitin-related modifier (SUMO) system has become a hot topic of research amongst PTMs, as this pathway regulates hundreds of proteins that are closely associated with biological processes, such as DNA repair, macromolecular assembly, chromatin organization, transcription, transport and intracellular signaling (2-4). High throughput mass-spectrometry-based studies revealed that >6,000 proteins could be SUMOylated (5,6). The interaction between SUMOylation and other PTMs can significantly expand the specificity and regulatory potential of each PTM (7). Moreover, SUMOylation has been reported to regulate a diverse range of physiological and cellular processes (8), and it is highly sensitive to various stressors that alter the cellular homeostasis, such as nutrient deprivation, hypoxia, heat, oxidative stress and genotoxic stressors (9). A previous bioinformatics analysis of 13 frequent PTMs, based on the co-occurrence of sites within proteins across eight eukaryotes, revealed that SUMOylation was the fastest evolving PTM type, and in humans alone these >50,000 residues within ≥6,000

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*Abbreviations:* AML, acute myeloid leukemia; APL, acute promyelocytic leukemia; ATO, arsenic trioxide; FAK, focal adhesion kinase; HP1, heterochromatin 1; MM, multiple myeloma; PIAS, protein inhibitor of activated STAT; PML, promyelocytic leukemia; PML-NB, PML nuclear body; PTMs, reversible post-translational modifications; RanBP2, RAS-related nuclear binding protein 2; RAR $\alpha$ , retinoic acid receptor  $\alpha$ ; SUMO, small ubiquitin-like modifier; SENP, SUMO-specific protease; SIM, SUMO-interacting motif; STUBL, SUMO targeted ubiquitin ligases

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proteins form a vast interconnected network that involve various PTMs, including SUMOylation (10). Thus, it was suggested that SUMOylation may be involved in the clonal evolution of tumor cells and should be considered during the selection of anticancer-drug treatments.

Accumulating evidence has demonstrated the critical roles of the SUMO pathway in both carcinogenesis and responses to therapies. Previous reviews have provided details on the dysregulation of SUMO cascade enzymes in various solid tumors (11-13). Thus, the present review focused on hematological malignancies (leukemias, lymphomas and myelomas), with an emphasis on multiple myeloma (MM), a genetically heterogeneous plasma cell malignancy characterized by massive secretion of (in-)complete monoclonal antibodies, which is prone to recurrence and relapse (14,15). Balancing protein homeostasis and post-translational regulation is vital for the survival of MM cells (16). This review describes the known functions of the SUMOylation and deSUMOylation pathways and summarizes the mechanisms of SUMOylation-mediated tumorigenesis and drug resistance. At present, promising methods and drugs targeting the SUMOylation pathway have been discovered and provide potential future directions of therapeutic research regarding the SUMO pathway.

## 2. The SUMOylation pathway is a ubiquitin-like PTM

SUMO modification is an evolutionarily conserved pathway that is predominantly found within the nucleus of all eukaryotes. SUMOs are a class of structurally ubiquitin like proteins (~12 kDa), and the amino acid sequence of SUMO1 is only 18% identical to ubiquitin. SUMO1, 2 and 3 are the best-studied members of this class. It has been shown that SUMO1 has ~50% identity with SUMO2/3, which are 97% identical and most often indistinguishable (8). SUMO1 primarily participates in normal cellular physiology, whereas SUMO2 and SUMO3 are primarily associated with the cell stress response (17). Other less well characterized SUMOs are SUMO4, which may be associated with diabetes (18,19), and SUMO5, which appears to be expressed specifically in certain tissues, such as the testes and peripheral blood leukocytes (20), and these remain enigmatic as they may be unconjugated under normal physiological conditions and, thus, should be further examined.

In the SUMOylation pathway, SUMO precursors are matured as a result of the action of SUMO isopeptidases cleaving the C-terminus of SUMO to reveal a glycine (Gly)-Gly (GG) motif. Then, the mature SUMO protein is activated by the heterodimeric SUMO1 activating enzyme subunit 1 (SAE1)/ubiquitin like modifier activating enzyme 2 (UBA2) to form an ATP-dependent thioester bond between the C-terminal carboxyl GG group of SUMO and the catalytic cysteine (Cys) residue of UBA2. Next, activated SUMO is shifted from UBA2 to the catalytic Cys of the only known E2-conjugated enzyme, ubiquitin conjugating enzyme E2 I (Ubc9), by forming a thioester bond. Finally, Ubc9 transfers SUMO to the substrate protein, either alone or with the help of a SUMO E3 ligase, to form an isopeptide bond between the C-terminal Gly residue of SUMO and the lysine (Lys/K) residue of the side chain of the target within the consensus

motif of  $\psi$ KxD/E (where ' $\psi$ ' denotes a large hydrophobic residue and 'x' is any residue) (Fig. 1) (21,22).

Similar to the ubiquitin pathway, SUMOylation is a cascade process involving SUMO processing and coupling by multiple enzymes, such as (Conjugation) E1, (Activation) E2 and (Ligation) E3, to form an isopeptide bond between the C-terminal Gly residue of SUMO and the  $\epsilon$ -amino group of the Lys residue of the substrate (23,24). Indeed, the target protein could be mono-, multi- and/or polySUMOylated. SUMO2 and SUMO3 are able to form homopolymerized or heteropolymerized chains, mainly via conjugation to their conserved N-terminally located residue at K11, which is absent in SUMO1. Additionally, SUMO2/3 can form mixed chains with SUMO1 (25). However, SUMO1 acts as a chain terminator, as none of its Lys residues can be further conjugated by any SUMO (26). Importantly, similar to ubiquitination, most SUMOylated substrate proteins can undergo constant cycles of conjugation and deconjugation. In contrast to deubiquitinating enzymes, only one class of the sentrin/SUMO-specific protease (SEN) family deSUMOylases is reported in mammals, including SENP-1, 2, 3, 5, 6 and 7, which cleave the isopeptide bonds and thereby release free SUMO (27). Of note, SUMOylation also conveys information via a non-covalent interaction with other proteins that harbor the specific SUMO-interacting motif (SIM), either masking certain domains, generating a new peptidic moiety or inducing intraprotein conformational changes (28).

Although both SUMOylation and ubiquitination are important reversible PTMs that occur at Lys residues, the key difference between them is that ubiquitination can mark proteins for proteolytic degradation via proteasomes or have other signaling functions, whereas SUMOylation is not used to mark proteins for degradation (29,30). The SUMOylation pathway exhibits crosstalk with ubiquitination via SUMO targeted ubiquitin ligases (STUbL) to ubiquitinate and tag SUMOylated proteins for proteasomal degradation (Fig. 2) (31,32).

The SUMO E1-activating enzyme is a heterodimer consisting of two subunits: SAE1 and UBA2, which perform independent adenylation and thioesterification, respectively (21,32). SAE1 resembles the N-terminal portion of the ubiquitin E1 enzyme, and UBA2 is similar to the C-terminal region (33). At present, only a few E3 ligases have been characterized, such as the protein inhibitor of activated STAT (PIAS) family, the polycomb protein PC2 and RAS-related nuclear binding protein 2 (RanBP2). It has been shown that other ligases, such as zinc finger protein 451, can elongate SUMO2/3 chains (34-36).

## 3. Aberrant function of the SUMOylation pathway and its targets in hematological malignancies

SUMO is a necessary pathway for almost all eukaryotic cells, and aberrant expression or activity of different components in the SUMO pathway may alter the nature of the cell completely, impacting processes such as cell proliferation, senescence, metastasis and apoptosis (37,38). Abnormalities in SUMOylation signaling and levels of its targeted proteins can lead to the development of a variety of diseases, including cancer (39,40) (summarized in Fig. 3). In fact, SUMOylation

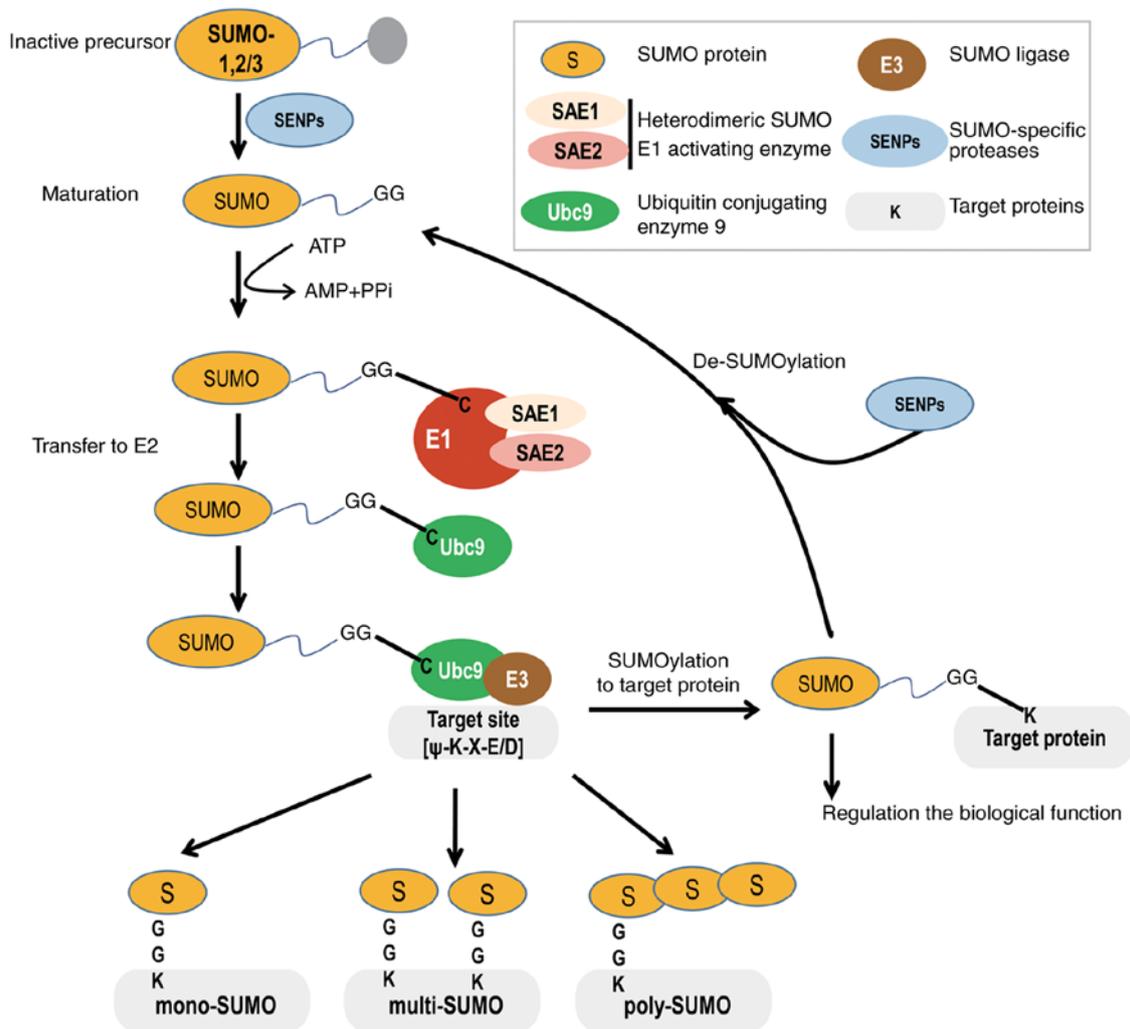


Figure 1. SUMO conjugation/deconjugation pathway. Nascent SUMO1-3 precursors are first cleaved by the SUMO isopeptidases, SENPs, to expose the carboxy-terminal Gly-Gly motif. Then, mature SUMO is activated by the heterodimeric E1 activating enzyme SAE1/UBA2, which yields a SUMO/UBA2 high-energy thioester bond in an ATP dependent reaction. SUMO is then transferred to the only E2 conjugating enzyme, Ubc9, forming another thioester bond. The last enzymatic step requires a specific SUMO E3 ligase that facilitates the conjugation of SUMO to a substrate. The target protein can be mono-SUMOylated, multi-SUMOylated and poly-SUMOylated. SUMOylation is a reversible process, and SUMO can be freed by SENPs into a new cycle. SUMO, small ubiquitin-like modifier; SAE1, SUMO1 activating enzyme subunit 1; UBA2, ubiquitin like modifier activating enzyme 2; SENP, sentrin/SUMO-specific protease; Ubc9, ubiquitin conjugating enzyme E2 I; Gly, glycine.

enzyme upregulation and/or increased activity have been shown to be essential in the development of numerous types of tumors, including various hematological malignancies, such as leukemia, lymphoma and myeloma (41).

**Role of SUMOylation members in cancer.** B-cell lymphomas are a group of blood cell malignancies that affect B cells, and SUMO1 has been shown to be upregulated in all lymphomas (42). In 2010, a study using bone marrow samples from newly diagnosed patients with MM observed that the SUMO-conjugating E2 Ubc9, the SUMO-E3 PIAS1 and the SUMOylation-inducer tumor suppressor ARF were markedly increased and associated with adverse patient outcomes compared with healthy individuals (43). Moreover, overexpression of the dominant-negative mutant Ubc9 promoted apoptosis, with unfavorable survival observed in MM cell lines under  $\gamma$ -irradiation to induce DNA damage, indicating that SUMOylation induced by Ubc9 and PIAS1 has a protective effect against DNA-damaging agents (44).

It has been reported that SAE1 and UBA2 knockdown using RNA interference suppressed the proliferation of lymphoma cells via disruption of the G<sub>2</sub>/M transition, and inhibition of E1 and E2 activity (45). Furthermore, downregulation of UBA2 significantly increased the number of cells in the G<sub>1</sub> and G<sub>2</sub>/M phase, decreased the number of cells in the S phase, and significantly inhibited the expression of poly [ADP-ribose] polymerase 1 and micro chromosome maintenance 7 (46). Inhibition of the SUMO E1 complex SAE1/UBA2 with ginkgolic acid can impair the proliferation of mammary epithelial cells activated by Notch receptor 1 (NOTCH1). Moreover, activation of the NOTCH1 signaling pathway leads to SUMO depletion and is more sensitive to SUMOylation inhibition (47), which is discussed in the following sections.

Ubc9 is the only SUMO E2 conjugating enzyme involved in selecting and binding directly to the specific SUMO targets that possess SUMOylation consensus sites. There are two prerequisites for a protein to be SUMOylated: A direct interaction with the Ubc9-SUMO thioester and the recognition of a specific

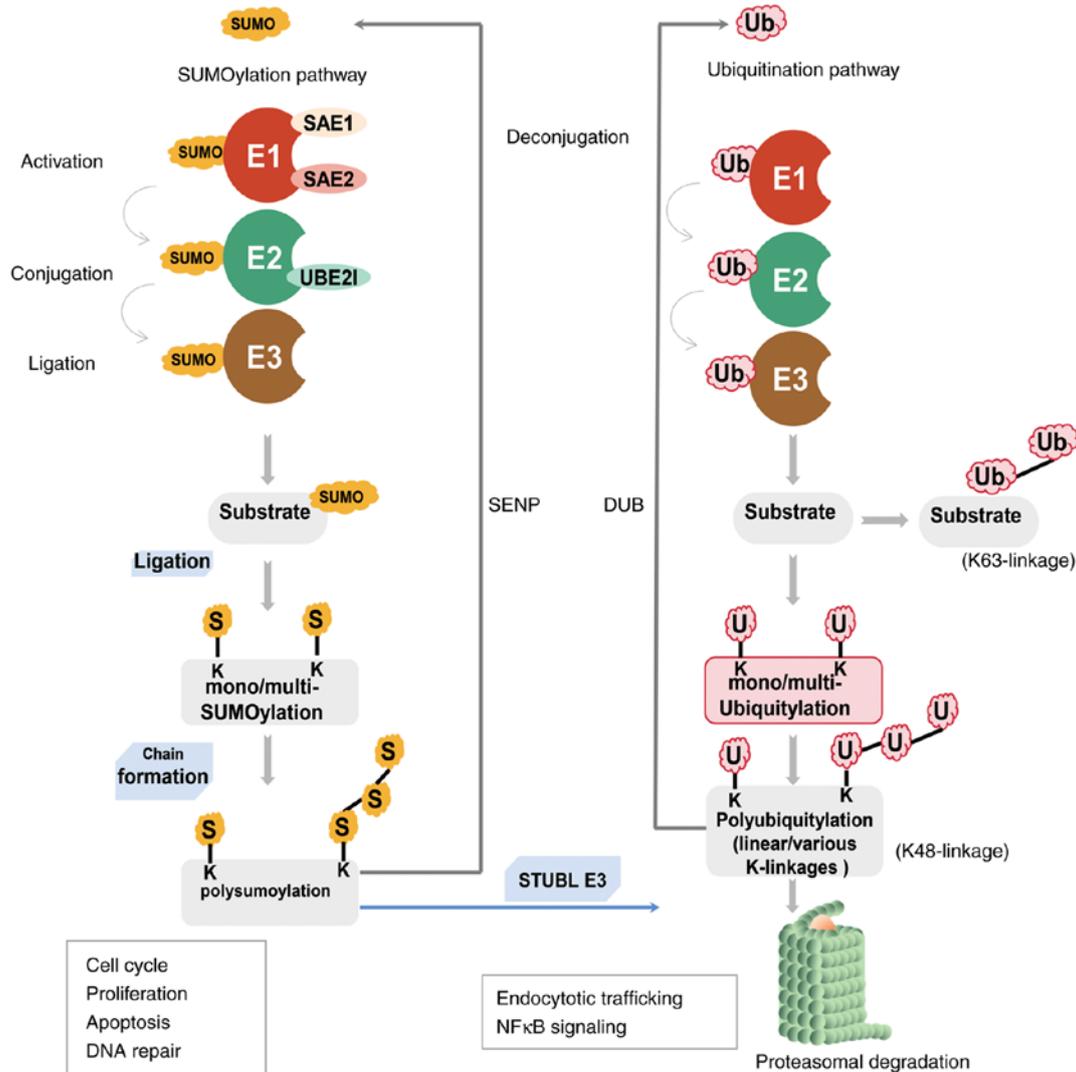


Figure 2. SUMOylation and ubiquitination pathways. Both conjugation of Ub and SUMO to protein substrates are reversible post-translational modifications and rely on the enzyme cascades of E1, E2 and E3 ligases. SUMO substrates are deSUMOylated by SENP, and Ub-mediated deubiquitination of Ub protein substrates is mediated by a deubiquitination enzyme. Additionally, the SUMO targeted ubiquitin ligases can ubiquitinate SUMOylated proteins, thus establishing the crosstalk between the two pathways. K48 polyubiquitinated protein substrates are degraded by proteasomes. Other lysine ligations, such as K63 polyubiquitination, modify protein activity. Ub, ubiquitin; K, lysine; SUMO, small ubiquitin-like modifier.

SUMO ligase in proximity with Ubc9 (2). Ubc9 acts as a hub protein of SUMOylation, and has been found to be upregulated in various types of cancer cells (48-50). Functionally, Ubc9 serves an important role in cell cycle regulation, DNA repair, transcription and nuclear transport (51,52). Previous studies have reported that Ubc9 predominantly exerts its effects via SUMOylation (53,54). In addition, the deregulation of Ubc9 may lead to alterations in SUMOylation and deSUMOylation of proteins, and this may affect cancer development and tumor drug resistance (55). Therefore, targeting Ubc9 may be a novel therapy for SUMOylation-induced tumors.

SUMO E3 ligases enhance the transfer of SUMO from the charged E2 enzyme to the substrate. In contrast to the ubiquitination system, where hundreds of distinct E3 ligases mediate the recognition of specific substrates, only the PIAS family and a few other SUMO E3 ligases have been described, to the best of our knowledge (36). Previous findings indicate that SUMO E3 ligases possess a role in regulating protein stability and signaling transduction pathways. PIAS proteins were initially

identified as inhibitors of STAT transcription factors. For example, PIAS1 and PIAS3 block the DNA binding activity of activated STAT1 and STAT3 to inhibit STAT-mediated transcription, respectively (56). It is becoming increasingly clear that PIAS proteins regulate nuclear trafficking, DNA damage repair, NF- $\kappa$ B signaling, several transcription factors and numerous nuclear receptors (57-59). PIAS1 is upregulated in various human malignancies, including prostate cancer, MM and B-cell lymphomas (60,61). Previously, PIAS1 was also reported as a mediator in lymphomagenesis via SUMOylation of Myc, which further increases the half-life of Myc and increased oncogenic activity (61). PIAS1 can also SUMOylate focal adhesion kinase (FAK) at K152, a modification that enhances its ability to autophosphorylate threonine (Thr/T)397, activate FAK and recruit several Src family kinases (62). Furthermore, PIAS1 can regulate oncogenic signaling via the SUMOylation of promyelocytic leukemia (PML), and has been shown to be involved in the cancer therapeutic mechanism of arsenic trioxide (ATO/As<sub>2</sub>O<sub>3</sub>) by promoting the



and cytoplasm. Following induction by SENP1, the interaction between PTEN and NEDD4-like E3 ubiquitin-protein ligase WWP2 is significantly reduced by ubiquitin-mediated PTEN degradation. Loss of PTEN expression allows for the elevated SENP1 levels to drive microinvasive carcinoma (71,72). SENP1 has recently been shown to possess an oncogenic role in cancer by deSUMOylating c-Myc in cells and *in vitro*, which can be co-modified by ubiquitination and SUMOylation. DeSUMOylation of c-Myc by SENP1 suppresses c-Myc proteasome degradation and increases the expression levels of transcriptionally active c-Myc (73). The SENP1-mediated regulation of myeloid-derived suppressor cells is dependent on STAT3 signaling. Increased SUMOylation of CD45, a specific STAT3 phosphatase, due to loss of SENP1 suppresses CD45-mediated dephosphorylation of STAT3, thereby promoting tumorigenesis (74). Therefore, SENP family members not only participate in the SUMOylation process, but also actively regulate tumorigenesis and development mediated by the crosstalk between SUMOylation and other PTMs.

*SUMOylated targets in hematological malignancies.* As mentioned above, the activity of SUMOylation signaling components not only affects the SUMO process, but also regulates thousands of downstream targets. Moreover, it may contribute to the occurrence and development of tumors together with numerous oncogenic or tumor-suppressive factors, including, but not limited to, the factors that are discussed further below.

*p53.* p53 is the most frequently mutated tumor suppressor protein and is a well-known transcription factor that can govern cellular proliferation, apoptosis and senescence (75). Furthermore, p53 is modified by ubiquitin to directly facilitate its interactions with SUMO family members (76). MDM2 proto-oncogene (MDM2), as a critical E3 ligase to ubiquitinate p53, can promote the interaction of p53 with SUMO E3 PIAS. SUMOylation at the K386 residue of p53 further enhances the p53/MDM2 interaction, thus degrading p53, and the resultant reduced activity of p53 by SUMOylation may be associated with the acetylation PTM (77). Furthermore, the oncogenic SKI proto-oncogene, which is upregulated in numerous types of cancer, including leukemia, negatively regulates p53 by enhancing MDM2 SUMOylation to stabilize MDM2 (78).

*c-Myc.* It has been reported that c-Myc activation abnormally induces a variety of hematological malignancies in single Myc transgenic mice (79). In addition, Myc-driven B cell lymphomas were shown to exhibit increased expression of core SUMO pathway components, including SUMO proteins, SAE1, UBA2 and Ubc9 (45). Inhibition of the SUMO pathway triggers G<sub>2</sub>/M phase arrest of the cell cycle, polyploidy and apoptosis in a Myc-specific manner (45). Moreover, SAE1 is activated by direct binding and transcription of Myc, and then the induced SUMOylation initiates the Myc oncogenic program (80). The loss of SAE1/UBA2 function drives the lethal rate of Myc synthesis, and the abnormality of UBA2 can affect the Myc transcription program, leading to the abnormality of mitosis (81). The SUMO E3 ligase PIAS1 can modify Myc to increase the stability and transactivation

activity of Myc, and thus promote Myc-driven tumorigenesis. The resultant SUMOylation, mainly at residues K51 and K52 of Myc, provides docking sites for JNK1 to phosphorylate the protein on S62, and prevents the recruitment of GSK3 $\beta$  to phosphorylate T58 of Myc. S62 phosphorylation activates Myc, whilst T58 phosphorylation promotes its degradation via the ubiquitin-proteasome system (61). In addition, SENP1 directly interacts with Myc, as it deconjugates Myc SUMOylation with either SUMO1 or SUMO2, and stimulates Myc transactivation activity (73). Thus, SUMOylation could also further change the interaction partner and PTM profile of Myc.

*Ras.* Ras GTPase is a classic membrane-bound signal factor found in either the active GTP-bound state or the inactive GDP binding form. Genetic mutations affecting the activity of Ras GTPase are common in all three subtypes of the Ras family (HRas, KRas and NRas), with KRas being the most frequently mutated (82,83). Using gene library screening, SAE1 and UBA2 were found to be closely associated with the lethal effect of KRas synthesis (84). Further studies reported that KRas could be SUMOylated both endogenously and exogenously, and all three Ras protein members were modified by SUMO3. Moreover, SUMO1/SENP1 and SENP2 can remove the SUMO3 modification from the KRas protein, indicating that the Ras/SUMOylation process is highly dynamic and reversible (85).

*PML.* PML and its fused form of PML/RAR $\alpha$ , generated as a result of the specific t(15:17) translocation, established the initial connection between SUMOylation and cancer, which was first identified along with discovery of SUMO in the 1990's (86). Acute promyelocytic leukemia (APL) as a rare, yet highly aggressive and fatal subtype of acute myeloid leukemia (AML), is currently the most curable leukemia through the combination of ATO and all-trans retinoic acid (ATRA) treatment (87). As a result of the pioneering therapeutic groundwork of Rao *et al* (88) in 1973 using ATO, the curative effect of ATO in APL and the subsequent mechanistic study of this therapy set APL as the paradigmatic example of SUMOylation on tumor suppressive (PML) or oncogenic proteins (PML/RAR $\alpha$ ).

The PML gene, encoding the N-terminal region of the PML/RAR $\alpha$  fusion oncoprotein, was first discovered in a patient with APL and was found to contribute to the formation of the PML nuclear body (PML-NB) (89). A key feature of SUMOylation is its association with PML-NB, which is a membraneless super-assembled sub-nuclear organelle organized mainly by the PML protein, with specific enrichment of numerous SUMO-modified client proteins, including p53 and its regulators (90,91). PML constitutes the outer shell of the NB spheres, and SUMOylation is dispensable for both PML and the recruitment of multiple proteins (92). Of note, both the pathogeny and the treatment of APL rely on SUMOylation. On the one hand, under a normal state, the PML and SUMO proteins crosstalk reciprocally, with SUMO heavily modifying PML, and in turn, PML facilitating the SUMOylation of numerous client proteins by concentrating them into the PML-NB, where it serves as a scaffold via SUMO-SIM interactions. On the other hand, high SUMOylation of PML/RAR $\alpha$  precipitates its targeted for degradation at the

ubiquitin-proteasome system, which is engaged by the curative therapy of As<sub>2</sub>O<sub>3</sub> and ATRA (93). However, the relationship between PML and SUMO requires further study (90).

**Retinoblastoma protein (pRb).** pRb, as a negative regulator of cell proliferation, interacts with >100 cellular proteins and co-regulates cell senescence together with the SUMO cascade (94,95). The formation of heterochromatin during aging requires SUMOylation, which can be used as a scaffold to stabilize pRb repressor complexes. SUMO also conjugates to pRb and preferentially targets K720 of active, hypophosphorylated pRb (96). The E2F transcription factor (E2F) is a key partner of pRb, and the highly regulated cell cycle depends on the association between pRb and E2F. Moreover, co-expression of PIAS $\gamma$  and SUMO potently represses E2F-regulated promoters in a pRb-dependent manner (97,98).

Since the pathological and potentially therapeutic roles of SUMO extend beyond APL, this review will discuss SUMO's participation in a range of cellular mechanisms, such as SUMO-mediated cellular proliferation and stress responsive drug resistance in hematological malignancies.

#### 4. Adaptive mechanisms of SUMO-mediated tumorigenesis and drug resistance in MM

Aberrant expression levels of SUMOylation family members and substrates have been shown to be critical in the development of various solid tumors and contribute to the stress response to intrinsic or extrinsic stimuli (47,99,100). Hematologic malignancies arise generally due to dysregulation of cellular differentiation and functional blood production throughout the maturation of the human blood system (101,102). In hematologic tumors, alteration of SUMO pathway activity can sensitize cells to various stressors (9,103). MM is a plasma cell malignancy, which is usually characterized by abnormal elevation of monoclonal immunoglobulin and a high degree of inter- or intra-individual genetic heterogeneity, with numerous cytogenetic and epigenetic changes (104,105). Despite the significant improvement in the survival of patients over the past decades as a result of the development of proteasome inhibitors, immunomodulatory drugs, autologous stem cell transplantation, monoclonal antibody treatment and chimeric antigen receptor T-cell immunotherapy (106-109), MM remains incurable as most patients are either refractory to treatment or relapse due to drug-resistance (110).

Previous evidence has suggested that targeting the SUMOylation pathway may serve as a novel therapeutic means to treat MM. DeSUMOylase SENP1 is upregulated in certain MM cell lines and patient samples. Knocking down SENP1 in MM cell lines inhibits proliferation and increases apoptosis (111). Additionally, loss of SENP2 expression in MM leads to an increase in I $\kappa$ B $\alpha$  SUMOylation and the activation of NF- $\kappa$ B, which induces bortezomib resistance in MM (112). Therefore, therapeutically targeting the SUMOylation pathways may become a promising anticancer strategy to control a variety of events, including mitotic chromosome separation, cellular proliferation, metastasis and chemoresistance. This section will summarize several detailed possible adaptive mechanisms that may contribute to the progression of MM.

**Chromosomal instability (CIN).** CIN is an intricate phenomenon common in human cancers, especially in hematological malignancies, and is characterized by persisting structural and/or numerical chromosome segregation errors across all stages of tumorigenesis (113-115). Compared with most normal cells, cancer cells are subject to the stressors of compromised DNA damage sensing and repair (genotoxic stress), hypoxia and a poor provision of nutrients. Accumulating evidence has suggested that SUMO modification serves a pivotal role in almost all types of DNA repair mechanisms, including base excision repair, non-homologous end-joining and homologous recombination (116). Studies using microscopy and laser microablation showed the orderly recruitment of SUMO components and downstream effectors, including STUbLs, to sites of double-strand DNA breaks, thus confirming the direct *in situ* roles of SUMOylation in DNA repair (117). Early genetic studies reported that SUMO conjugation and deconjugation are indispensable for sister chromatid aggregation, chromosomal aggregation, centromere and motility function, and chromosomal separation (118). Furthermore, multiple centromeres and kinetochore proteins have been identified as SUMO targets (119-121). It has been shown that disruption of the SUMO bonding mechanism leads to delayed mitosis and mitotic chromosome separation defects (122). The SUMO E3 ligase PIAS $\gamma$  is specifically targeted to mitotic chromatin (123). Furthermore, downregulation of RanBP2 expression in mice results in severe defects of chromosomal segregation and increased aneuploidy (124). The stable complex of RanBP2 and Ubc9 may appear on the nuclear membrane during interphase, and can translocate to the centromere and the spindle during mitosis (125). Interestingly, as a maintenance factor for heterochromatin 1 (HP1) accumulation in peri-central heterochromatin, SENP7 is essential for centromere organization and accurate chromosomal segregation by directly binding and stabilizing HP1 in the central region of mouse cells. Accordingly, SENP7 can deSUMOylate HP1 *in vivo*, and depletion of SENP7 results in a prolonged period of time spent in mitosis (126). These examples highlight the significance of further studying the dynamic and precise SUMO mechanism in the process of CIN.

**Cell cycle.** The cell cycle is closely associated with SUMOylation (127). The dysregulation of SUMO activating enzymes, SUMO binding enzymes and/or deSUMOylation enzymes all lead to serious defects in cell proliferation and genomic stability. The deletion of SUMO E1 subunits UBA2 and Ubc9 in human cells and mouse embryos can seriously reduce their proliferative rate and delay cell cycle progression (128-130). Other members of the SUMOylation process are also associated with the cell cycle. For example, SUMOylation of the CDK6 protein at K216 prevents ubiquitination at K147 and inhibits ubiquitin-mediated CDK6 degradation (131). Moreover, CDK1 phosphorylates Ubc9, and phosphorylated Ubc9 mediates CDK6 SUMOylation during the M phase. CDK6 remains SUMOylated in the G<sub>1</sub> phase and drives cell cycle progression through the G<sub>1</sub>/S transition (131). Thus, SUMO1/CDK6 conjugation constitutes a mechanism of cell cycle control.

Flap structure-specific endonuclease 1 (FEN1) nuclease activity is also essential for cell-cycle progression, as well

as the maintenance of genomic stability. SUMOylation of FEN1 mediated by SUMO3 is stimulated by phosphorylation, which enhances its ubiquitination and degradation via the SUMOylation-dependent ubiquitin proteasome pathway. Conversely, blocking FEN1 degradation by mutating the SUMOylation or ubiquitination sites leads to the accumulation of cyclins B/E and a delayed cell cycle (132). The overexpression of SENP1 can increase cyclin D1 expression and reduce the sensitivity of cancer cells to radiotherapy. Additionally, SENP1 mutations in its catalytic domain affect its ability to regulate cyclin D1 transcription (133,134). It has also been reported that SENP1 can increase IL-6-induced phosphorylation of p65 and I $\kappa$ B $\alpha$  to activate NF- $\kappa$ B signaling, thereby regulating cell cycle progression and proliferation of MM cells (111). These examples demonstrate that numerous important cell cycle regulators are functionally regulated by SUMOylation. Moreover, SUMOylation regulates tumor progression by modifying the proteome at various stages of the cell cycle.

*Cellular senescence.* Cellular senescence, recognized as a critical tumor suppressive mechanism, refers to the usually irreversible cell cycle arrest, which is a common cellular response to stressors, such as DNA damage, oxidative stress or the aberrant expression of critical regulators (134). p53, as a tumor suppressor, promotes cellular senescence, as well as programmed cell death (135). Moreover, SUMO1-modified p53 can cause p53 stabilization and the induction of senescence (136). The repression of SENP1 can mediate premature senescence of normal human fibroblasts by increasing the transcriptional activity of p53 (137), and knockdown of Ubc9 in primary human fibroblasts can result in senescence-like growth arrest (129). Therefore, cellular senescence is induced via dysregulated SUMOylation and can further affect the SUMOylation of several genes involved in regulating cell proliferation.

*Apoptosis.* Apoptosis is a mechanism that negatively controls cell proliferation, and is also referred to as programmed cell death. If DNA damage is not repaired in time for maintaining the genomic stability and cellular integrity, cells will die via this mechanism (138). Certain malignancies possess defects in regulatory apoptotic pathways, such as NF- $\kappa$ B, p53 and PI3K/Akt, which lead to apoptosis defects and permanent proliferation of tumor cells (139). Amongst these, the first pathway, known as the cytoplasmic pathway, is triggered by Fas death receptors (140), and the second is the mitochondrial pathway, which releases the cytochrome c death signal from the mitochondria (141). Recent research has indicated that SUMOylation may indirectly regulate cell apoptosis by affecting the expression and activity of related signaling factors. The interaction of SUMO1 with the caspase activation and recruitment domain of caspase-2 relies on the K60 site, and the K60R mutation abolishes this modification, delays enzyme maturation and reduces caspase-2 activity (142). It has been reported that DCB1 is a major inhibitor of sirtuin 1 (SIRT1) and could be phosphorylated at T454 by the ATM/ATR kinases to switch the binding partner of DCB1 from SENP1 to PIAS3. Subsequently, SUMOylation modification of DCB1 by SUMO2/3 increases the interaction between DCB1 and SIRT1, leading to the release of p53 from SIRT1 for transcriptional activation-mediated apoptosis (143,144). Moreover, SENP1

deficiency significantly increases ER stress-induced apoptosis by accumulating X-box binding protein 1 SUMOylation (145).

SENP2 is notably downregulated in bortezomib-resistant MM patient samples (112). In RPMI8226 cells, the knockdown of SENP2 increases SUMO2-conjugated I $\kappa$ B $\alpha$  levels, which results in the activation of NF- $\kappa$ B to alleviate bortezomib-induced apoptosis (112). p53, as a tumor suppressor, promotes programmed cell death and accumulates for a long period of time in large quantities under the inhibition of Uba2, thus conditional SAE inhibition can induce a large number of cells to undergo apoptosis (146,147). However, one study performed in hematopoietic progenitors of *Drosophila* larvae models concluded that SUMOylation was tumor-suppressive and caused proliferative quiescence (148).

Myeloid cell leukemia 1 (MCL1), an anti-apoptotic protein that belongs to the Bcl-2 family, maintains its stability via its SUMOylation at sites K234 and K238, and inhibits TRIM11-mediated ubiquitination of MCL1 and cancer cell apoptosis (149). Moreover, death domain associated protein (DAXX) has been shown to contain SIMs, and phosphorylation of DAXX-SIM promotes SUMOylation by binding to SUMO1 and SUMO2/3, thereby enhancing stress-induced apoptosis via inhibiting anti-apoptotic genes (150,151). In addition, when p53 is transferred to the nucleus and is modified by SUMO, the transcriptional expression of the pro-apoptotic gene Bax can be upregulated (152). Recently, it has been found that SUMOylation could serve a dual role in the mechanism of apoptosis. For instance, in addition to its traditional anti-apoptotic role, it can also stimulate the activation of other cytokines to promote apoptosis (153).

*Autophagy.* Autophagy signaling events, including induction, regulation and fine-tuning under various stresses, are also dependent on PTM of proteins, such as phosphorylation, acetylation, ubiquitination and SUMOylation. Phosphorylation during autophagy regulates the activity of autophagy-related proteins, as well as the initiation and progression of autophagy by regulating signaling pathways (154). Both acetylation and deacetylation are involved in autophagy initiation and selective autophagy regulation by controlling the levels of acetylation of important proteins (155,156). The crosstalk between ubiquitylation and SUMOylation may be involved in mediating autophagy (157). For example, SUMOylated 3-phosphoinositide dependent protein kinase 1 modulates the activation of its own phosphorylation to initiate macroautophagy/autophagy (158). Furthermore, overexpression of SUMO1 or the SUMO E2 enzyme Ubc9 can accelerate the accumulation of autophagosomes. It has also been shown that the increase of beclin 1 (BECN1) base SUMOylation promotes the interaction of BECN1 with UV radiation resistance associated PIK3C3 and autophagy related 14 to form a complex, thereby enhancing autophagic protein localization and autophagosome formation (159).

*Metastasis.* Metastasis, the cause of the majority of cancer-related deaths, involves the metastasis of cells from a tumor to a distant organ. TGF- $\beta$  signaling is essential for cellular proliferation, metastasis and angiogenesis (160). TGF- $\beta$  may contribute to the inhibition of tumor growth during the early stages of cancer development, whereas it can promote

epithelial-mesenchymal transition and metastasis during the later stages. Furthermore, TGF- $\beta$  can be modified by SUMO and this amplifies TGF- $\beta$  signaling at multiple levels under various conditions (161), such as hypoxia and chemical drug treatment (162).

**Chemoresistance.** Chemoresistance involves multiple complicated cellular factors, and amongst them, SUMOylation was firstly shown to be involved in AML, which is a heterogeneous group of severe hematological leukemias resulting from the oncogenic transformation of hematopoietic stem cells and myeloid progenitors (163). In AMLs, the standard genotoxic-based chemotherapies, combining anthracyclines (daunorubicine or idarubicine) and a nucleoside analogue (cytarabine) (161), produce reactive oxygen species (ROS) and inhibit SUMOylation via oxidative crosslinking of E1 and E2 enzymes, thereby causing death of chemosensitive cells. By contrast, the chemoresistant AML cells that produce fewer ROS with active SUMOylation can be re-sensitized either by inhibiting SUMOylation or restoring a pro-oxidative condition (164).

The most frequent chromosomal translocation is t(12;21) in acute lymphoblastic leukemia (ALL), which is also the most common genetic rearrangement found in pre-B ALL in children, accounting for ~25% of ALL cases (165). Translocation-ETS-leukemia (TEL) is the main target of chromosomal translocation in lymphoid and myeloid leukemias (166). A previous study has reported that TEL can be covalently modified by SUMO1, and SUMOylation changes the nuclear localization of TEL, whereas mutation of the lysine residue at position 99 of TEL leads to its nuclear accumulation, which is critical in the regulation of intercellular adhesion molecules, and may be associated with ALL (166,167). As mentioned above, the exception concerns one rare (~10%) type of AML, known as APL, which is associated with the common t(15;17) chromosomal translocations, producing a PML/RAR $\alpha$  chimeric protein (168). APL is efficiently treated using the differentiation therapy of ATRA and ATO. A previous mechanistic study demonstrated that an active SUMOylation pathway was crucial for the successful treatment of APLs, as ATO directly bound to PML/RAR $\alpha$  and PML and triggered their polymerization via the oxidation of specific cysteines and the formation of disulfide bonds (169). Then, PML was concentrated and highly SUMOylated to form the PML-NBs, whereas the highly SUMOylated PML/RAR $\alpha$  could be degraded by the proteasome via its recruitment of the SUMO-dependent ubiquitin ligase ring finger protein 4. The degradation of whole oncogenic fusion protein reactivates the RAR $\alpha$  signaling pathway, as well as restoring PML-NBs and the p53 pathway (170,171). In addition, B-cell lymphomas are a group of blood cell malignancies that affect B cells, and aberrantly high SUMO1 expression has been found in all lymphomas (42). Therefore, therapeutically targeting the SUMOylation pathways may become a novel anticancer strategy for treatment of MM and other hematological tumors.

## 5. Targeting SUMOylation may be a prospective means of treatment of hematological malignancies

Given the aforementioned findings, there is no doubt that SUMOylation contributes to tumorigenesis and progression in

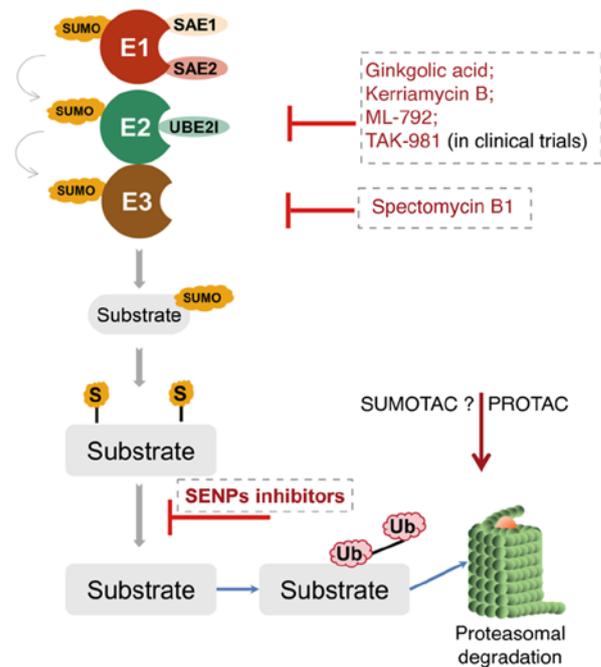


Figure 4. Small molecule inhibitors targeting SUMO conjugation or deconjugation enzymes. For additional inhibitors with detailed IC<sub>50</sub> information see Table I. SUMO, small ubiquitin-like modifier; SENP, sentrin/SUMO-specific protease; Ub, ubiquitin; SAE, SUMO1 activating enzyme subunit; UBE21, SUMO-conjugating enzyme Ubc9; S-, SUMOylated.

various types of cancer, by allowing survival from internal or external stressors, and it may be a promising target for cancer therapy. At present, there are a few types of SUMO inhibitors reported in the literature (172). However, these molecules mostly lack sufficient specificity and efficiency, as well as clinically relevant pharmacological properties (173). Most of them can be categorized into either natural or synthetic small molecular inhibitors (Fig. 4).

Ginkgolic acid and anacardic acid were identified via high-throughput screening of plant extracts and have been shown to inhibit protein synthesis *in vivo* and *in vitro* by directly binding to SUMO E1 (174,175). Spectomycin B1 can directly interact with Ubc9 and selectively block the formation of the E2-SUMO intermediate (176). Another particular compound is the aforementioned traditional Chinese medicine ATO (As<sub>2</sub>O<sub>3</sub>), which has been approved for the treatment of relapsed or refractory APL in combination with ATRA by the Food and Drug Administration of the United States in 2000 (177). An important advance in the field of SUMOylation was the synthesis of mechanism-based inhibitors targeting the SUMO pathway. For example, ML-792 forms an adduct with SUMO and blocks the SUMO E1 (nM range), as well as exerting anti-tumor activity, with only minor effects on gene expression without affecting DNA-repair. However, ML-792 also leads to chromosome-segregation defects during mitosis, proliferation arrest and even cell death (178). TAK-981, a derivative of ML-792, is currently being tested in a phase I clinical trial in patients with metastatic solid tumors and lymphomas (ClinicalTrials.gov Identifier: NCT03648372) (179). The recently discovered COH000, although less potent than ML-792 ( $\mu$ M range), can decrease Myc expression in lymphoma cell lines *in vitro* and inhibit SUMO E1 by binding

Table I. SUMOylation cascade inhibitors.

Target	Inhibitor name	IC <sub>50</sub> , $\mu$ M	Type of molecule	Solubility	(Refs.)
SAE1/SAE2	Ginkgolic acid	3.0	Alkylphenol	DMSO	(175)
	Kerriamycin B	11.7	Antibiotic	MeOH	(174)
	Anacardic acid	2.2	Structural analog of ginkgolic acid	DMSO	(175)
	Davidiin	0.15	Ellagitannin	MeOH	(182)
	Tannic acid	12.8	Gallotannin	DMSO	(183)
	SUMO-AMSN		C-terminally modified SUMO proteins with		(184)
	SUMO-AVSN		5'-sulfonyladenosine-based molecules		
	ML-792	0.003 (SUMO1) 0.011 (SUMO2)	Pyrazole-carbonylpyrimidine	DMSO	(178)
	COH-000	0.2	Dimethyl1-((R)-1-(phenylamino)-2-(p-tolyethyl)-7-oxabicyclo[2.2.1]hepta-2,5-diene-2,3-dicarboxylate	DMSO	(180,181)
	TAK-981		Pyrazole-carbonylpyrimidine	DMSO	(185)
	Compound 21	14.4	Phenyl urea	DMSO	(186)
	ML-93	0.037		DMSO	(187)
	Several compounds identified in a thiazole urea and pyrazole urea based screen	30-100	Thiazole urea and pyrazole urea	DMSO	(188)
	Ubc9	GSK145A	12.5	Diamino-pyrimidine	DMSO
Spectomycin B		4.4	Antibiotic	DMSO	(176)
Compound 2		75	Pyridine	DMSO	(190)
SUBINs		0.025	SUMO2 variants	DMSO	(191)
2-D08		6	Flavonoid	DMSO	(192)
SENP1	Momordin Ic (Mc)	15.37	Pentacyclic triterpenoid	DMSO	(200)
	Compound 38	9.2	Benzodiazepine	DMSO	(195)
	Triptolide	0.009754-0.0203	Tripterygium wilfordii Hook F	DMSO	(201)
	Compound J5	2.385	2-(4-Chlorophenyl)-2-oxoethyl 4-benzamidobenzoate derivative	DMSO	(194)
	GN6958	29.6	Phenyl urea	DMSO	(196)
	Compound 6, 7 and 10	3.7, 0.99, 7.5		DMSO	(198)
	Compound 13m	3.5	Phenyl	DMSO	(199)
	Compound 3	3.55, 2.98	Phenyl	DMSO	(197)
	Streptonigrin	0.518	Antibiotic	DMSO	(193)
	SENP2	compound 1,2,5-oxadiazole	5.9		DMSO
Compound 69 and 117		5.9, 3.7	Oxadiazoles	DMSO	(203)
Compound 3		3.55, 2.98	Phenyl	DMSO	(197)
Ebselen		2	Organo-selenium	DMSO	(202)

SUMO, small ubiquitin-like modifier; SENP, sentrin/SUMO-specific protease; SAE, SUMO1 activating enzyme subunit.

to a cryptic allosteric site (180,181). Other inhibitors targeting the SUMOylation cascade, including SAE1/UBA2 (182-188), Ubc9 (189-192), SENP1 (193-201) and SENP2 (202,203), are summarized in Table I.

In addition, protein- or peptide-derived drugs may also be designed to target the SUMO pathway. The adenovirus protein, Gam1, was the first reported SUMO E1 inhibitor that could directly block the activity of the E1 enzyme *in vitro*,

thereby blocking the formation of intermediate products of the SUMO E1 thioester reaction, and thus inhibiting the SUMO E1 of the substrate. *In vivo*, the expression of Gam1 can lead to the inactivation of SAE1/UBA2, the disappearance of SAE1/UBA2 and Ubc9 can inhibit the SUMOylation of proteins (204). The protein inhibitors based on a semisynthetic mechanism can mimic the tetrahedral intermediates produced during the formation of adenylate intermediates or thioester

bonds (184). Recently, a study using a SUMO1-derived peptide inhibitor found that it targeted two SIMs within  $\alpha$ -synuclein aggregation and reduced  $\alpha$ -synuclein-induced cytotoxicity in cell-based and *Drosophila* disease models (205).

In summary, clinical trials have recently begun and therapeutic utilization of SUMOylation inhibitors will face the classic challenges of drug discovery and development before benefiting patients. SUMOylation serves an important role in the development of tumors and targeting SUMOylation is a novel therapeutic strategy, which could be used in the diagnosis and treatment of hematologic malignancies and numerous other cancer types.

## 6. Conclusion and future perspectives

In recent years, the key roles of PTMs in the physiological and pathological development of cells has been extensively studied. Increasing evidence has shown that SUMO enzymes participate in cell proliferation, cell division, chromosomal instability, apoptosis and stress-response in numerous types of cancer, including hematological malignancies. Based on the influence of SUMOylation on the development of hematologic malignancies, the further development of small molecules that specifically modulate these PTMs is a highly promising approach of novel targeted therapies for cancer. However, additional research is required to further understand the function and the relevance of SUMOylation targeting anti-cancer therapy from bench to bedside.

Given that deregulation of SUMO pathways contributes to increased cell proliferation with reduced apoptosis in tumors, further investigation into the regulatory mechanism of the dynamic redistribution/activity of SUMO signaling is necessary. At present, thousands of novel SUMO target proteins have been identified in a site-specific manner using proteomics approaches. However, a more global examination of overall SUMOylation levels in a broad range of tumors should be performed. Examination of the functional relevance of SUMOylation for all novel SUMO substrates should also be conducted. Identification of the effects of SUMOylation during cell cycle progression will provide functional insights into the role of SUMOylation in tumorigenesis. Furthermore, it will be interesting to investigate how different PTMs cooperate in a cell-wide manner to drive cell cycle progression. For example, the SUMOylation pathway may crosstalk with ubiquitylation, phosphorylation and other PTMs during cell proliferation.

Current evidence has shown that in certain tumors, hyper-SUMOylation is required for tumor cells to survive, especially under conditions of stress. Different types of tumors depend on a functioning SUMOylation system. A potential challenge may be the specificity of SUMOylation modification during therapeutic development of SUMO-targeted interference. Whether it is possible to develop SUMOylation or deSUMOylation inhibitors that can only kill tumor cells, whilst balancing toxicity or tolerance in healthy tissues should be determined. Since hematological cells proliferating in bone marrow and elsewhere may be similarly dependent on the SUMOylation system, it would be interesting to test whether inhibiting SUMOylation is a valid anticancer strategy.

As discussed extensively, PML-NBs are druggable SUMOylation targets that provide scaffolds for leukemia

intervention. Whilst at present, there is a lack of an effective method to target certain proteins to PML-NBs, a robust and generalized induction of PML-NBs biogenesis using ATO treatment can benefit patients. The enhanced PML-NB formation can enrich the hyper-SUMOylated pathogenic proteins, leading to distinct processes, such as degradation, sequestration into the PML-NBs, alteration in activity and modification via other PTMs. Therefore, targeting PML/SUMO mediated oncoprotein oligomerization may represent a promising strategy in the treatment of hematological malignancies and other solid tumors (93).

Considering the critical role of the ubiquitin-proteasome system in maintaining protein homeostasis for MM and the deregulated SUMOylation in various hematological malignancies, these add the complicated interactions between ubiquitination and SUMOylation. On the one hand, SUMO may act together with ubiquitin to degrade proteins via STUbL. On the other hand, SUMOylation antagonizes the ubiquitination degradation of SUMOylated proteins by competing for ubiquitination sites. Thus, clarifying the crosstalk between SUMOylation and ubiquitination in hematological tumors is also crucial for the development of further targeted therapies. Targeting the SUMO pathway, alone or in combination with other drugs, is therefore a promising approach in the treatment of hematological malignancies.

Finally, similar to the emerging ubiquitylation based technology of 'proteolysis targeting chimera' to degrade a given substrate via the ubiquitin proteasomal system (206), the SUMO pathway may be precisely targeted for a given substrate to fine-tune a substrate protein's stability, localization, solubility and interaction network. Whilst this system is yet to be developed, a chimeric construct where Ubc9 is directly attached to a substrate to specifically induce its SUMOylation has been developed (207). A similar effect could be achieved via the use of a chimeric construct that consists of a protein domain specifically binding the target substrate and a SUMO machinery component attaching to this protein domain via a linker peptide (208).

Taken together, over the past two decades, significant advances have been achieved in the field of SUMOylation in cancer. The identification of novel combination therapies using PTM-based depressant agents is of great significance for clinical practice. Collectively, developing and investigating inhibitors of SUMO conjugation in the coming years are of promising potential for novel therapeutic strategies.

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## Availability of data and materials

Not applicable.

### Authors' contributions

LW wrote the first draft of the manuscript. JJQ and LW drew the figures and revised the manuscript. YY and CYG reviewed and edited the manuscript. All authors have read and approved the final manuscript. Data authentication is not applicable.

### Ethics approval and consent to participate

Not applicable.

### Patient consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests.

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