# **Proteomic studies in breast cancer (Review)**

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Received October 31, 2011; Accepted January 13, 2012

DOI: 10.3892/o1.2012.573

Abstract. Breast cancer is one of the most common types of invasive cancer in females worldwide. Despite major advances in early cancer detection and emerging therapeutic strategies, further improvement has to be achieved for precise diagnosis to reduce the chance of metastasis and relapses. Recent proteomic technologies have offered a promising opportunity for the identification of new breast cancer biomarkers. Matrix-assisted laser desorption/ionization, time-of-flight mass spectrometry (MALDI-TOF MS) and the derived surface-enhanced laser desorption/ionization mass spectrometry (SELDI-TOF MS) enable the development of high-throughput proteome analysis based on comprehensive reliable biomarkers. In this review, we examined proteomic technologies and their applications, and provided focus on the proteomics-based profiling analyses of tumor tissues/cells in order to identify and confirm novel biomarkers of breast cancer.

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### 1. Introduction

The human genome contains approximately 35,000 genes and has the ability to encode up to 35,000 corresponding proteins. The occurrence of alternative RNA splicing and post-translational modifications (PTM), including phosphorylations,

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Key words: breast cancer, proteomics, biomarkers, matrix-assisted laser desorption/ionization time-of-flight mass spectrometry, surface-enhanced laser desorption/ionization time-of-flight mass spectrometry

acetylations, glycosylations and protein cleavages may increase the expression of proteins to 500,000-1,000,000 (1). Providing the direct link between gene sequence and cell physiology, proteomics is expected to complement genomic analyses to evaluate disease development, prognosis and response to treatment (2).

Breast cancer is one of the most challenging diseases, endangering the health of females worldwide, with the highest incidence found in developed countries. More than one million new cases occur every year, resulting in breast cancer being the leading cause of mortality in females aged 40-59 years. In the United States in 2010, a total of 207,090 new cases of this cancer were estimated to have occurred with an expected mortality of approximately 39,840 women (3). In addition, one-third of patients with initial breast cancer experienced recurrence or metastasis of the disease later (4). A major challenge in breast cancer care is the identification of reliable biomarkers that improve early diagnosis, screening, prediction of outcome, therapeutic response, toxicity and identification of novel target therapies. Proteome studies in breast cancer require tissue samples and biological fluids, including serum, plasma, saliva, nipple aspirate and cerebrospinal fluid (5,6).

Despite the current limitations, proteomics-based biomarker discovery and validation are capable of improving the molecular knowledge of breast cancer and the creation of tissue-based or circulating diagnostic and prognostic clinical utilities (7). In this review, the current techniques applied to breast cancer studies and the most significant research results are summarized.

#### 2. Proteomics technologies

The polyacrylamide gel-based platforms, including bidimensional electrophoresis (2-DE) and its variant two-dimensional fluorescence difference gel electrophoresis (2-DE DIGE), consist of tagging two or three protein extracts with various fluorescent molecules (Cy2, Cy3 or Cy5) that are subsequently blended and separated on a single gel. In 2-DE, the complex protein samples are separated according to their isoelectric charge and then by their molecular weight (MW) using electrophoresis. The direction of the protein movement at the second phase is perpendicular to the first, in order to provide a spot map of the proteins distributed in the two dimensions. The 2-DE-separated proteins are detected using several pre- or post-electrophoresis staining or fluorescence techniques (8). In the traditional method of 2-DE, proteins are separated from

a complex mixture according to their electrical charge and dimensional differences. The advantage of this method is that large numbers (3,000-10,000) of proteins can be separated visually. The problems of repeatability and standardization associated with this method are overcome with the use of an immobilized pH gradient (IPG) (9).

Proteomic analyses are differentiated according to whether or not they use mass spectrometry (MS) in the methodology. Non-MS-based approaches require prior knowledge of the proteins that are to be tested; they use specific antibodies and include techniques such as enzyme-linked immunosorbent assay (ELISA), immunohistochemistry (IHC), western blotting and more recently, tissue microarray (TMA) and protein microarray (PMA). By contrast, approaches that use MS do not require previous biological knowledge of the proteins (10). Since 1998, TMA has become the most used proteomic approach in oncology. TMA simultaneously analyzes a new protein marker or a group of 'protein signature' markers in hundreds to millions of cylindrical fragments of clustered tumor samples, collected from original paraffin blocks. TMA associated with IHC allows the trials to be performed using the same technical conditions with promptness and viable costs, making it a powerful tool in investigative pathology (11). This has been employed in the cell lysates of breast cancer to evaluate the activation of Her-2 receptors with its ligand following specific actions of receptor inhibitors (12). However, the analyses are limited to those performed with known antibodies (5).

MS, which defines and measures large numbers of unknown proteins following chemical or physical separation, produces a large number of protein markers in order to define the tumor phenotype. However, substantial sample preparation is required prior to analysis. MS analysis of complex protein samples is performed by determination of the mass/ charge ratio (m/z) and the number of ions for each m/z value of a pressurized gas phase ion mixture (13). A mass spectrometer consists of an ionization source, a mass analyzer and a detector. According to the ionizing source, the most widely used MS techniques for evaluating clinical samples are matrix-assisted laser desorption-ionization time-of-flight mass spectrometry (MALDI-TOF MS), its variant surface-enhanced laser desorption ionization time-of-flight mass spectrometry (SELDI-TOF MS), and electrospray ionization mass spectrometry (ESI MS). For analysis of the mass spectrum, statistical and bioinformatics tools are required. Commercially available applications include Proteome, Quest, Propek, Bamf and Biomarker Wizard (14).

In certain literature, attention has been drawn to two significant points regarding MS analyses. One issue involves the selection process prior to data analysis of the spectra, which has poor quality, a lack of analytical validity and the quality control procedures performed for the relevant analysis. This procedure cuts down noise in the proteomic data, and is reflected as lower variability in diagnostic validity (15). The other issue involves diagnostic validity. The MS protein pattern data are collected from small sample size case groups compared to the large amount of data. However, targets should be selected by definite criteria and with as few variables as possible within the small group.

In addition to the peptide mass fingerprint, another technique entitled tandem MS or MS/MS may be performed.

This method is conducted from a secondary fragmentation of peptides in order to obtain a peptide sequence, that, in turn, is searched for in sequence databases for protein identification (16). In conjunction with MS, several methods may be performed beforehand to separate the proteins extracted from the complex samples. For protein separation, high-performance liquid chromatography (HPLC) and multidimensional protein identification technology (MudPIT) coupled with MS/MS, enable rapid analysis and identification of the peptide sequences obtained. Recently, MS-based 'off-gel' quantitative proteomics methods have been employed, which have provided a means of increasing the number of proteins identified. A multiplex analysis consisting of up to eight samples can be achieved using isobaric tags for relative and absolute quantitation (iTRAQ) technology. iTRAQ has certain advantages; it provides an opportunity to incorporate internal control samples for normalizing different patient sets from distinct experiments, and combines the peptide signals, increasing the chance of generating quality MS/MS for a greatly definitive protein identification (17). A preliminary study using iTRAQ-2D-LC-MS/MS has compared three low-grade breast cancer tissue samples with various metastatic potentials (primary tumor without metastasis, lymph node metastasis and distant metastasis). It was possible to identify 605 non-redundant proteins, demonstrating the ability of the method to define the differential protein spectrum in relation to disease progression, confirmed using quantitative real-time polymerase chain reaction (qRT-PCR) (18).

#### 3. Diagnostic marker protein profiling studies

The goal of mass spectrometry-based protein profiling studies performed for breast cancer is to identify novel diagnostic markers. For genetic breast cancer classifications, the sporadic breast cancer subgroups constitute approximately 90% of cases and hereditary cases constitute approximately 10% (19). In addition, breast cancers differentiate according to their mutation conveyance in the BRCA1 and BRCA2 genes. This genotypic classification has been confirmed using DNA microarray with a series of genes also containing the cyclin D1 (CCND1) gene (31). Palacios et al (20) confirmed this molecular classification using a protein level study with 37 protein biomarkers. BRCA2 cancers have been found to be correlated with the cycle regulators, D-type cyclines (D1, D3) and CDK4. The BRCA1 cancers have been found to be correlated with ER/Her-2 negativity, rapid proliferation and widespread basal phenotype (21). By classifying lobular and ductal cancers, the proteins EMP1, DVL1, DDR1, PRKC1 and E-cadherin were identified as biomarkers.

Breast cancers are divided into estrogen receptor (ER)-positive and ER-negative groups. Using cell type and signal pathway protein biomarkers, five molecular subtypes of ER-positive breast cancer have been identified. These molecularly defined subtypes are luminal A, luminal B, basal, HER-2 overexpression and normal (22). Together with the pathological characteristics of breast cancer, 97 biomarker proteins were found, including ER, PR, HER-2, p53, CK5/6, CK8/18, cyclin E, Ki-67, BCL2, cyclin D1 and E-cadherin (23). In the evaluations performed in conjunction with survival periods, 26 proteins were selected for follow-up and the

5-year survival period was determined to be 80%. In addition to these proteins, in a study performed on four separate groups, functional protein patterns and survival expectations were classified into 3 different subgroups (A1, A2 and B) (24). The study by these authors revealed over 10 protein markers that may distinguish disease subgroups clinically and biologically more reliably than the prognostic markers that were in use. For cancer diagnosis, protein profiles are obtained from control and patient samples and then compared to detect significant protein patterns unique to each group. Studies have described and compared in situ or infiltrating ductal carcinoma with normal tissues or non-malignant tumors (25). As tissue proteins are likely to reflect the earliest changes caused by the successive genetic mutations that lead to breast cancer, it has been hypothesized that the concentration of potential biomarkers is highest in the tumor and immediate microenvironment. Analysis of tumor tissue lysates using SELDI-TOF MS revealed several peaks that were significantly associated with lymph node status or cancer subtype (26).

The high cell heterogeneity of whole tumor tissue specimens complicates the search for tumor-originating proteins. However, this search can be aided by laser capture microdissection (LCM), which enables the selective capture of a specific subset of cells (27). Captured cells can be mounted directly onto a MALDI target following microdissection, thereby maintaining the spatial conformation for imaging MS. Umar et al (28) detected nine differentially expressed tryptic peptides following an analysis of stromal and tumor cells that were collected from five tissue specimens using LCM. Subsequently, Sanders et al (29) identified ubiquitin and S100-A8 to be decreased in tumor tissue (n=122) compared to normal tissue (n=167), whereas \$100-A6 was found to be increased. The use of a split-sample approach allowed successful within-study validation of the three potential markers. Since both ubiquitin and S100-A6 were also found to be decreased in the lysates of breast cancer cell lines following apoptosis induced by chemotherapy (30), these proteins may provide potential insights into the pathogenesis of breast cancer following further investigation.

A step towards the identification of signaling proteins regulated in cancer cells has been provided by the molecular chaperone 14-3-3 $\sigma$ . The 14-3-3 proteins form a family comprising seven greatly conserved isoforms with a molecular weight of 25-30 kDa. These proteins are involved in the regulation of the cell cycle machinery at several key points. Additionally, they appear to be associated, directly or indirectly, with signaling proteins including IGF-1 receptor, Raf, MEK kinases and PI3-kinase, although the precise molecular mechanisms of inhibition or activation of these elements are not clear (31). Several studies have reported subregulation of the  $14-3-3\sigma$  protein in breast cancer, suggesting its role as a tumor suppressor (32). However, the difference in expression found between the normal and tumor tissue was not statistically significant, which contradicts previous evidence that demonstrated that the 14-3-3 $\sigma$  protein was an early detection marker of breast lesions (33).

Recently, Kabbage *et al* (34) identified the overexpression of the  $\alpha$ -B-crystallin (Hsp5) and Hsp27 molecules in breast tumor samples. Due to the ability of the chaperones (Hsps) to avoid accumulated stress, unfolding and aggregation of

the recently formed protein, its expression has significant implications on cell proliferation. Previous studies revealed that  $\alpha\text{-B-crystallin}$  may be a good target for modulating apoptosis pathways, considering that high levels of these proteins were observed in *in situ* ductal carcinoma (35). Notably, Moyano *et al* (36) demonstrated that  $\alpha\text{-B-crystallin}$  appears to be sufficient for cancer transformation. It induces EGF and anchorage-independent growth, increases cell migration and invasion, and constitutively activates the MAPK kinase/ERK (MEK/ERK) pathway; indicating that  $\alpha\text{-B-crystallin}$  is a novel oncoprotein. These data suggest that such chaperones may be significant in the carcinogenesis process and are potential diagnostic markers.

Li et al (37) identified three protein pattern biomarkers for breast cancer; one reducing (4.3 kDa) and two increasing (8.1 and 8.9 kDa). In subsequent studies, their structural definitions were found to be inter-α-tyripsin inhibitor heavy chain H4 (ITIH4), C3a des-arginine-C terminal truncated peptide (C3adesArgΔ8), and C3a des-arginine (C3adesArg), respectively; these have been repeated in independent case groups (38). However, in later studies, the increase in cancer cases of the 8.1 kDa marker was not found to be noteworthy, and the 8.9 kDa marker was reported to have decreased in metastatic recurrences (39). Additionally, the ITIH4 (4.3 kDa) fragment has been found to be increased in cancer cases (40,41). Thus, the value of these three biomarkers in the diagnosis of breast cancer remains controversial. As ITIH4 and the use of markers such as fibrinopeptide A, fibrinogen  $\alpha$ , C3f, C4a, apolipoprotein A-VI, bradykinin, factor VIII and transthyretin reflect the clotting status in the blood of cancer sufferers, they are suggested for diagnostic and classification purposes. The serum and plasma levels of these markers suggest variations specific to the matrix (42).

Hudelist et al (25) used 2-DE and MALDI-TOF in a comparative study of tissue fragments and cells collected using LCM from normal and tumor breast tissues of five patients with breast cancer. Proteins expressed in the manually cut tissues were proteins of high MW and low isoelectric point, mainly the extracellular matrix proteins, but also the fibroblasts and endothelial cells. This feature was absent in LCM-extracted cells, in which proteins of intermediate MW and high isoelectric point prevailed. A total of 32 epithelial proteins were differentially expressed and identified as cytokines, structural proteins, tumor-suppressor genes, signal-transducers or cell-cycle regulators. Among the proteins identified, the Maspin (tumor suppressor protein), DCC (membrane protein), and DSG3 (lipoprotein) were subregulated, indicating their possible role in tumor invasion suppression. HSP27, HER-3 and CATH were overexpressed in the tumor tissue. Certain overexpressed proteins did not have previous reports of association with breast cancer, including CGG3, which has a potential role in malignant transformation in the breast epithelium and AAAS, also referred to as ALADIN (2).

Pietrowska *et al* (43) performed a protein expression analysis in cells obtained by LCM of frozen breast tumor sections and normal breast epithelium sections using MALDI MS. Protein and peptide expression in invasive mammary carcinoma versus normal mammary epithelium, and ER-positive versus ER-negative tumors were compared. Biomarker candidates were identified using statistical analysis, and classifiers

were developed and validated in blinded test sets. Several of the m/z features used in the classifiers were identified using liquid chromatography-mass spectrometry (LC-MS/MS) and two were confirmed by IHC. A decrease in ubiquitin and S100-A8 (calgranulin A) was revealed in the tumor tissue (n=122) compared to the normal tissue (n=167), whereas S100-A6 (calcyclin) had an increased expression in the tumor tissue. Therefore, three potential markers were identified.

Using 2-D DIGE and MALDI-TOF MS, Schulz et al (44) characterized protein expression patterns of triple-negative (ER, PR and Her-2) breast cancer compared to Her-2-positive tumors. In the study, protein extraction of the microdissected tumor (pureness >70%) was conducted, and the pooled protein extracts from two groups of frozen tumor tissues (TN and Her-2+) were used for the 2-D DIGE gel performance. The use of this procedure ensured high protein performance. The structural proteins, including cytokeratins, vimetin, fibronectin and L-plastin, glycolytic enzymes, annexin-family (ANXA 1 and 2) and peroxiredoxin-family (PRX1) proteins were identified. IHC and western blot analysis results supported the validity of the results. The identified marker proteins could advance a more detailed characterization of triple-negative breast cancers and may contribute to the development of improved treatment strategies.

Due to improvements in multiple genetic and histological approaches, over the past decade, developments of new breast cancer diagnostics and treatment paradigms have accelerated. Accordingly, a number of distinct genetic subtypes of breast cancer have been defined, with the aim of progressively leading towards more personalized medicine in regard to treatment options. However, there remains a deficiency in the development of molecular diagnostic assays that can be applied for breast cancer detection and used for preclinical decisions (45); for example, the type of cancer-specific biomarker that should be typified by a serum or tissue-derived protein. Progress in this regard is minimal compared to the rapid advancements in genetic and histological assays for breast cancers (46).

# 4. Prognostic and predictive marker protein profiling studies

The aim of prognostic marker protein profiling studies is to classify patients with varying clinical outcomes. The first prognostic gene signature (47) consisted of 70 genes and was demonstrated to identify a group of good-prognosis patients with minimal risk of development of distant metastasis within 5 years in patients undergoing systemic therapy. In a subsequent study, Buyse et al (48) demonstrated that the 70-gene signature was a predictor of outcome independent of the current clinicopathological prognostic markers in a dataset comprising 295 cases. Significantly, in that and subsequent studies (49), it has been repeatedly demonstrated that the 70-gene signature classifies greater than 95% of ER-negative cancers as poor prognosis, and that there is a strong correlation between a 70-gene signature-defined poor prognosis and a high histological grade. Subsequent studies have led to the development of various other prognostic signatures, including the 76-gene signature and genomic grade index (50), which were also shown to be independent predictors of outcome.

Bertucci and Goncalves (10) investigated the post-operative sera of 83 high-risk breast cancer patients using SELDI-TOFMS, by constructing a 40-protein signature that correctly predicted outcome in 83% of patients. Major components of this signature included haptoglobin α-1, complement component C3a, transferrin and apolipoprotein A-I and C-I. These results should be interpreted cautiously, as the number of proteins used for classification is rather high in comparison with the limited study population, indicating possible over-fitting of the data. Moreover, there is a marked association between the haptoglobin phenotype and the recurrent free survival in the sera of 63 high-risk primary breast cancer patients. However, the results have not yet been validated in independent sample sets (51). As the results were not confirmed following validation by haptoglobin phenotyping of a six-fold larger sample set (n=371), utilization of SELDI-TOF MS showed that this likely resulted from a type I error (i.e., false positive).

In a third SELDI-TOF MS study performed in breast cancer tissue (n=60), good prognosis was found to be associated with high levels of ubiquitin and/or low levels of ferritin light chain (52). Notably, ubiquitin has also been found to be differentially expressed in breast cancer by three other studies investigating tissue specimens and cell lines (53). To evaluate the prognostic markers in a SELDI-TOF MS study of tumor tissue from 60 patients with breast cancer, of which 30 patients had no recurrence and the remaining 30 had metastasis, several differentially expressed proteins were identified. Two of the proteins had a strong prognostic value; high levels of ubiquitin and/or low levels of ferritin light chain were associated with good prognosis, as confirmed by IHC and western blot analysis (54). Another SELDI-TOF MS study using LCM, analyzed the tumor tissues of 65 patients with or without axillary compromise and identified two peaks (4,871 and 8,596 Da) differentially expressed for the conditions; the 8,596 Da peak corresponded to ubiquitin. This peak was under-expressed in breast cancers with axillary lymph node metastasis, indicating that ubiquitin is a good prognostic biomarker (55). Ubiquitin was differentially expressed in two further cell lineage studies (56). Despite these concordant findings, the results have not been confirmed by an analysis of independent sample sets.

In the search for prognostic markers, two studies have investigated the correlation between SELDI-TOF MS protein profiles of tumor tissue lysates and breast cancer cell lines with previously reported breast cancer subtypes (57). Although discrepancies between cells grown in vivo and in vitro exist due to adaptation caused by cell culture conditions, breast cancer cell lines were demonstrated to accurately reflect the genomic, transcriptional and biological heterogeneity found in primary tumors. Within the several differentially expressed protein peaks detected, heat shock protein (HSP) 27 and annexin V were found to be overexpressed in the lysates of the luminal A type tumor tissue (58), while the S100-A9 and a C-terminal truncated form of ubiquitin were found to be differentially expressed between the luminal-like and basal-like cell lines. Subsequent IHC analysis of S100-A9 in tumor specimens of 547 early breast cancer patients confirmed its association with basal subtypes, as well as its value as a poor prognosis indicator (59). However, the prognostic potential of HSP 27 and annexin V in vivo requires assessment by validation in clinical tissue samples.

A combination of HPLC and MALDI-MS termed the 'differential peptide display' was used to study peptides generated from 80 invasive ER-positive and ER-negative breast tumors. The results demonstrated the identification of differentially expressed proteins, which were correlated with hormonal status (60). Notably, this analysis revealed a peptide with a m/z ratio of 3,108 corresponding to thymosin  $\alpha$ -1. Prothymosin- $\alpha$  (PTa) was found to differentiate the tumor samples according to their receptor status with the highest specificity. The concentration of thymosin  $\alpha$ -1 was found to be upregulated in ER-negative cancer samples and downregulated in ER-positive breast cancer samples. In studies performed with the multiple protein patterns, AIB1, MUC1, MUC3, COX2, TERT, EpCAM/TACSTD1, EPIL, crystalline α-B, cytokeratin 5 and 17, and annexin A8 in the basal group, have been linked with poor clinical trend. However, STAT5, BCL2 and GATA3 in the ER-luminal group as well as GATA4, Ki-67 and Her-2 in the Her-2 group, have been linked with a favorable clinical trend (37,61). Hodgkinson (62) reported that a 21 protein pattern defined in a 5-year metastasis prediction of survival study concluded more favorably than the current standards, and in an independent study, 9 of these proteins were approved.

An accurate prediction of chemo-sensitivity in cancer therapy would enable individualized therapy while avoiding toxic side effects and eliminating the use of ineffective agents. However, protein profiling studies searching for markers for response prediction and treatment monitoring of breast cancer are scarce. The correct prediction of chemo-sensitivity in cancer therapy may cause a reduction in the use of ineffective medication and improve clinical results via protection from side effects. Using studies performed on drug-sensitive and drug-resistant (doxorubicin and paclitaxel) breast cancer cells with SELDI-TOF MS to determine biomarkers that may provide prediction of therapeutic response and follow-up of therapy, a large number of structurally undefined protein peaks have been proposed (63). Maurya et al proposed the use of transferrin fragments, linked with poor clinical progress, for the prediction of paclitaxel resistance. In treatments stimulating apoptosis, a decrease in ubiquitin and S100-A6, and an abnormal expression in breast cancer tissue was found (64). However, the results of these studies have yet to be transferred to clinical samples. Among the limited number of studies performed in vivo in this field, it was determined that kininogen and apolipoprotein A-II decreased in the shock table due to the effect of docetaxel, and the structurally undefined SELDI peak, determined as 2790 m/z, markedly increased following infusion of paclitaxel (65). However, as yet it has been difficult to define a proteomic predictive marker capable of predicting either the therapeutic response to micrometastatic carcinomas or the side effects caused by the cytotoxic treatment in a patient.

Utilizing fresh breast cancer tissue samples, a number of studies based on proteomics have been conducted; however, the majority of these are based on comparisons between the proteome of normal tissue versus malignant samples, rather than investigations of chemotherapy response. These proteomic studies addressed the technical issues regarding the heterogeneity of breast cancer tissue through the use of LCM (66), the presence of highly-abundant proteins from

contaminating blood serum through the use of depletion strategies and also successfully utilized the limited amount of tissue available in pretreatment diagnostic biopsies (67). A search for biomarkers with the ability to predict response to neoadjuvant chemotherapy using proteomic methods in breast cancer tissue has not yet been published. The investigation of chemotherapy response using clinical breast cancer samples is required for further research (62).

ER status has a significant negative predictive value for evaluating the response to anti-estrogen therapy. Sensitivity to the endocrine therapy (SET) index is based on the principle that the expression of genes correlated with ER may greatly improve the prediction response to endocrine treatment compared to ER expression alone. Recently, Loi et al (68) reported promising results focusing on phosphoinositide-3-kinase, catalytic (PIK3CA) gene mutations and the PI3K-AKT-mTOR signaling pathway targeted by PI3K/mTOR (mammalian target of rapamycin) inhibitors. By analysis of gene expression from 1,800 breast cancers, a gene expression signature associated with PIK3CA mutation was developed (PIK3CA-GS). The signature predicted PIK3CA mutations in two independent datasets of breast cancers and was revealed to identify good prognosis in ER-positive patients, the Her-2-negative breast cancer subgroup, and even in highly proliferative tumors. Breast cancer cell lines with high PIK3CA-GS were confirmed to be resistant to rapamycin (69).

Using 2-DE analysis and MALDI-TOF MS, Liu et al (70) demonstrated that cell lines of human cancer which increased expression of 14-3-3σ contributed to drug resistance, suggesting that such a change is associated with clinical resistance to chemotherapy. In treatments stimulating apoptosis, ubiquitin and S100-A6 decreased in the lysates of breast cancer cell lines, and aberrant expression of the two proteins in breast cancer tissue was found (71). In recent studies, a proteomic analysis of 14 matched pairs of tumor tissues (ER-positive) prior to and following neoadjuvant treatment with aromatase inhibitor (AI) revealed 10 differentially expressed proteins. Among the identified proteins, heat shock protein 70 (HSP-70) was the most significantly correlated with both clinical and pathological responses (72). Subsequently, the downregulation of HSP-70 was assessed by IHC. Decrement of HSP-70 and Ki-67 following AI treatment and pretreatment were significantly associated with clinical responsiveness to AI, demonstrating that HSP-70 is a potential predictive marker.

In addition, HSP-60 overexpression is correlated with the presence of axillary lymph node metastasis in breast cancers, indicating its potential prognostic value (73). Similarly, HSP-70 overexpression was correlated with a poor prognosis. This observation is consistent with the HSP-70 association with poor differentiation, lymph node metastasis, increased cell proliferation and blockage of apoptosis. Furthermore, the overexpression of HSP-90 and the presence of autoantibodies to HSP-90 have been correlated with a poor prognosis. HSP-70 is able to chaperone the oncoprotein HER-2/neu and the mutated p53, protecting these molecules from degradation by the proteasome, maintaining a less differentiated and more aggressive tumor phenotype (74). Further studies are required to determine the definitive value of the identified proteins as predictive markers.

#### 5. Conclusions

The majority of MS protein profiling studies exhibit promising diagnostic, prognostic or predictive values in breast cancer. Although it is indispensable for providing insight into the pathophysiological mechanisms associated with the development of absolute quantitative assays, few of these mass-to-charge ratios have been satisfactorily validated (75). Moreover, the candidate markers that have been identified constitute normal cell proteins or high abundant blood proteins involved in either coagulation or the acute phase response. Although their biological mechanism cannot be linked directly to tumor biochemistry, protein profiling studies have increased the knowledge of their molecular mechanisms involved in cancer through the identification of discriminative proteins generated exclusively by cancer cells.

The proteomic methods being used in recent years are evolving at an increasing rate. From studies examined by the code updating committee of the American Society of Clinical Oncology (ASCO), which regulates the use of tumor markers in breast cancer, a number of candidate proteins were identified (76). Serum, needle aspiration fluid (NAF), tumor tissue and intercellular fluid (TIF) were tested as proteomic indicators showing promise for clinical value. However, repeated prospective studies should be performed with well-defined larger sampling groups, in different populations and with varying analytical methods. In clinical trials, there are approximately 40 studies in progress relating to proteomics on breast cancer. However, none of the proteomic profiling techniques have been validated sufficiently for use in patient care (77).

Due to the high-throughput, simultaneous analysis of large numbers of proteins using proteomic techniques, there is a great chance of false discovery (45). Therefore, a second independent technique (for example, immunoblotting) is used to confirm the identification and expression change of individual putative biomarkers which have been suggested from proteomic studies. For immunoblotting, 1D-PAGE is used to separate each protein sample according to the MW, and the proteins are then transferred onto a nitrocellulose membrane. Densitometry can be used to normalize gel loading differences and compare the intensity of the bands produced by the primary antibody from each sample, producing a quantitation of the fold change in expression. Immunoblotting relies on the availability of a reliable specific primary antibody for the precise protein species identified by the proteomic analysis.

Since the proteomic methodologies described do not provide any information regarding the cellular localization of the putative protein biomarkers, they require further analysis using methods that can provide clinical validation, relying on the availability of a sufficient number of suitable clinical samples with the required clinical information. IHC is a low-throughput technique that is used to validate the expression and localization of a protein of interest in whole sections both of formalin-fixed and paraffin-embedded (FFPE) clinical tissue samples on glass microscope slides. High-throughput IHC can be achieved following the production of a suitable TMA (78). For this process, cores of tissue are collected from hundreds of different donor FFPE samples and co-embedded into a single new recipient FFPE block. Each slide of the composite tissue section can then be simultaneously screened for expression

of one test protein using IHC. For non-tissue based assays, an ELISA format, with an immobilized capture antibody, may be used to simultaneously screen protein samples from multiple clinical samples. Alternatively, MS-based assays, including multiple reaction monitoring (MRM) analysis, may be designed for the quantitation and validation of putative biomarkers in clinical samples. Following the successful validation and rigorous testing of putative biomarkers (79), a few may eventually find a role in clinical use.

In the definition of cancer indicators, proteomic technologies are producing valuable information, including differentiation, defining functional and regulatory pathways, determining the structure of disease-causing molecules in tissue and biologic fluids, and manifesting the disease stages or differences specific to the disease or individual. Since serum is generated by coagulation, its proteome is prone to the proteases involved in this cascade, as well as to those involved in the complement cascade activated upon clotting. Various pre-analytical parameters, including sampling device, clotting temperature and storage time, are all able to exert a distinct effect on the serum proteome. Thus, the concept of cancer type-specific protein fragments generated by tumor-secreted proteases awaits confirmation by validation studies that adhere to rigorous sample handling protocols.

From all of these data, it appears that the set of differentially expressed proteins identified from one study to another are variable, suggesting a lack of experimental standardization or issues of heterogeneity between the biological materials used in each study. Moreover, the differences of expression were only quantitative and few or none of the proteins found are exclusive in cancer versus normal breast tissues (80). The frequently identified proteins consist of normal cell proteins and high-abundant serum proteins involved in blood coagulation and the acute inflammatory response. Since the candidate proteins are among the least abundant, they may be below the detection threshold of the methods used. Therefore, it is likely that the specific proteins secreted by the tumor have yet to be detected (81).

Moreover, a number of the identified candidate breast cancer markers have been found to bear diagnostic potential for other cancer types; the majority of cancer types of epithelial origin share similar molecular features. Therefore, it may prove difficult to identify a true cancer-specific protein that is expressed exclusively by one type of malignant cell. Identification of specific tumor-secreted proteins is no prerequisite for improving breast cancer care, since improved breast cancer diagnosis, prognosis and prediction may also be accomplished using surrogate biomarkers of disease (82). A class of proteins currently recognised by the Breast Cancer Research Foundation for their surrogate biomarkers is the highly-abundant circulatory proteins. These fragments are generated by cancer type-specific protease activity, superimposed on the coagulation and complement degradation proteolytic pathways. In addition, these fragments also result from the proteases specifically expressed by malignant cells within the tumor microenvironment for tumor invasion and metastasis (83); they proteolytically process the acute phase proteins generated by the host response to the tumor.

The few validation studies currently available have all been retrospective. None of the candidate markers identified at present have been investigated in larger, prospective, clinical settings. Therefore, none of the candidate biomarkers discussed in this review have been validated sufficiently to be used in clinical application (76). Translation from the discovery phase to the pre-clinical and subsequent clinical validation phase is required, as the sole purpose of a biomarker lies in its value. Nonetheless, the results of MALDI and SELDI-TOF MS protein profiling studies hold promise as high-throughput screening tools for the discovery of novel breast cancer markers. Provided that these studies are performed with adequate statistics and rigorous analysis, these protein biomarkers may improve cancer patient outcome.

In a systematic review of 20 MALDI/SELDI studies, Callesen et al (84) compared discriminatory peaks of candidates to diagnostic markers. These authors reported the occurrence of substantial heterogeneity in the studies with regards to experimental design, biological variation, pre-analytical conditions, collection and computational data analysis method. However, they still found common features among the studies, and demonstrated that 45% of the peaks previously related to breast cancer in these studies were also observed in a recent experimental study performed by the same authors. Studies testing the effects of different variables, including storage tubes, temperature, clotting time and incubation temperature, have shown that significant details for uniform handling to maintain systemic pre-analytical consistency are required (85). Non-standardized protocols in different validation studies have generated conflicting results, including clear variations in the discriminatory power and direction of several putative biomarkers.

Proteomics remains under development, and despite technical barriers that precede the use of proteomics analysis in clinical practice and the breast cancer complexity, MALDI-TOF and SELDI-TOF MS proteomic platforms with their innovations are powerful analytical tools for decoding alterations in the protein expression with respect to disease progression. These studies are required to be performed in a systematic manner with standardization of pre-analytical and analytical factors and computational tools for data treatment, in order that in the near future they are able to fulfil their role in personalized medicine and aid in the decrease of mortality from breast cancer.

## Acknowledgements

This review was supported by the Shanghai Xuhui Science Foundation (No. xkkt201106).

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