

# RNA-seq analysis identifies key long non-coding RNAs connected to the pathogenesis of alcohol-associated head and neck squamous cell carcinoma

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**Abstract.** Alcohol consumption has been implicated in the pathogenesis of head and neck squamous cell carcinoma (HNSCC), although its mechanism is poorly understood. Recent advances in the identification and understanding of long non-coding RNAs (lncRNAs) have indicated that these molecules have a profound effect on numerous biological processes, including tumorigenesis and oncogenesis. The present authors hypothesize that alcohol-mediated dysregulation of lncRNAs is a key event in HNSCC pathogenesis. An *in silico* differential expression analysis utilizing RNA sequencing (RNA-seq) data from 34 HNSCC patients, which included alcohol drinkers and non-alcohol drinkers, identified a panel of lncRNAs that were dysregulated due to alcohol consumption. Normal oral keratinocytes were then exposed to ethanol and acetaldehyde to validate the RNA-seq results. Two lncRNAs that were differentially expressed due to alcohol consumption were identified from RNA-seq analysis of the clinical data: *lnc-PSD4-1* and *lnc-NETO-1*. Oral keratinocytes exposed to alcohol and acetaldehyde demonstrated dysregulation of these two lncRNAs, thus validating the results of RNA-seq analysis. In addition, low expression of the *lnc-PSD4-1* isoform, *lnc-PSD4-1:14*, exhibited a strong correlation with high survival rates in a Cox proportional hazards regression model. Therefore, these lncRNAs may play a key role in the early pathogenesis of HNSCC, since they are dysregulated in both clinical data and *in vitro* experiments mimicking the effects of alcohol use.

## Introduction

Alcohol and tobacco use are associated with  $\geq 75\%$  of all head and neck squamous cell carcinomas (HNSCCs) (1). In addition, alcohol has been reported to independently increase the risk of cancer (2). Despite compelling evidence suggests that alcohol plays a key role in the pathogenesis of HNSCC, its molecular mechanism remains poorly understood (2). Alcohol is speculated to increase the risk of cancer by impairing DNA-repair genes or folate metabolism (2). However, previous studies have suggested that it is not alcohol, but instead its metabolite acetaldehyde, the major contributor to HNSCC progression (2,3). Acetaldehyde is produced by alcohol dehydrogenases in the intestine, kidney, liver and oral cavity, and has been proposed to exert mutagenic effects, including DNA cross-linking, chromatid exchange, aneuploidy and other chromosomal abnormalities (3). The present study specifically focuses on the effect of alcohol exposure on HNSCC, and proposes that ethanol or its derivative acetaldehyde alters the expression of key long non-coding RNAs (lncRNAs) that may be critical in the pathogenesis of HNSCC.

The human genome sequence is composed primarily of non-coding RNAs (ncRNAs), with only 2% of RNAs coding for proteins (4,5). Previously, ncRNAs were considered to be transcriptional noise (4). However, it has been recently reported that ncRNAs are important in transcriptional and post-transcriptional processes (4). Recent studies have revealed that ncRNAs influence messenger RNA (mRNA) translation and chromatin modifications (5). Since previous studies have demonstrated that alcohol is able to regulate ncRNAs (6,7), it is possible that the role of ncRNAs as epigenetic regulators could account for the effects of alcohol in the pathogenesis of HNSCC.

The present study focused on lncRNAs, which are ncRNAs of  $>200$  nucleotides in length. lncRNAs have been reported to play a critical role in cancer progression through the modification of transcription factors associated with regulation of oncogenes, tumor suppressor proteins, self-renewal and differentiation (8,9). lncRNAs regulate transcription factors by acting as chromatin modifiers and direct transcriptional regulators (8,10,11). This regulation has been demonstrated to occur either in cis (in close proximity to the transcribed lncRNA) or in trans (far from

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the transcription site) (12). Therefore, alcohol-dysregulated lncRNAs could play critical roles in the inhibition of tumor suppressors or the activation of oncogenes that are required for the malignant transformation of normal oral epithelial cells.

Using RNA sequencing (RNA-seq) technology, the present study identified a panel of lncRNAs that were differentially expressed between alcohol drinkers and non-alcohol drinkers among HNSCC patients. This panel was partially validated *in vitro* in normal oral keratinocytes treated with clinically relevant levels of ethanol and acetaldehyde.

## Materials and methods

**RNA-seq analysis.** An *in silico* differential expression analysis was conducted utilizing publicly available RNA-seq libraries obtained from The Cancer Genome Atlas (TCGA; <https://tcga-data.nci.nih.gov/tcga>), which comprised 34 HNSCC patients, 17 of which were alcohol drinkers and 17 non-alcohol drinkers. Within each category of alcohol drinkers and non-alcohol drinkers, 12 patients were tobacco smokers and 5 were non-tobacco smokers (Table I). The libraries were generated by TCGA utilizing a Genome Analyzer IIX (Illumina Inc., San Diego, CA, USA), which resulted in paired-end RNA-seq libraries with insert sizes of 200 bp–5 kb. These RNA-seq files were next position-sorted, indexed and aligned to a human reference genome (hg19). The files were then annotated with a browser extensible data file containing 32,108 human lncRNA transcripts, which was downloaded from LNCipedia (<http://www.lncipedia.org/>) (13). The bedtools (<http://bedtools.readthedocs.io/en/latest/>) (14) utility coverageBed was then used to generate lncRNA read counts (integer values of expression levels) by calculating the number of alignments from each RNA-seq file that overlapped with each individual lncRNA provided by the annotation file from LNCipedia. A total of 13,338 lncRNAs displayed reads generated in the RNA-seq libraries.

These read counts were then utilized for lncRNA differential expression analysis, which compared alcohol drinkers vs. non-alcohol drinkers using the R/Bioconductor software package edgeR (version 3.4.2; <http://www.bioconductor.org/packages>). The read counts were normalized within edgeR based on the relative library sizes of each cohort. The differential expression analysis implemented in edgeR utilized an empirical Bayes estimation and exact tests based on the negative binomial distribution of the reads (15). From this comparison, a list of differentially expressed lncRNAs with false discovery rates <5% was compiled in HNSCC patients who were alcohol drinkers vs. those who were non-alcohol drinkers (Table II).

**Cell culture.** *In vitro* experiments were performed on OKF4 and OKF6, two noncancerous cell lines obtained from the laboratory of Dr James Rheinwald at Harvard Medical School (Harvard University, Boston, MA, USA). Normal oral keratinocytes from the floor of the mouth were used, since the hypothesis proposed by the present authors concerns the initial steps in the pathogenesis of alcohol-induced oropharyngeal cancer.

The oral keratinocytes were cultured in 1X Keratinocyte-serum-free medium (SFM) with L-glutamine (catalogue no. 17005-042), supplemented with 0.2 ng/ml

human recombinant epidermal growth factor (EGF) type B (amino acids 1–53), 25 µg/ml bovine pituitary extract (BPE), 0.3 mM calcium chloride, 100 U/ml penicillin and 100 µg/ml streptomycin (all from Gibco; Thermo Fisher Scientific, Inc., Waltham, MA, USA), at 37°C and 5% CO<sub>2</sub>. Upon reaching 30% confluency, OKF4 and OKF6 cells were cultured with equal parts of supplemented Keratinocyte-SFM and DFK medium, which was prepared with equal parts of Dulbecco's modified Eagle's medium (catalogue no. 21068-028; Gibco; Thermo Fisher Scientific, Inc.) and Ham's F-12 nutrient mixture (catalogue no. 11765-054; Thermo Fisher Scientific, Inc.), and supplemented with 0.2 ng/ml EGF type B (amino acids 1–53), 25 µg/ml BPE, 2 mM L-glutamine, 100 U/ml penicillin and 100 µg/ml streptomycin.

**Ethanol/acetaldehyde treatments.** Two independent experiments regarding cell treatments were conducted in the present study, one for ethanol and one for acetaldehyde. For both, two biological replicates were performed. For the alcohol experiments, the two cell lines were treated with increasing dosages of 200 proof ethanol (0, 20, 50 and 170 µM). The concentrations of ethanol were selected based on their toxicity, and an 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay was performed to determine the levels of cell proliferation at varying ethanol concentrations (Fig. 1). To represent long-term alcohol use, cells were treated every 24 h with ethanol diluted in medium (equal parts Keratinocyte-SFM and DFK) for a period of 28 days. The ethanol and the medium were replaced daily. The ethanol-treated culture plates were covered with plastic paraffin film while incubating at 37°C to minimize ethanol evaporation.

The above treatment was repeated with 17.82 M acetaldehyde (catalogue no. SHBD3908V; Sigma-Aldrich, St. Louis, MO, USA), since this is the first metabolite of ingested alcohol in the human body (3). OKF4 and OKF6 cells were treated with acetaldehyde at concentrations of 0, 75, 150, 300 and 1,000 µM for 48 h, with acetaldehyde added every 4 h and the medium (equal parts Keratinocyte-SFM and DFK) replaced every 8 h. The appropriate concentrations of acetaldehyde were determined from previous studies (16). Due to the volatility, toxicity and short half-life of acetaldehyde, cells could not be treated for 28 days (as they had been for ethanol). The plates were also covered with plastic paraffin film to minimize evaporation of acetaldehyde while incubated at 37°C. Cell lines were passaged at 30–80% confluency prior to harvesting.

**Reverse transcription-quantitative polymerase chain reaction (RT-qPCR).** Upon completion of alcohol and acetaldehyde treatments, cells were harvested, and total cell lysates were collected. RNA was extracted using SurePrep RNA Isolation kit (Thermo Fisher Scientific, Inc.). Complementary DNA was synthesized according to the manufacturer's protocol, using LncProfiler qPCR Array kit (catalogue no. RA900A-1; System Biosciences, Mountain View, CA, USA). qPCR was performed using SYBR Green reagent (Applied Biosystems; Thermo Fisher Scientific, Inc.) in a StepOnePlus Real-time PCR System (Applied Biosystems; Thermo Fisher Scientific, Inc.) for 2 min at 50°C, 95°C for 10 min, and 40 cycles of 95°C for 15 sec and 60°C for 1 min. Gene expression levels with gene-specific primers (Eurofins MWG Operon, Louisville,

Table I. Demographic characteristics of 34 patients with head and neck squamous cell carcinoma included in the present *in silico* analysis, with categorical breakdowns of drinking status, smoking status, vital state, gender, tumor site, stage and grade.

| Variables      | Total patients (%) n=34 | Alcohol drinkers and tobacco smokers (%) n=12 | Alcohol drinkers but non-tobacco smokers (%) n=5 | Non-alcohol drinkers but tobacco smokers (%) n=12 | Non-alcohol drinkers or tobacco smokers (%) n=5 |
|----------------|-------------------------|---|--|---|---|
| Gender         |                         |   |  |   |   |
| Male           | 25 (74)                 | 10 (83)                                       | 3 (60)   | 8 (67)  | 4 (80)  |
| Female         | 9 (26)                  | 2 (17)  | 2 (40)   | 4 (33)  | 1 (20)  |
| Drinks per day |                         |   |  |   |   |
| None           | 17 (50)                 | 0 (0)   | 0 (0)  | 12 (100)  | 5 (100)   |
| 0-2            | 14 (41)                 | 11 (92)                                       | 3 (60)   | 0 (0)   | 0 (0)   |
| >2             | 3 (9)                   | 1 (8)   | 2 (40)   | 0 (0)   | 0 (0)   |
| Vital state    |                         |   |  |   |   |
| Deceased       | 11 (32)                 | 4 (33)  | 0 (0)  | 6 (50)  | 1 (20)  |
| Alive          | 23 (68)                 | 8 (67)  | 5 (100)  | 6 (50)  | 4 (80)  |
| Tumor site     |                         |   |  |   |   |
| Oral           | 24 (70)                 | 8 (67)  | 3 (60)   | 9 (75)  | 4 (80)  |
| Pharyngeal     | 4 (12)                  | 1 (8)   | 2 (40)   | 0 (0)   | 1 (20)  |
| Laryngeal      | 6 (18)                  | 3 (25)  | 0 (0)  | 3 (25)  | 0 (0)   |
| Stage          |                         |   |  |   |   |
| Low (I, II)    | 5 (15)                  | 2 (17)  | 2 (40)   | 1 (8)   | 0 (0)   |
| High (III, IV) | 29 (85)                 | 10 (83)                                       | 3 (60)   | 11 (92)   | 5 (100)   |
| Grade          |                         |   |  |   |   |
| GX             | 1 (3)                   | 0 (0)   | 1 (20)   | 0 (0)   | 0 (0)   |
| G1-G2          | 26 (76)                 | 7 (58)  | 4 (80)   | 10 (83)   | 5 (100)   |
| G3-G4          | 7 (21)                  | 5 (42)  | 0 (0)  | 2 (17)  | 0 (0)   |

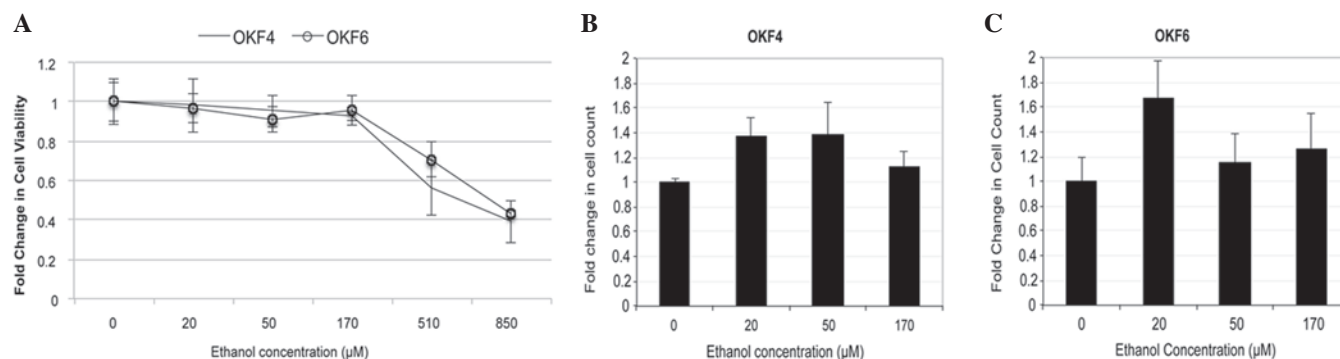


Figure 1. (A) Cell proliferation and viability in alcohol-treated cells. Cell viability with increasing levels of ethanol concentration was measured in (B) OKF4 and (C) OKF6 cell lines by 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide assay. Error bars denote standard deviation.

KY, USA; Table II) and error bars were calculated utilizing the  $2^{-\Delta\Delta C_q}$  method (17), with non-treated cells acting as a control for the ethanol and acetaldehyde treatments, and *glyceraldehyde 3-phosphate dehydrogenase* serving as a control for endogenous gene expression.

**Survival data analysis.** Utilizing the original 34 patient cohort and clinical information provided by the TCGA, the expression levels of key dysregulated lncRNAs were correlated with the patients' long-term survival. The expression levels of the lncRNAs were classified as either increased or decreased

depending on whether they fell above or below the median value. A Cox proportional hazards regression model was then applied to determine both univariate and multivariate survival hazard ratios (HRs) for the lncRNAs, based on a decreased expression (Table III). A Kaplan-Meier survival curve was used to illustrate the correlation between lncRNA expression and survival.

## Results and Discussion

The purpose of the present study was to identify key lncRNAs implicated in the pathogenesis of HNSCC, since lncRNAs are

Table II. Differentially expressed lncRNAs with FDR<0.05, including expression log fold change between drinkers and nondrinkers, gene log counts per million, and forward and reverse primers used for *in vitro* verification. *lnc-NET01-1* and *lnc-SLC39A11-2* are represented by different splice variants and with one common forward and reverse primer.

| lncRNAs                      | log <sub>2</sub> FC | log <sub>2</sub> CPM | P-value  | FDR      | Forward primer               | Reverse primer               |
|------------------------------|---------------------|----------------------|----------|----------|------------------------------|------------------------------|
| <i>lnc-SLC39A11-2:7</i>      | 4.194151            | 3.878865             | 1.94E-07 | 0.001085 | 5'-CGATGTGTCTCTTTTCCCGT-3'   | 5'-AGAAAGGCTGAACCAAGACGAC-3' |
| <i>lnc-SLC39A11-2:5</i>      | 4.140367            | 3.885084             | 2.44E-07 | 0.001085 | 5'-CGATGTGTCTCTTTTCCCGT-3'   | 5'-AGAAAGGCTGAACCAAGACGAC-3' |
| <i>lnc-SLC39A11-2:6</i>      | 4.142305            | 3.884648             | 2.46E-07 | 0.001085 | 5'-CGATGTGTCTCTTTTCCCGT-3'   | 5'-AGAAAGGCTGAACCAAGACGAC-3' |
| <i>lnc-LAMB3-1:1</i>         | -2.581367           | 6.606811             | 2.54E-06 | 0.008414 | 5'-TAAGGCTGTGTCTCTGTT-3'     | 5'-TCCCTCTGTTCATACCATCACT-3' |
| <i>lnc-CCL18-1:1</i>         | -2.190396           | 5.522936             | 5.69E-06 | 0.015064 | 5'-AGAAAGTCATACCCCAACCCAA-3' | 5'-CGAATCAGTTAGCAAGAGGCA-3'  |
| <i>lnc-NET01-1:9</i>         | 3.351077            | 3.585717             | 1.56E-05 | 0.022272 | 5'-TCTGCCCCCACATCAITTTCT-3'  | 5'-TCCAGTGTAGGGCTTGAACT-3'   |
| <i>lnc-NET01-1:3</i>         | 3.364047            | 3.615927             | 1.63E-05 | 0.022272 | 5'-TCTGCCCCCACATCAITTTCT-3'  | 5'-TCCAGTGTAGGGCTTGAACT-3'   |
| <i>lnc-NET01-1:2</i>         | 3.362404            | 3.616193             | 1.64E-05 | 0.022272 | 5'-TCTGCCCCCACATCAITTTCT-3'  | 5'-TCCAGTGTAGGGCTTGAACT-3'   |
| <i>lnc-NET01-1:4</i>         | 3.347929            | 3.625721             | 1.68E-05 | 0.022272 | 5'-TCTGCCCCCACATCAITTTCT-3'  | 5'-TCCAGTGTAGGGCTTGAACT-3'   |
| <i>lnc-NET01-1:6</i>         | 3.34735             | 3.624247             | 1.68E-05 | 0.022272 | 5'-TCTGCCCCCACATCAITTTCT-3'  | 5'-TCCAGTGTAGGGCTTGAACT-3'   |
| <i>lnc-PSD4-1:14</i>         | 1.669653            | 1.020086             | 2.74E-05 | 0.032967 | 5'-GCTGATGGCAAGGGATAGCA-3'   | 5'-CTGGCTTCCTTCAACCCAAA-3'   |
| <i>lnc-SPANXA2-2:1</i>       | 2.367287            | 2.927082             | 3.36E-05 | 0.037051 | 5'-ACCAACTCTCCTGATTTCCTCA-3' | 5'-CTGGGGCTGTCCTGTTTTTA-3'   |
| <i>lnc-AC002472.13.1-1:1</i> | -1.862271           | 1.547773             | 4.26E-05 | 0.041177 | 5'-CAGGATGGAGTGGAGCCTTC-3'   | 5'-TCTGGTAGAAAAGGGATGGGT-3'  |
| <i>lnc-ERC1-1:2</i>          | -3.066857           | 4.256589             | 4.98E-05 | 0.041177 | 5'-TAGCAAGAGAGCGAAGTCCC-3'   | 5'-GTGTTTGGAGAGGAAGGGT-3'    |
| <i>lnc-FBXL14-1:1</i>        | -3.066857           | 4.256589             | 4.98E-05 | 0.041177 | 5'-GTGTTTGGAGAGGAAGGGT-3'    | 5'-TAGCAAGAGAGCGAAGTCCC-3'   |
| <i>lnc-ERC1-1:3</i>          | -3.066857           | 4.256589             | 4.98E-05 | 0.041177 | 5'-TAGCAAGAGAGCGAAGTCCC-3'   | 5'-GTGTTTGGAGAGGAAGGGT-3'    |
| <i>lnc-KTN1-AS1-1:6</i>      | -2.463648           | 1.922281             | 5.81E-05 | 0.042703 | 5'-GCTCCAGGCTAAGGTAATGAGA-3' | 5'-CTGTGGCTCTATTCCCCATCT-3'  |

lnc, long non-coding; FC, fold-change; CPM, counts per million; FDR, false discovery rate.



known to regulate transcription factors associated with regulation of oncogenes, tumor suppressor proteins, self-renewal and differentiation (8,9). Recent studies have demonstrated that dysregulated lncRNA expression could mark the progression of a disease (18). lncRNAs may also serve as an indicator of patient survival independent of other variables (19). In addition, lncRNAs have previously been implicated in HNSCC and other types of epithelial cancer (20). Therefore, lncRNAs may aid the understanding of the molecular basis of HNSCC, thus enabling advances in early detection and identification of novel therapeutic targets aimed to improve patient prognosis.

**Determination of ethanol concentrations.** MTT assays were performed in the present study to determine the ethanol concentrations required for cell treatments and to evaluate cell proliferation prior and subsequent to treatment. Concentrations of 0, 20, 50 and 170  $\mu$ M ethanol were selected for the experimental assays (Fig. 1). However in cell culture, 170  $\mu$ M ethanol exhibited high toxicity against normal oral keratinocytes, and therefore was not used in subsequent experiments.

**Identification of alcohol-dysregulated lncRNAs from HNSCC patient samples.** The expression patterns of 32,108 lncRNAs were examined in the present study, 13,338 of which were detected within the present cohort of 34 HNSCC patients. Of those 13,338 lncRNAs, 11 were differentially expressed between alcohol drinkers and non-alcohol drinkers, with several lncRNAs represented by multiple isoforms (Table II). Of the identified lncRNAs, 4 were upregulated and 7 were downregulated, with fold-changes ranging from  $\geq 3.5$  to 18.5 and false discovery rates  $< 5\%$ . The small panel of lncRNAs differentially expressed between alcohol drinkers and non-alcohol drinkers suggests that the mechanism by which alcohol contributes to the pathogenesis of HNSCC involves certain lncRNAs.

**In vitro validation of lncRNAs differentially expressed in clinical samples.** The lncRNAs identified by RNA-seq analysis in the present study were evaluated *in vitro* by measuring their relative expression levels in two normal keratinocyte cell lines, which were exposed to ethanol concentrations of 0, 20, 50 and 170  $\mu$ M (Fig. 3) and acetaldehyde concentrations of 0, 75, 150, 300 and 1,000  $\mu$ M (Fig. 4), via RT-qPCR. Of the 11 lncRNAs identified in the RNA-seq analysis, two were verified *in vitro*: *lnc-PSD4-1* (including the isoform, *lnc-PSD4-1:14*) and *lnc-NETO1-1*, whose expression levels were increased in the treated samples, compared with the non-treated controls. These results suggest that the above lncRNAs may be important in the pathogenesis and progression of alcohol-associated HNSCC.

For the ethanol-treated OKF4 cell line, both *PSD4-1* and *NETO1-1* exhibited negligible changes in their expression levels when 20  $\mu$ M ethanol was used, while a 2-fold increase in their expression levels was observed in 50  $\mu$ M ethanol-treated cells. For OKF6 cells, 20  $\mu$ M ethanol exposure resulted in a 5-fold increase in the expression levels of *PSD4-1* and *NETO1-1*, while 50  $\mu$ M ethanol exposure resulted in a 2-fold increase in their expression levels, compared with the control. The higher expression levels observed in the 20  $\mu$ M ethanol-treated cells vs. the 50  $\mu$ M ethanol-treated cells may

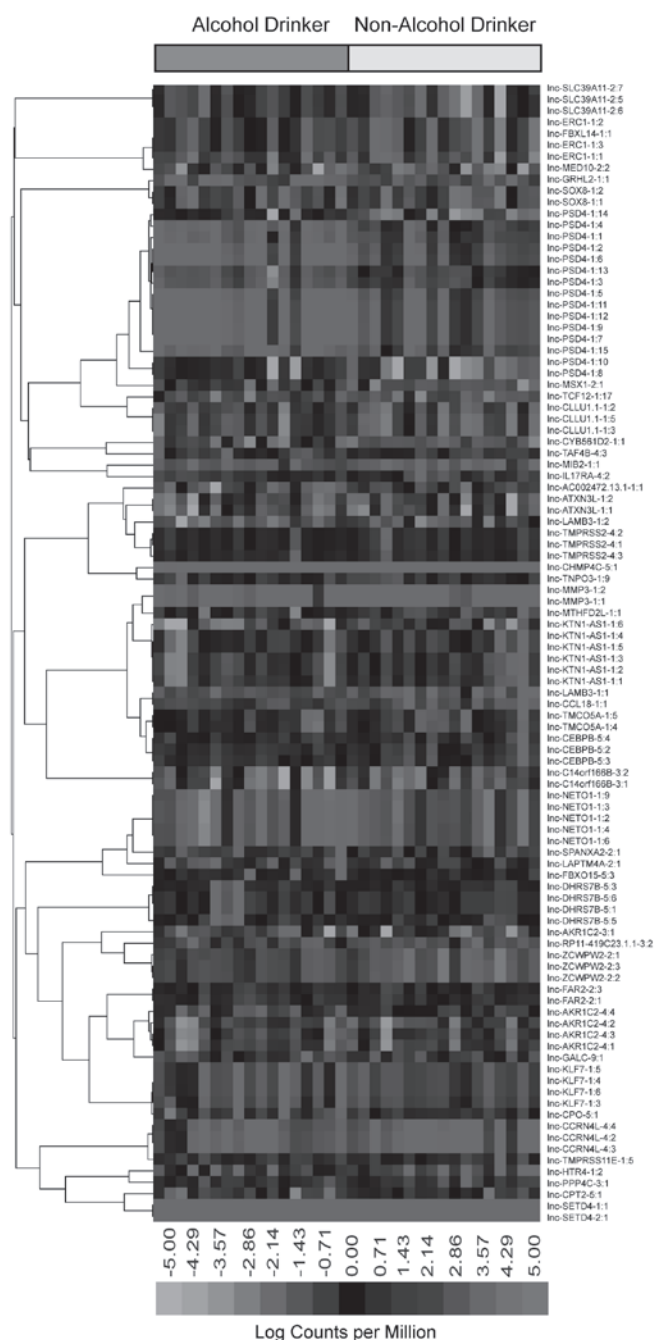


Figure 2. Heatmap of alcohol-dysregulated lncRNAs. Heatmap depicting normalized lncRNA expression levels (in the form of counts per million) across the alcohol drinker and non-alcohol drinker cohorts in 34 patients with head and neck squamous cell carcinoma. The top 100 differentially expressed lncRNAs are presented, including those whose false discovery rate was not  $< 0.05$ . lnc, long non-coding.

be due to the 50  $\mu$ M ethanol concentration being too toxic for OKF6 cells, in contrast to the OKF4 cell line, where 50  $\mu$ M ethanol demonstrated the largest effect.

While the ethanol treatment used in the present study mimics the physiological levels of alcohol in the body, ethanol is less carcinogenic than its metabolic derivative acetaldehyde (3). Therefore, acetaldehyde treatment may be a more accurate *in vitro* model of the role of alcohol in HNSCC than ethanol treatment. In general, in acetaldehyde-treated samples, both *PSD4-1* and *NETO1-1* displayed higher expression levels,

Table III. Cox proportional hazards regression model for the lncRNA *PSD4-1:14*. Survival information, including HR and P-value, for *lnc-PSD4-1:14* in both univariate and multivariate models demonstrates a strong correlation between low expression of *PSD4-1:14* and improved overall survival.

| Low expression       | Univariate HR<br>(95% CI)       | P-value  | Multivariate HR<br>(95% CI)     | P-value  |
|----------------------|---------------------------------|----------|---------------------------------|----------|
| <i>lnc-PSD4-1:14</i> | 0.267150<br>(0.072234-0.988021) | 0.047926 | 0.236208<br>(0.062212-0.896836) | 0.034013 |

lnc, long non-coding; HR, hazard ratio; CI, confidence interval.

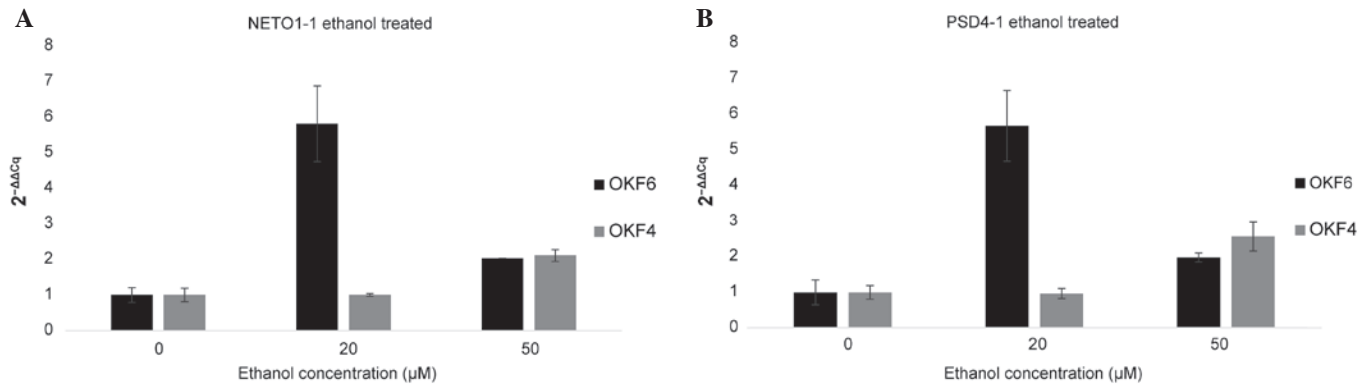


Figure 3. Validation of alcohol-dysregulated lncRNAs identified *in vitro* with ethanol-treated cell lines. Reverse transcription-quantitative polymerase chain reaction analysis of ethanol-treated OKF4 and OKF6 cell lines demonstrated that (A) *lnc-NETO1-1* and (B) *lnc-PSD4-1* are dysregulated by alcohol. Error bars represent the standard deviation, as calculated by the  $2^{-\Delta\Delta Cq}$  method. lnc, long non-coding.

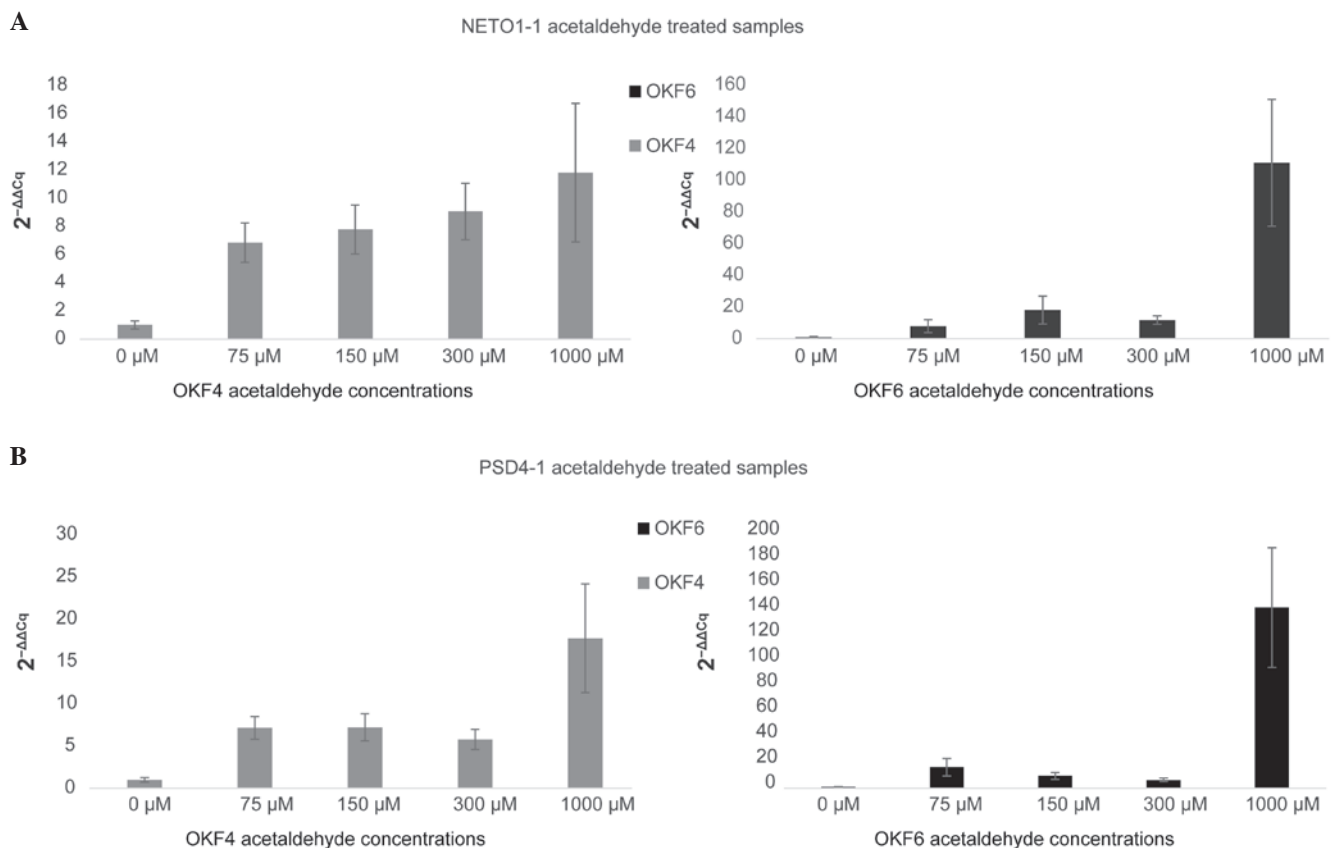


Figure 4. Validation of alcohol-dysregulated lncRNAs *in vitro* in acetaldehyde-treated cell lines. Reverse transcription-quantitative polymerase chain reaction analysis of acetaldehyde-treated OKF4 and OKF6 cell lines demonstrated that (A) *lnc-NETO1-1* and (B) *lnc-PSD4-1* are dysregulated by acetaldehyde. Error bars represent the standard deviation, as calculated by the  $2^{-\Delta\Delta Cq}$  method. lnc, long non-coding.

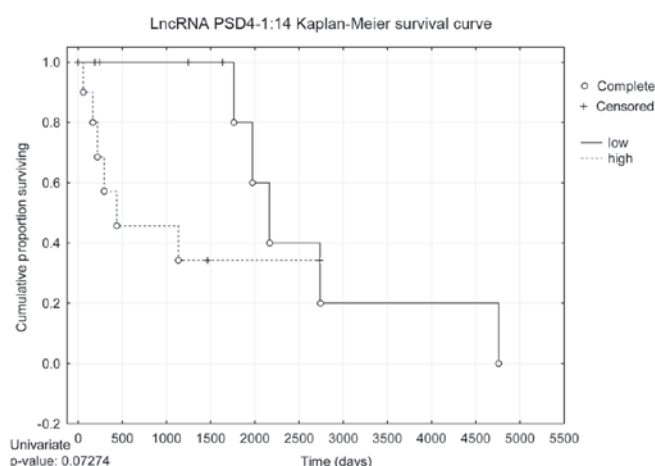


Figure 5. Kaplan-Meier survival curve for *lnc-PSD4-1:14*. Kaplan-Meier survival graph depicted a correlation between low expression levels of *lnc-PSD4-1:14* and high survival in patients with head and neck squamous cell carcinoma. lnc, long non-coding.

correlating with higher concentrations of acetaldehyde. In OKF4 cells treated with 1,000  $\mu$ M acetaldehyde, *NETO1-1* and *PSD4-1* demonstrated a 12-fold and 16-fold increase in expression, respectively, compared with the control. In OKF6 cells treated with the same concentration of acetaldehyde, both *PSD4-1* and *NETO1-1* demonstrated a >100-fold increase in expression. In the present study, 1,000  $\mu$ M was selected as the upper range of acetaldehyde concentration, since the treatment of the OKF4 and OKF6 cell lines was limited to only 48 h due to the acetaldehyde's volatility. This concentration is considered to be more representative of the actual exposure to alcohol experienced by patients with a long history of alcohol use.

**Survival data.** In addition to the aforementioned *in vitro* tests, long-term survival analysis correlating *NETO1-1* and *PSD4-1:14* expression levels and patient outcomes was conducted. *NETO1-1* did not exhibit any significant correlation with patient survival. By contrast, low expression levels of *PSD4-1:14* were highly correlated with overall better patient survival in both univariate (HR, 0.267150;  $P=0.047926$ ) and multivariate (HR, 0.236208;  $P=0.034013$ ) Cox proportional hazards regression models (Table III). The univariate Kaplan-Meier survival curve in Fig. 5 demonstrates that low expression levels of *PSD4-1:14* correlate with better patient survival. Although the Kaplan-Meier survival curve is not statistically significant, it approached  $\sim P=0.05$ , and would likely be statistically significant in a larger sample size.

In summary, the present findings have demonstrated an association between alcohol-associated HNSCC and increased expression of the lncRNAs *NETO1-1* and *PSD4-1:14*. The increased expression of *NETO1-1* and *PSD4-1:14* in both HNSCC patient clinical samples and *in vitro* models of alcohol usage suggest that these lncRNAs may act as activators of oncogenes. Previous studies have demonstrated that lncRNAs in an antisense orientation are able to control the transcription of mRNAs and oncogenes (21-23). *PSD4-1:14* overlaps in an antisense orientation with *paired box 8 (PAX8)*, which belongs to the *PAX* gene

family, which plays a critical role in the formation of tissues and organs during embryonic development (24). *PAX8* specifically is considered to activate genes involved in the formation of the thyroid gland and kidney (25,26). *PAX8* has been previously characterized as a potential oncogene whose expression has been positively correlated with various types of epithelial and ovarian cancer (27-29). In addition, its overexpression has been associated with high levels of p53 (30). In those previous studies, *PAX8* was identified as a biomarker that could be used to differentiate between different types of epithelial tumors (31). It is possible that *PSD4-1:14* acts as a cis-regulator of *PAX8*, resulting in increased transcription of *PAX8*, although this may not be the case, and the position of *PAX8* in the genome may not be associated with its interacting genes. To the best of our knowledge, *PAX8* has not been extensively studied in HNSCC; however, its role in other types of epithelial cancer suggests that it is candidate oncogene involved in the pathogenesis and progression of HNSCC. The exact molecular nature of the association between *PSD4-1:14* and *PAX8* has not been addressed in the present study, and further characterization of this association is a potential avenue of future research.

The cause of alcohol-associated HNSCC has not been previously characterized. Based on the results of the present study, it could be proposed that *NETO1-1* and *PSD4-1* may be partially responsible for the pathogenesis of alcohol-associated HNSCC, which highlights the importance of lncRNAs in the molecular mechanisms underlying the pathogenesis of HNSCC. While further studies are required to understand the exact mechanisms by which these lncRNAs function, *PSD4-1* and *NETO1-1* may be considered promising potential biomarkers and therapeutic targets of HNSCC. Further studies on these lncRNAs could potentially lead to innovations in the prevention and treatment of alcohol-induced HNSCC.

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