# Impacts of survivin and caspase-3 on apoptosis and angiogenesis in oral cancer

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Abstract. The present study aimed to investigate the impact of survivin and caspase-3 on apoptosis and angiogenesis in oral cancer. A total of 16 oral leukoplakia cases accompanied by low-moderate epithelial dysplasia, 12 cases of oral leukoplakia accompanied by severe epithelial dysplasia, 17 cases of high-moderate differentiated oral squamous cell carcinoma and 10 cases of normal oral mucosa were selected. Immunohistochemistry was used to detect the expression levels of survivin, caspase-3, and caspase inhibitor factor VIII in lesions from each group. Terminal deoxynucleotidyl transferase 2'-deoxyuridine, 5'-triphosphate nick end labeling was performed to detect the apoptotic index of oral leukoplakia and cancer tissue. Immunohistochemistry revealed increased expression levels of survivin in oral cancer tissues, as compared with the normal mucosa, whereas the expression of Caspase-3 was decreased during malignant transformation. Microvascular density (MVD) was increased from 28.49±11.87 strips/mm<sup>2</sup> (mean ± standard deviation, normal control group) to 91.98±40.20 strips/mm<sup>2</sup> (oral cancer group). Therefore, survivin may serve an important role in oral cancer, as its expression was increased in association with a downward trend in caspase-3 expression and apoptotic index, whereas MVD was significantly increased.

### Introduction

An imbalance between cell proliferation and apoptosis is important during the occurrence and development of oral cancer. This dynamic balance is essential to maintaining homeostasis, which ensures the balance and stability of the human body at the cellular level. Previous studies have observed that the reduction of apoptosis also serves a key role in oral

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cancer incidence and development. A previous study demonstrated that pro-apoptotic factors, including tumor protein 53 and Fas, as well as anti-apoptotic factors, including the B-cell lymphoma-2 family and inhibitors of apoptosis protein family (IAPs), serve crucial roles in the pathogenesis of oral cancer (1). Of the IAPs, survivin is the protein with the highest apoptosis inhibitory ability, and also regulates the cell cycle (2). Previous studies compared the expression profile of survivin in normal oral mucosa, oral precancerous lesions and oral cancer tissue, revealing that survivin was not expressed in the normal oral mucosa but was expressed in the early and precancerous stages of oral cancer (3-5). The positive expression rate of survivin in epithelial paraplastic tissues was ~97 and ~98% in oral cancer tissue (3-5), and its expression in distinct splice variants was also altered during tumorigenesis (6). This indicates that survivin not only serves an important role in the occurrence of oral cancer, but that the increase in its expression levels are an early event in the development of this type of cancer. A previous study also observed that survivin was associated with angiogenesis, as it was not able not be detected in quiescent endothelial cells, but was strongly expressed in angiogenic factor-stimulated endothelial cells (7). In addition, the application of antisense survivin technology during angiogenesis resulted in the instant abrogation of the cytoprotective effects of vascular endothelial growth factor (VEGF), inhibition of blood vessel growth and apoptosis of endothelial cells (7). In the present study, immunohistochemistry and cell apoptosis detection were used to investigate the roles of survivin and caspase-3 (its downstream target in the apoptosis signaling pathway) in the incidence and development of oral cancer, and to explore the association between neovascularization and survivin expression during this process.

#### Materials and methods

Case selection. A total of 45 paraffin-embedded tissue specimens were obtained from the Department of Pathology, Beijing Stomatological Hospital (Beijing, China) from September 2005 to August 2007 were selected, including: 16 cases of oral leukoplakia accompanied by low-moderate epithelial dysplasia (OL-LMED) (age, 61.8±15.0 years old; 9 males and 7 females), 12 cases of oral leukoplakia accompanied by severe epithelial dysplasia (OL-SED) (age, 63.6±16.8 years

old; 5 males and 7 females) and 17 cases of high-moderate differentiated oral squamous cell carcinoma (OSCC) (age, 62.4±14.0 years old; 9 males and 8 females). The patients did not receive any treatments prior to enrolment in the present study. All tissue specimens were diagnosed by two experienced pathologists (Department of Pathology, Oral Medicine, Beijing Stomatological Hospital) according to the histologic classification criteria of oral mucosa cancer and precancerous lesions issued by the World Health Organization in 1996 (8). A further 10 normal oral mucosa tissue specimens were selected as controls. This study was conducted in accordance with the Declaration of Helsinki and with approval from the Ethics Committee of Capital Medical University (Beijing, China). Written informed consent was obtained from all participants.

Immunohistochemical streptavidin peroxidase assay. A 3,3'-diaminobenzidine chromogenic reagent kit (Fuzhou Maixin Biotech Co. Ltd., Fuzhou, China) was used to detect the expression of survivin (mouse anti-human survivin monoclonal antibody; dilution 1:400; catalogue number TA301427; OriGene Technologies, Inc., Rockville, MD, USA), caspase-3 (mouse anti-human caspase-3 monoclonal antibody; dilution 1:400; catalogue number 610322; BD Biosciences, Franklin Lakes, NJ, USA) and factor VIII (rabbit anti-human von Willebrand factor polyclonal antibody; dilution 1:400; catalogue number MBS1489331; MyBioSource, CA, USA) in the tissue samples. The incubation was performed at 4°C overnight. Goat anti-mouse IgG (dilution 1:500; catalogue number L3031; Signalway Antibody LLC, MA, USA) and goat anti-rabbit IgG (dilution 1:500; catalogue number L3041; Signalway Antibody) were used as the secondary antibodies. The incubation was performed at 37°C for 10 min. Other experimental procedures were performed according to the manufacturer's protocols.

Terminal deoxynucleotidyl transferase 2'-deoxyuridine, 5'-triphosphate nick end labeling (TUNEL) assay. TUNEL was performed to detect apoptosis using the apoptosis detection kits (Wuhan Boster Biological Technology, Ltd., Wuhan, China), according to manufacturer's protocols. Double-distilled water was used to replace terminal deoxynucleotidyl transferase staining (negative control). The standard positive slice included in the kit was used as the positive control (Wuhan Boster Biological Technology, Ltd.). The reaction was terminated when the positive control achieved coloration, followed by observation with a light microscope and the quantification of TUNEL-positive cells.

Detection of survivin and caspase-3. Positive staining of survivin was primarily localized to the cytoplasm and nucleus, exhibiting uniform yellow-brown granules. Positive staining of caspase-3 was principally localized to the cytoplasm, or simultaneously expressed in the cytoplasm and the nucleus, also exhibiting uniform, yellow-brown granules. Five high power fields were counted (magnification, 400x), with a total cell count >1,000 in order to quantify the number of positive cells. According to the degree of staining, positive signals were subdivided into three categories, as follows: weakly positive (pale yellow, or individual cells were stained yellow-brown), moderately positive (intermediate staining) and intensely positive (dark brown staining.

Microvessel counting. Microvessel counting was performed using the Weidner method (9). Using this technique, the endothelial cells (identified by the positive staining of factor VIII) or cell clusters that exhibited clear boundaries between adjacent microvessels and cancerous cells in tumor tissues, and stained brown or tan were considered as the nascent tumor blood vessel. The appearance of red blood cells or lumen was not included in the judgment criteria, and for blood vessels with a thick muscular layer and a lumen diameter >8, red blood cells were not counted. For each lesion, ≥3 fields were randomly selected (magnification, 200x) to determine the number of blood vessels, and image analysis software (ImagePro Plus version 6; Media Cybernetics Inc., Rockville, MD, USA) was used to calculate the number of microvessels (strips/mm<sup>2</sup>) per unit area. The mean value of three fields of vision was used as the final microvascular density (MVD) for each patient tissue sample.

Detection of apoptosis. A cell with brown granules in the nucleus was considered to be positive and, therefore, an apoptotic cell. For each tissue section, the five most positively stained high-power fields (magnification, 400x) were randomly selected to count >500 cells and calculate the apoptotic index (AI), which was defined as the numbers of apoptotic cells per 100 cells.

Statistical analysis. Data were presented as the mean ± standard deviation. Comparisons of survivin expression and caspase-3 expression, and the associations between AI and tissue type were analyzed using the non-parametric Kruskal-Wallis test. Fisher's least significant difference method was used for intergroup comparison. The immunohistochemical staining of factor VIII was analyzed using SPSS statistical software version 11.5 for Windows (SPSS, Inc., Chicago, IL, USA). Analysis of variance was used to analyze normally distributed data, whilst non-normal distributions were analyzed using the rank sum test. Intergroup paired comparison was then performed to examine any statistically significant differences. P<0.05 was considered to indicate a statistically significant difference.

#### Results

Immunohistochemical assay. Survivin was primarily expressed in the cytoplasm, nucleus and membrane of the epithelial cells, as well as in the cytoplasm of inflammatory stromal cells. The majority of normal tissues exhibited no significant survivin expression; however, in accordance with the progression from epithelial dysplasia to oral cancer the expression levels of survivin gradually increased (Fig. 1), and the expression levels in the tumor edge were greater, as compared with those in the tumor center. Staining of survivin exhibited heterogeneity, and the staining intensity and staining area varied between tissues and regions. The expression of survivin in the OSCC group was significantly increased compared with the OL-LMED, OL-SED and normal control group (P<0.05; Table I).

Caspase-3 positive staining was observed as brown particles, primarily either in the cytoplasm of epithelial cells or in the nucleus, and rarely on the nuclear membrane. The normal

Table I. Expression of survivin in various groups (mean ± standard deviation).

Group	Cases, n	Average proportion of positive cells, %
Normal control	10	1.05±1.21 <sup>a</sup>
LMED	16	$6.06\pm4.87^{a}$
SED	12	12.49±8.41 <sup>a</sup>
OSCC	17	21.89±10.45

<sup>a</sup>P<0.05 compared with the OSCC group. LMED, low-moderate epithelial dysplasia; SED, severe epithelial dysplasia; OSCC, oral squamous cell carcinoma.

control group exhibited positive caspase-3 expression (10/10), with the majority exhibiting intense positive staining (Fig. 2). Corresponding to the progression from epithelial dysplasia to oral cancer, the expression levels of caspase-3 were gradually decreased. Immunostaining exhibited heterogeneity and the staining intensity and staining area changed in various tissues and regions. The expression of caspase-3 in the normal control group, OL-LMED group and OL-SED group were significantly increased compared with in the OSCC group (P<0.05; Table II).

MVD in the normal control group, OL-LMED group, OL-SED group and OSCC group was 28.49±11.87, 47.92±25.58, 49.73±23.76 and 91.98±40.20 strips/mm², respectively. A gradual increase in MVD was observed from the normal control group to the OL-LMED group, then the OL-SED group, with the highest MVD in the OSCC group (Table III). Comparisons between the normal control group and OSCC group, between the OL-LMED group and OSCC group, and between the OL-SED group and OSCC group all exhibited statistically significant differences (P<0.05; Fig. 3).

AI. Apoptotic cells were stained brownish yellow using TUNEL and exhibited pyknosis. Cells were round and oval, and a number were plate-shaped or crescent-shaped. The majority of apoptotic cells were scattered and had no surrounding inflammation. The AI of the normal control group, OL-LMED group, OL-SED group and OSCC group was 0.89±0.46, 1.29±0.63, 0.65±0.40 and 0.21±0.12, respectively (mean ± standard deviation; Fig. 4). The AI was significantly decreased in the OSCC group, with apoptotic cells predominantly distributed around the tumor core and rarely observed in the tumor frontier. AI in normal control group, OL-LMED group and OL-SED group were significantly higher compared with in the OSCC group (P<0.05; Table IV).

## Discussion

The occurrence and development of oral cancer is a multi-stage process regulated by numerous genes, and includes the accumulation of mutations and imbalances in accumulation and cell cycle regulation (10). These changes may be able to be detected in the precancerous lesion stage, potentially aiding early prediction and prevention of oral cancer.

Table II. Expressions of caspase-3 in various groups (mean ± standard deviation).

Cases, n	Average proportion of positive cells, %
10	12.37±5.48 <sup>a</sup>
16	19.51±13.15 <sup>a</sup>
12	9.76±7.83 <sup>a</sup>
17	6.08±6.91
	10 16

<sup>a</sup>P<0.05 compared with the OSCC group. LMED, low-moderate epithelial dysplasia; SED, severe epithelial dysplasia; OSCC, oral squamous cell carcinoma.

Table III. MVD in different groups (mean ± standard deviation).

Cases, n	MVD, strips/mm <sup>2</sup>
10	28.49±11.87 <sup>a</sup>
16	47.92±25.58°
12	49.73±23.76 <sup>a</sup>
17	94.97±40.32
	10 16 12

<sup>a</sup>P<0.05 compared with the OSCC group. MVD, microvascular density; LMED, low-moderate epithelial dysplasia; SED, severe epithelial dysplasia; OSCC, oral squamous cell carcinoma.

Table IV. AI in various groups (mean ± standard deviation)

Group	Cases, n	AI, %
Normal control	10	0.89±0.46ª
LMED	16	$1.29\pm0.63^{a,b}$
SED	12	$0.65\pm0.40^{a}$
OSCC	17	0.21±0.12

<sup>a</sup>P<0.05 compared with the OSCC group, <sup>b</sup>P<0.05 compared with the SED group. AI, apoptotic index; LMED, low-moderate epithelial dysplasia; SED, severe epithelial dysplasia; OSCC, oral squamous cell carcinoma.

Survivin is considered to be the most potent apoptosis inhibitor ever discovered. A previous study demonstrated that no, or low, expression of survivin is able to be observed in normal tissues, whereas this protein it is specifically and highly expressed in tumor tissues, and this expression is tumor cell-dependent (11). In the present study, survivin was also specifically expressed in tumor cells of the oral mucosa. Previous studies used immunohistochemical assays to detect the expression of survivin in oral mucosae, and observed that its expression in precancerous lesions that had not yet progressed towards malignancy and had progressed to complete squamous cancer were 33% (10/30) and 94% (15/16), respectively (12,13).

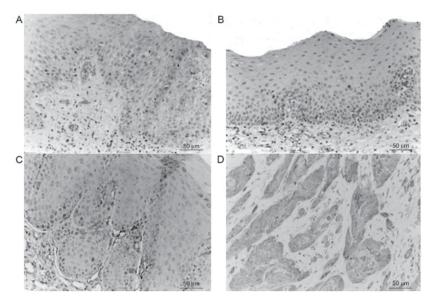


Figure 1. Expressions of Survivin in various groups. (A) Normal oral mucosa tissue; (B) oral leukoplakia accompanied by low-moderate epithelial dysplasia; (C) oral leukoplakia accompanied by severe epithelial dysplasia; (D) high-moderate differentiated oral squamous cell carcinoma.

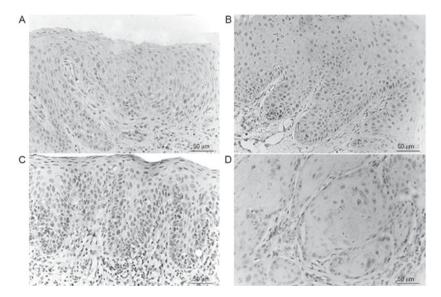


Figure 2. Expressions of Caspase-3 in various groups. (A) Normal oral mucosa tissue; (B) oral leukoplakia accompanied by low-moderate epithelial dysplasia; (C) oral leukoplakia accompanied by severe epithelial dysplasia; (D) high-moderate differentiated oral squamous cell carcinoma.

Previous studies revealed that survivin gene expression was also present in various precancerous damaged tissues, including oral leukoplakia, colon polyps, mastitis or keratotic dermatitis (14,15). The present study obtained similar results, as the expression levels of survivin were gradually increased during the progression from abnormal cell proliferation to malignant transformation.

Caspase-3 is expressed in normal tissues and its expression level in gastric cancer is significantly reduced, which is negatively correlated with survivin expression level (16). Previous studies detected survivin and caspase-3 mRNA expression in tongue cancer, demonstrating that the overexpressed survivin mRNA inhibited the expression of caspase-3 mRNA (17,18). The expression of the two were negatively correlated and associated with the occurrence and progression of tumors (17,18). The results of the present study were

concordant with these findings, in that as survivin expression levels increased, the expression levels of caspase-3 gradually decreased.

Survivin was specifically highly expressed during tumorigenesis, particularly in early-stage cancer, and its expression was regulated by a variety of factors (19,20). Therefore, it is possible that during the formation of oral cancer, a variety of oncogene signaling pathways may induce increased expression levels of survivin in tumor tissues.

Furthermore, the AI in the OL-LMED group was increased from that observed in normal oral tissues, which may occur as part of the tissue stress response towards the lesions. In oral precancerous lesions, the cells exhibited rapid growth, while apoptosis was increased in order to balance the resulting proliferation-apoptosis disorder. Furthermore, during the carcinogenesis of the oral mucosa the underlying mechanisms

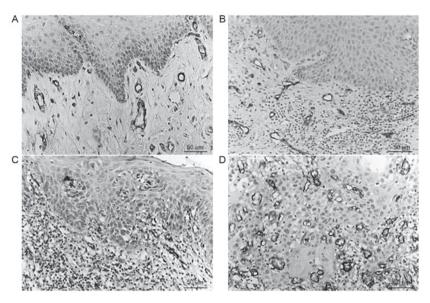


Figure 3. Expressions of VIII factor in various groups. (A) Normal oral mucosa tissue; (B) oral leukoplakia accompanied by low-moderate epithelial dysplasia; (C) oral leukoplakia accompanied by severe epithelial dysplasia; (D) high-moderate differentiated oral squamous cell carcinoma.

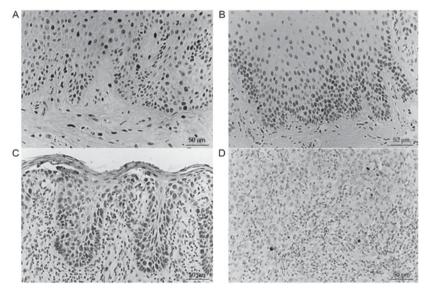


Figure 4. Expressions of apoptotic cells in various groups. (A) Normal oral mucosa tissue; (B) oral leukoplakia accompanied by low-moderate epithelial dysplasia; (C) oral leukoplakia accompanied by severe epithelial dysplasia; (D) high-moderate differentiated oral squamous cell carcinoma.

of apoptosis, including p53 mutations and the downregulation of caspase-3, were inhibited. The inhibition of apoptosis simultaneously promoted the occurrence of severe epithelial dysplasia and canceration, concordant with the results of previous studies (21,22).

Of the angiogenesis-promoting factors, VEGF is highly expressed in the majority of human and animal tumors. VEGF may significantly increase the expression levels of survivin, which may then inhibit the caspase-mediated apoptosis signaling pathway, thus protecting the nascent immature tumor vascular endothelial cells, allowing them to evade apoptosis and subsequently produce more VEGF (23,24). It was hypothesized that highly expressed survivin may promote uncontrolled cell cycle progression, bypassing the G<sub>2</sub>/M DNA damage checkpoint. Therefore, the cells may lose the restriction of the apoptosis switch during normal cell proliferation, inducing

malignant transformation and a proliferation-apoptosis imbalance in cells. Survivin may also promote the proliferation of endothelial cells, and silencing survivin may be able to inhibit the expression of VEGF (25). The import of survivin-targeting antisense oligonucleotides into cultured endothelial cells may promote the apoptosis of these endothelial cells and inhibit angiogenesis (26,27). A previous study has demonstrated that basic fibroblast growth factor and survivin also produce synergistic effects, with positively associated expression patterns in lung cancer and OSCC (23). This may promote the proliferation of tumor and endothelial cells, and enhance angiogenesis (28).

Through analyzing the expression profile of survivin and MVD in oral leukoplakia and oral cancer tissues, similar conclusions may be obtained. These are namely that, corresponding with the increased expression levels of survivin in oral cancer tissues, MVD was also increased and exhibited a

marked increasing trend, indicating that survivin was involved in tumor angiogenesis, which may serve an important role in the occurrence and development of oral cancer.

In conclusion, the present study demonstrated that survivin and caspase-3 served important roles in the occurrence and development of oral cancer and, therefore, may be of potential use in monitoring the progression of oral cancer. As survivin was differentially expressed in tumor tissues and normal tissues, highly expressed in tumor cells and the vascular endothelium and angiogenesis was critically dependent on the viability of the endothelial cells, any survivin-targeting treatment may be able to promote the apoptosis and degeneration of the vessels, and indirectly inhibit tumor growth. Therefore, survivin has the potential to become a novel therapeutic target for certain types of cancer. Further in-depth understanding regarding the structures, properties and physiological effects of survivin and caspase-3 may facilitate the full elucidation of their roles in apoptosis, therefore providing more options for the prevention of cancer, and for the development of novel agents to target tumors and numerous other chronic diseases. It is speculated that this approach may provide new treatments, with reduced adverse effects on normal tissues, whilst effectively inhibiting the proliferation of tumor cells and tumor growth.

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