Assessment of anti-reflux treatment on pulmonary ventilation function and inflammatory cytokines in patients with stable chronic obstructive pulmonary disease combined with gastroesophageal reflux

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Abstract. Compelling evidence has demonstrated that systemic inflammation among patients with stable chronic obstructive pulmonary disease (COPD) is linked with increased levels of inflammatory cytokines. The aim of the present study was to investigate the effects associated with anti-reflux treatment on pulmonary ventilation function and inflammatory cytokines in patients with stable COPD and gastroesophageal reflux (GER). One hundred and thirty-six stable COPD and GER patients were recruited for the study and randomly designated into the routine treatment and the anti-GER groups. Six months prior to and after treatment, pulmonary ventilation function, 6-min walk distance (6MWD) and times of acute exacerbation of COPD (AECOPD) were recorded. The levels of inflammatory cytokines IL-13, IL-18, transforming growth factor-β1 (TGF-β1) and tumor necrosis factor-α (TNF-α) in the sputum were detected by ELISA. BODE indexes, including body mass index, obstruction, dyspnea and exercise, were analyzed in order to evaluate patient prognoses. In comparison with the routine treatment group, patients in the anti-GER group displayed improved pulmonary ventilation function, increased 6MWD as well as decreases in AECOPD, levels of IL-13, IL-18, TGF-β1 and TNF-α in the sputum and BODE index 6 months after treatment. The results obtained suggested a correlation between the BODE indexes after treatment and the course of disease as well as the frequency of exacerbation. The key findings of the study suggested that conventional treatment combined with anti-reflux treatment could effectively improve pulmonary ventilation function, while acting to decrease the levels of inflammatory cytokines and improve the prognosis of patients with stable COPD along with GER.

Introduction

Chronic obstructive pulmonary disease (COPD) represents a frequently occurring chronic respiratory condition, with its primary pathological manifestation exhibited characterized by expiratory airflow limitation (1). Clinical statistical data has indicated that COPD ranks fourth worldwide in regard to its mortality rate and fifth with in relation to the future burden of disease (by 2020) (2). COPD generally observed amongst older individuals aged ≥75 years. Furthermore, the exacerbation of COPD remains a large risk factor resulting in hospitalization and increasing mortality rates (3). It is widely accepted in concert with literature, that COPD is caused by chronic airway inflammation with a strong correlation to tobacco smoking as well as inhalation of foreign particles (4). COPD awareness is growing, educating people about airway inflammation as the foremost cause of COPD, with the markers of systemic inflammation being entirely responsible for the deaths amongst COPD patients, including that of tumor necrosis factor (TNF)-α, interleukin 6 (IL-6) and IL-8 (5). COPD patients commonly experience both physiological and pathological changes, including increases in respiratory center drive, gastric and negative intrathoracic pressure, as well as abnormally high levels of pulmonary inflammation (6). Interestingly, recent years have brought with them several symptoms of gastroesophageal reflux (GER) in combination in patients suffering from chronic respiratory diseases (7).

GER is one of the most common gastrointestinal-related outpatient diagnoses, associated with a series of symptoms including, chest pain, acid regurgitation, heartburn and food reflux (8). The gold standard in regard to the diagnosis of GERD, is comprised of a 24 h esophageal pH monitoring system which is applied in a variety of approaches (9). At present, the general GER treatment approach revolves around acid suppression, anti-reflux drug, maintenance therapy, and
antacids (10). Furthermore, anti-secretion represents the chief treatment tool for GER, comprised of H2 receptor antagonists (H2RA) and proton pump inhibitors drug (PPI) (11). Several previous studies have demonstrated the significant association shared between GER and the exacerbation of COPD (11,12), indicating that GER as a potential complication of COPD. However, insignificant research has been performed investigating the impact of anti-reflux treatment for patients with stable COPD in combination with GER from a pulmonary ventilation function and inflammatory cytokine level perspective. Hence, the present study aimed to investigate the effects of the anti-reflux treatment on pulmonary ventilation function and inflammatory cytokines in patients with stable COPD and GER.

Materials and methods

Ethical statement. All participating subjects signed written informed consent documents. The present study was performed with the approval of the Ethical Committee of the People's Hospital of Guangxi Zhuang Autonomous Region.

Study subjects. Between July 2014 and November 2015, a total of 136 patients suffering from both COPD and GER (100 males and 36 females; mean age: 55.85±8.29 years; mean course of disease: 6.46±1.54 years) who had been admitted to the People's Hospital of Guangxi Zhuang Autonomous Region were recruited for the purposes of the study. The patients were randomly divided into either the routine treatment group (receiving conventional treatment) or the anti GER group (receiving conventional treatment and anti-reflux treatment). The routine treatment group comprised of 70 subjects (53 males and 17 females) with a mean age of 54.89±8.23 years, while the anti GER group comprised of 66 subjects (47 males and 19 females) with a mean age of 56.86±8.29 years. The subject inclusion criteria was as follows: patients diagnosed with stable COPD based on the Global Initiative for Chronic Obstructive Lung Disease (http://www.goldcopd.com); patients with positive GER, namely reflux esophagitis (RE); patients with Barrett esophagus; patients with typical symptoms such as heartburn or acid reflux (13,14); or patients with a DeMeester score >14.72 following a 24-h gastric esophageal pH monitoring (15). The exclusion criteria for subjects were as below: Patients of Chinese Han ethnicity; patients with stable or mild symptoms of cough, sputum and dyspnea; patients who had previously recovered from an acute exacerbation of COPD. The exclusion criteria for subjects were as follows: Minority and foreign patients; patients with other lung and heart diseases, including disease like lung tumor, asthma, congestive heart failure (CHF), bronchiectasis, tuberculosis; patients with upper and lower respiratory tract infections in the first 2 months before the date of study; patients with PPI treatment at 2 weeks prior to the visit; or patients with steroid hormone treatment 4 weeks prior to the visit.

Routine treatment. Patients in the routine treatment group received conventional treatments, including anti infection, eliminating phlegm and airway dilatation. Non-drug therapy: All patients were required to comply with an smoking cessation policy, take part in physical activity and pulmonary rehabilitation, and avoid personal exposure to occupational dust, smoke and air pollution. Drug therapy: Patients were treated with β2 receptor agonist salbutamol (Changzhou Yabang Pharmaceutical Co., Ltd., Changzhou, China), were asked to inhale corticosteroids (ICS) and fluticasone propionate (GlaxoSmithKline Company, London, UK); salbutamol administration was controlled and administered at a dosage of 0.1~0.2 mg 4~6 times a day; the dosage for fluticasone propionate was 500 μg 2 times a day.

Anti-reflux treatment. Patients in the anti GER group received additional anti-reflux treatment in conjunction with their conventional treatment programs. The primary regimen involved a combination of acid suppression and prokinetics. The patients were administrated oral antacid (PPI omeprazole; Harbin Pharmaceutical Pharmaceutical Group Sanjing Pharmaceutical Nuojie Co., Ltd., Harbin, China), at a dosage of 40 mg per day over a 6-month period, along with oral prokinetics mosapride (Shanghai Sine Pharmaceutical Co., Ltd., Shanghai, China), 5 mg per day. Detection of pulmonary ventilation function. All patients underwent a pulmonary ventilation function examination before and 6 months after treatment using a spirograph (Jaeger Company, Germany). Patients were placed in a seated position and were asked to inhale 400 μg of ventolin, 15 min after which they were examined between 3 and 5 times repeatedly. The optimal value obtained was used for analysis. The measured parameters included forced vital capacity (FVC), forced expiratory volume in one second (FEV1), the ratio of FVC in the normal reference value (FVC%) and the ratio of FEV1 in the normal reference value (FEV1%). The results were presented in the form of percentages in relation to the recorded values as well as reference values.

Enzyme-linked immunosorbent assay (ELISA). Sputum was collected 6 months before and after treatment, and shaken at 3,000 rpm for 15 min. The supernatant was retrieved for inflammatory cytokine detection. IL-13 and TGF-β1 ELISA kits were acquired from Shanghai Bio-Tech Co., Ltd. (Shanghai, China), while tumor necrosis factor α (TNF-α) and IL-18 ELISA kits were acquired from Shenzhen Juying Biotechnology Co., Ltd. (Shenzhen, China). EILSA application processes were conducted in accordance with the instructions, with the intra- and inter-assays maintained within a range of 10%. Finally, the absorbance value was read by a spectrophotometer (Thermo Fisher Scientific, Inc., Waltham, MA, USA) at the wavelength of 450 nm with a wavelength of 620 nm employed as the reference value.

Collection of bronchoalveolar lavage fluid and inflammatory cell detection. All patients were intramuscularly injected with 0.5 mg of atropine prior to bronchoscopy and bronchoalveolar lavage applications, 6 months before and after treatment. Local anesthesia of the airway was conducted using a 1% lidocaine solution. A total of 20 ml sterile normal saline was used to lave the third or fourth sub-segmental bronchus. The lavage fluid was removed as much as possible (the recovery was about 50%). Bronchoalveolar lavage was processed gently and carefully in order minimizes the pain experienced by
the patients. Sputum, blood and carbon foam samples were unqualified. The qualified lavage fluid was placed in an ice box and was quickly sent to laboratory for centrifugation. After centrifugation at 2,000 r/min for 15 min, the supernatant was removed and the subsided cells were kept for subsequent experiments. The subsided cells were re-suspended above 1 ml normal saline. Using a small amount of subsided cells, the total numbers of cells were then counted using a blood cell counting plate. Meanwhile, smear and Wright's staining methods were conducted using a small amount of cells. According to their morphological characteristics, 500 cells were counted respectively at high magnification as monocyte, lymphocyte, eosinophil and neutrophil cells. After counting, the respective percentages were also calculated.

**Test of 6-minute walking distance (6MWD).** In accordance with the American Thoracic Society guidelines (16), using a 30 meters long straight aisle with three chairs in the middle and the ends of the aisle (as a marked position or resting place for subjects), patients were asked to walk back and forth in order to record 6MWD after becoming familiar with the test environment and process. During the test procedure, the respiratory rate, heart rate, as well as blood pressure of the subjects were carefully monitored. The test was immediately terminated in the event that any symptoms of dizziness, along with anhelation and other symptoms were observed among the subjects.

**Acute exacerbation of COPD (AECOPD).** AECOPD was confirmed in patients who suffered from short-term continuous deterioration beyond daily conditions along with the following symptoms, including cough and wheezing, purulent sputum, increased sputum secretion, severe fever, insomnia, fatigue, depression or sleepiness, mental disorders, and body discomfort. A decline in exercise tolerance and/or chest image abnormalities were deemed to be significant signs of AECOPD. The diagnosis criteria of AECOPD were as follows: 1) patients with aggravated anhelation; 2) patients with increased sputum secretion; 3) patients with purulent sputum. Patients diagnosed with at least two conditions of the aforementioned criteria were subsequently diagnosed with AECOPD.

**Body mass index (BMI), obstruction, dyspnea, exercise (BODE) index.** The BODE index has been demonstrated to be a crucial indicator in the evaluation of the prognosis of patients with COPD. A greater BODE index indicated a worse prognosis of patients. Patient BODE indexes were monitored (17) before and after 6 months of treatment among the COPD patients. The grading criteria were as follows: The value of BMI (B) >21 kg/m\(^2\) recorded as 0 point, ≤21 kg/m\(^2\) as 1 point; airflow obstruction (O) FEV1% ≥65% recorded as 0 point, 50-64% as 1 point, 36-49% as 2 points and ≤35% as 3 points; 6-m in walking distance ≥350 m recorded as 0 point, 250-349 m as 1 point, 150-249 m as 2 points and ≤149 m as 3 points; dyspnea index (D) 0-1 recorded as 0 point, 2 as 1 point, 3 as 2 points and 4 as 3 points.

**Statistical analysis.** Data were analyzed using SPSS v21.0 (IBM Corp., Armonk, NY, USA). Data that was continuously measured data was presented as mean ± standard deviation, and one-way ANOVA followed by the LSD test was performed.
for multiple group comparisons. Categorical data was presented in terms of specific numerical cases, and analyzed by chi-square test. P<0.05 was considered to indicate a statistically significant difference.
Table II. The total number of inflammatory cells and percentage of eosinophil cells of patients were lower with higher percentages of monocyte, lymphocyte, and neutrophil cells in the anti GER group after treatment.

<table>
<thead>
<tr>
<th>Group</th>
<th>Total inflammatory cells (10^8)</th>
<th>Cell percentage (%)</th>
</tr>
</thead>
<tbody>
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<td></td>
<td></td>
<td>Lymphocyte</td>
</tr>
<tr>
<td><strong>Routine treatment group</strong></td>
<td></td>
<td></td>
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<tr>
<td>Before treatment</td>
<td>4.64±0.36</td>
<td>3.91±0.24</td>
</tr>
<tr>
<td>6 months after treatment</td>
<td>2.85±0.15^a</td>
<td>5.76±0.46^a</td>
</tr>
<tr>
<td><strong>Anti GER group</strong></td>
<td></td>
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<tr>
<td>Before treatment</td>
<td>4.53±0.37</td>
<td>3.82±0.29</td>
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<tr>
<td>6 months after treatment</td>
<td>2.41±0.19^a,b</td>
<td>7.49±0.63^a,b</td>
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</tbody>
</table>

^aP<0.05 compared with patients before treatment. ^bCompared with the routine group.

**Results**

**Baseline characteristics of patients with COPD between the routine treatment group and anti GER group.** As shown in Table I, no significant differences were observed in terms of age, gender, course of disease, smoke, BMI, PaCO₂, PaO₂, plasma albumin and AECOPD incidents between the routine treatment group and anti GER group and the results of both groups were comparable (all P>0.05). The routine treatment group consisted of 70 patients, including 50 smokers, among whom 49 individuals were confirmed to have adhered to the smoking cessation requirement of the study. The anti GER group comprised of 66 patients, including 48 smokers, among whom 42 people were able to maintain the smoking cessation status during the test. There was no significant difference detected in relation to the number of smokers between the two groups at baseline. Out of the 136 patients, 25 experienced esophagitis, while 3 patients suffered from Barrett esophagus. No adverse reaction in the routine treatment group was observed, and only 1 patient experienced symptoms of nausea and vomiting in the anti GER group.

**Pulmonary ventilation function of patients in the anti GER group was higher than in the routine treatment group after treatment.** Pulmonary ventilation function was examined before treatment and 6 months after treatment (Fig. 1). Prior to treatment, no significant differences were observed in parameters [FVC (L), FVC%, FEV1 (L), FEV1% and FEV1/FVC (L)] of pulmonary ventilation function between the routine treatment group and anti GER group (all P>0.05). Six months after treatment, all the aforementioned parameters increased in both the routine treatment and anti GER groups; and these parameters in the anti GER group were remarkably lower than in the routine treatment group (all P<0.05; Table II). Before treatment, there was no significant difference observed in regard to the total number of cells in bronchoalveolar lavage fluid and percentage of inflammatory cells (monocyte, lymphocyte, eosinophil and neutrophil cells) (all P>0.05). Compared with situation before treatment, the total number of inflammatory cells and the percentage of eosinophil cells significantly decreased with remarkable increases of monocyte, lymphocyte, and neutrophil cells observed in both groups 6 months after treatment (all P<0.05). The total number of inflammatory cells and percentage of eosinophil cells in the anti GER group were remarkably lower than in the routine treatment group; however the percentages of monocyte, lymphocyte, and neutrophil cells were noticeably higher than in the routine group (all P<0.05).

**Anti GER treatment reduced inflammatory cytokines.** Prior to the treatment, there was no significant difference detected in regard to the levels of inflammatory cytokines IL-13, IL-18, transforming growth factor-β1 (TGF-β1) and TNF-α between the routine treatment group and anti GER group (all P>0.05). Six months after treatment, the levels of IL-13, IL-18, TGF-β1 and TNF-α decreased in both the routine treatment and anti GER groups; and the levels of IL-13, IL-18, TGF-β1 and TNF-α in the anti GER group were significantly lower compared to the levels observed in the routine treatment group (all P<0.05; Fig. 2).

**Anti GER treatment relieved inflammation.** The total number of cells determined in the respective bronchoalveolar lavage fluid as well as the percentage of inflammatory cells between the two groups was compared (Table II). Before treatment, there was no significant difference observed in regard to the total number of cells in bronchoalveolar lavage fluid and percentage of inflammatory cells (monocyte, lymphocyte, eosinophil and neutrophil cells) (all P>0.05). Compared with situation before treatment, the total number of inflammatory cells and the percentage of eosinophil cells significantly decreased with remarkable increases of monocyte, lymphocyte, and neutrophil cells observed in both groups 6 months after treatment (all P<0.05). The total number of inflammatory cells and percentage of eosinophil cells in the anti GER group were remarkably lower than in the routine treatment group; however the percentages of monocyte, lymphocyte, and neutrophil cells were noticeably higher than in the routine group (all P<0.05).

**6MWD increased and times of AECOPD decreased of patients in the anti GER group.** Prior to treatment, the patients in the routine treatment group and anti GER group displayed no significant differences in relation to 6MWD and AECOPD incidents (both P>0.05). Six months after treatment, the patients in the routine treatment and anti GER groups exhibited increased 6MWD and decreased number of AECOPD incidents (both P<0.05); while the patients in the anti GER group had longer 6MWD and lesser AECOPD incidents compared to the routine treatment group (both P<0.05; Table III).

**BODE index of patients decreased in the anti GER.** The respective BODE indexes were evaluated for determination of the prognosis of patients with COPD (Table IV). There was no significant difference in the BODE index in the routine treatment group and the anti GER group prior the treatment (P>0.05). Six months after treatment, the BODE indexes had
decreased significantly in both the routine treatment and anti GER groups (both P<0.05); the BODE index was significantly lower in the anti GER group compared to the routine treatment group (P<0.05).

**BODE index of patients was higher in the anti GER group with a longer course of disease and more AECOPD incidents.** To further evaluate the relationship between the treatment effects and clinicopathological characteristics, the relationship between BODE index as well as the clinicopathological characteristics after treatment in the anti GER group were all analyzed, shown in Table V. The BODE index was found to be associated with the course of the disease and AECOPD incidents after treatment (both P<0.05). The BODE index was confirmed to be higher with a longer course of disease and more AECOPD incidents. No significant difference was observed between the BODE index after treatment and parameters including age, gender, smoke, BMI, PaCO₂, PaO and plasma albumin.

**Discussion**

COPD is a widespread chronic disease associated with negative intra-thoracic pressure, increased respiratory center drive, as well as flat diaphragm. Exacerbation of COPD is widely considered to be the primary factor responsible for lowering the quality of life in patients and can contribute to deteriorating lung function. GER has more recently, been earmarked as a potential risk factor contributing to the exacerbation of COPD (18). There exists a high prevalence of GER among COPD patients. Likewise, patients with more GER symptoms are at a higher risk of suffering from COPD (19,20). GER symptoms have been linked to COPD diagnoses and patients with GER suffered from increased frequency of exacerbations every year (21). Thus, the study investigated the relationship between anti-reflux treatment and inflammatory cytokines as well as the lung function change among patients with stable COPD along with GER.

Initially, the research successfully confirmed that pulmonary ventilation function in patients with COPD significantly improved after anti-reflux treatment. The overall results primarily demonstrated that FEV1, FEV1% and FEVI/FVC remarkably decreased after anti-reflux treatment. Continuous gastric reflux material and aspiration can trigger lower airway inflammation and bronchospasm, and the reflux content has been shown to damage the respiratory epithelium, which can lead to various clinical manifestations associated with inflammatory effects (22). Phulpoto et al (23), reported that FEV1 had a significant association on the severity of airway obstruction with frequent gastroesophageal symptoms. These results were in concert with former findings that anti-reflux medication to be an important factor for objective tests and diagnostic confirmation of GER in patients with COPD. Another significant result suggested that the levels of IL-13, IL-18, TGF-β, and TNF-α in the sputum of patients with COPD significantly reduced. By evaluating the difference and the total number and distribution of inflammatory cells in bronchoalveolar lavage fluid before and 6 months after treatment, I found that anti GER treatment decreased the total number, with higher percentages of monocyte, lymphocyte,
and neutrophil cells and lower percentage of eosinophil cells. As previously described, inflammation was the largest factor responsible for the occurrence and progression of COPD (5). IL-13 is a key element in the stimulation of airway inflammation (24). During the progression of airway inflammation and structural remodeling, IL-13 was shown to cause reduplicated airway hyper-reactivity and chronic inflammation (25). The level of IL-13 significantly increased lung tissue based on a conducted by Crosby et al. (26). IL-18 is mainly produced by monocytes and macrophages, which activates the release of toxic oxygen in neutrophils and macrophages (27). A previous study found that IL-18 was associated with the pathogenesis of COPD and played a crucial role in the inflammation in patients with COPD (28). Airway remodeling is characterized by continuous asthma, including increased airway smooth muscle (ASM) mass and altered extracellular matrix (ECM) deposition. Interestingly, a significant association between TGF-β1 and airway remodeling on patients with COPD was observed, which might be induced by high-expression of TGF-β1 consequently increased the thickness of airway and basement membrane, which furthermore promoted fibronectin from human ASM cells and deposition of ECM proteins (29).

TNF-α, as a pro-inflammatory cytokine operating at an early stage of the inflammatory cascade, has been reported to be a central player in the occurrence of COPD and is again increased when patients are in the process of suffering from an acute exacerbation attack (30). Eagan et al. (31), highlighted that higher TNF-α level in patients with COPD was responsible for the pathogenesis of COPD and associated comorbidities. The protection against GORD has also been reported to result in

<table>
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<th>Group</th>
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<th>6 months after treatment</th>
<th>P-value</th>
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<td>Anti GER group</td>
<td>2.52±0.81</td>
<td>1.15±0.73</td>
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</table>

*P<0.05 compared with the routine treatment group six months after treatment. BODE, Body mass index, obstruction, dyspnea, exercise; GER, gastroesophageal reflux.

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<td>9</td>
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<td>2.22±1.48</td>
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<td>2.73±1.27</td>
<td>3.00±2.45</td>
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</table>

BMI, body mass index; BODE, Body mass index, obstruction, dyspnea, exercise; AECOPD, acute exacerbation of chronic obstructive pulmonary disease; GER, gastroesophageal reflux.
the inhibition of the pro-inflammatory process (32). The afore-
mentioned information highlighted that anti-reflux treatment 
could reduce the incidence of airway inflammation, which 
significantly reduced the levels of the inflammatory cytokines 
IL-13, IL-18, TGF-βl, TNF-α amongst patients with COPD as 
well as the total number of inflammatory cells.

Another significant observation of the study was that 
anti-reflux treatment improved the prognosis of patients with 
COPD. The 6MWT is a simple test for patients with COPD, 
which could be potentially employed as a useful reference in 
lung function and depression (33). After anti-reflux treatment, 
the pulmonary ventilation function improved amongst patients 
with COPD, along with a reduction in the amount of acute 
attacks, which consequently increased 6MWD. BMI, airflow 
obstruction, dyspnea and patient exercise capacity index 
belong to the multidimensional grading system to predict 
the risk of death in patients with COPD and reflect disease 
severity (34). Hence, the BODE index relatively decreased 
due to improved lung function of patients and decreased levels 
of inflammatory cytokines.

To conclude, the study presented evidence providing veri-
fication that anti-reflux treatment could improve pulmonary 
ventilation function and prognosis of patients with COPD 
combined with GER, and decrease the levels of IL-13, IL-18, 
TGF-βl and TNF-α, which was indicative of the effectiveness 
of anti-reflux treatment in COPD therapy. However, more 
prospective studies with causation in the future are needed 
to focus on developing new therapeutic strategies for treating 
COPD with GER. There were some limitations faced during 
the study. This study did not include a well-devised follow-up 
plan. Therefore, I intended to perform a 2-5 years follow-up 
to further investigate the long-term prognosis after GER 
treatment. The details of the follow-up plan are as follows: 
Follow-up method is an outpatient visit; follow-up contents 
include follow-up rate and lost follow-up rate of all patients, 
and basic safety indexes including vital signs, hematuria routine, 
blood biochemistry and pulmonary function test; asking 
adverse reactions and combined use of drugs over a period of 
2 years. The present study had a relatively small sample size, 
and as a result a larger sample size study is required in order 
to confirm the reliability of the results.

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The author wishes to express his gratitude to reviewers for 
their critical comments.

Funding

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Availability of data and materials

The datasets used and/or analyzed during the current study are 
available from the corresponding author on reasonable request.

Author contribution

HL conceived and designed the study, was involved in data 
collection, performed the statistical analysis and preparation 
of figures and drafted the paper. HL also contributed substan-
tially to its revision.

Ethics approval and consent to participate

All participating subjects signed informal written consent 
documents. The present study was performed with the approval 
of the Ethical Committee of the People's Hospital of Guangxi 
Zhuang Autonomous Region.

Consent for publication

Consent for publication was obtained from the participants.

Competing interests

The author declares that they have no competing interests.

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